

1 **Thermo-Hydro-Mechanical Characterization and Deformation Behavior of Reconstituted**
2 **Multi-Couplets of Varved Laminae under Freeze-Thaw Cycle**

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31 **Thermo-Hydro-Mechanical Characterization and Deformation Behavior of**
32 **Reconstituted Multi-Couplets of Varved Laminae under Freeze-Thaw Cycle**

33

34 **Abstract:** This study focuses on the deformation behavior of reconstituted varved clay profiles
35 under freezing and thawing, which is a critical aspect of cold region geotechnical engineering.
36 Varved clays consist of alternating silt-dominated and clay-dominated laminae. Red Soil (RS) and
37 Black Soil (BS) are selected based on extensive geotechnical investigations as representative of
38 these laminae. Seven soil profiles are analyzed, which includes two homogeneous profiles of RS
39 and BS, and five reconstituted varved clay profiles with 2, 4, 8, and 16 alternating layers of RS
40 and BS. In the two-layer profiles, RS overlies BS in one arrangement, while BS overlies RS in the
41 other. In all other reconstituted varved clay profiles, RS forms the topmost layer. Temperature-
42 induced deformation in these profiles is studied using Finite Element (FE) based numerical
43 modeling under initial suction magnitudes ranging from 0 kPa to -20 kPa. The results show that
44 RS exhibits higher heave at lower suctions, while BS shows greater heave at higher suctions. In
45 reconstituted varved clays, the heave magnitude generally increases with the number of layers.
46 The study demonstrates that soil composition, initial suction, hydraulic conductivity, unfrozen
47 water content, lamina arrangement, and temperature gradients all influence frost-induced
48 deformation.

49

50 **Keywords:** Reconstituted Varved Clays, Finite Element Modelling, Temperature Gradient, Soil
51 Water Characteristic Curves, Soil Freezing Characteristic Curves, Frost-Induced Deformation

52

53 **1. Introduction**

54 The Indian Himalayan region is currently grappling with the impacts of global warming, resulting
55 in a transformation of its permafrost regime. This metamorphosis is evident through the rise in
56 unpredictable and frequent hazards (Gruber et al., 2017; Krishnan et al., 2019; Mukherji et al.,
57 2019; Pandey et al., 2022; Singh, 2022; Ramya et al., 2023; Sharma et al., 2023). Soils in glacial
58 regions undergo seasonal freeze-thaw processes which significantly affects their strength,
59 hydrological profile, and geomorphic characteristics (Huggel et al., 2010; Gariano et al., 2016;
60 Boike et al., 2018; Cao et al., 2019; Thakur et al., 2021; Pandey et al., 2022; Chen et al., 2023).

61 Freezing and thawing involve the transformation of water to ice and vice-versa, inducing physical
62 and chemical interactions. These interactions deteriorate the soil structure, leading to visible soil
63 cracking and resulting in a loss of strength (Aldood et al., 2014; Zou et al., 2022). When the soil
64 freezes, it sustains internal stresses; upon thawing, these stresses are released (Edwin and Anthon,
65 1970). Recognized as a weathering process, freezing and thawing cause considerable changes in
66 soil structure, including density, void ratio, water redistribution, consequently affecting the pore-
67 water pressures and permeability, thereby influencing the microfabric, physical, and mechanical
68 properties of the soils (Andersland and Anderson 1978; Qi et al., 2008; Deprez et al., 2020; Xiang
69 et al., 2022). Thawing periods pose substantial hazards, triggering catastrophic ground subsidence,
70 meltwater-induced landslides, rockfalls, thaw slump activity, and slope failures due to high
71 erodibility and strength loss during thawing (Birhan, 2000; Harris et al., 2009; Yang et al., 2010).
72 In roads, freezing and thawing can cause pit formation which reduces the performance of the roads
73 (Adeli Ghareh Viran, 2018; Sadiq, 2023). The extent of damage depends on soil properties,
74 temperature, the number of freezing-thawing cycles, and water availability (Sadiq, 2023).
75 Therefore, when designing infrastructure projects in cold regions, it is crucial to consider the
76 effects of freezing and thawing on regional soil for safe, stable, and reliable structures
77 (Thevanayagam et al. 2002; Adeli Ghareh Viran and Binal, 2018; Jia et al., 2023).

78
79 Expansion of soil volume due to the ice lenses formed at sub-zero temperatures is a well-accepted
80 phenomenon due to frost-heave. Continuous water flow from the vadose zone to growing ice lenses
81 is another significant factor contributing to the heaving (Sadiq, 2023). Thaw settlements, or a
82 decrease in soil volume, occur when these ice lenses melt. Both heaving and settlement are
83 significantly influenced by compactness, temperature, and water content, with the water content
84 demonstrating the strongest correlation (Wan et al., 2019). Air temperature influences the soil
85 temperature that leads to water migration and subsequent phase change (Xu and Wang, 1993). This
86 hydrothermal coupling results in the compression of soil grains in unfrozen areas and frost heave
87 in the frozen area of soil (Niu et al., 2017; Xu et al., 2020; Zhang et al., 2021). Thermal parameters,
88 such as thermal conductivity and specific heat capacity vary with unfrozen water content that
89 influences the geomechanical properties during freezing and thawing (Derk and Unold, 2022).
90 When subjected to sub-zero air temperatures, the soil undergoes a frozen-unfrozen interface,

91 resulting in a change in its geomechanical properties that may lead to frost heave during freezing
92 and subsequent thaw settlement during thawing.

93
94 Several researchers have conducted freezing-thawing tests on soils through laboratory
95 experiments, noting a decrease in strength and an increase in porosity (Adeli Ghareh Viran and
96 Binal, 2018). These studies also reported desiccation and hardening of soil samples upon freezing
97 (Aubert and Gasc-Barbier, 2012). For instance, Wang et al. (2007) conducted a freeze-thaw study
98 on clay with different cycles, reporting an increase in volume, a decrease in cohesion, and no
99 changes in the internal angle of friction. Similar findings of changes in cohesion while observing
100 little change in the friction angle have been reported by Aoyama et al. (1985). Simonsen et al.
101 (2002) reported a decrease in the resilient modulus of soil due to freezing-thawing. Throughout
102 the literature, changes in engineering parameters such as shear strength, resilient modulus, and
103 elastic modulus have been reported for various soil classes (Zhao et al., 2020; Wang et al., 2020;
104 Balandin et al., 2020). Sadiq (2023) assessed the climatic conditions on soil with an open and
105 closed system. In an open system, which comprised an external source of water, there was a
106 significantly higher heaving magnitude compared to soils in a closed system. The author further
107 reported significantly higher heave and water intake in silty sand and silty clay compared to low
108 plasticity clay, attributing these differences to their hydraulic conductivities.

109
110 Several researchers have investigated freezing and thawing processes using complex numerical
111 modeling techniques. Zhang and Michalowski (2013), Yu et al. (2021) and Park et al. (2022)
112 employed an elastoplastic framework in FE numerical modelling to predict frost heave and thaw
113 settlement in soils. Wang et al. (2016) applied FE numerical modelling in conjunction with the
114 FISH programming language to simulate volume changes in soil due to freezing and thawing.
115 Additionally, some researchers have incorporated the Barcelona Basic Model and the Modified
116 Cam-Clay Model within an elastoplastic framework to predict deformations in soils under freezing
117 and thawing, as demonstrated by Amiri et al. (2016). Chen et al. (2020) implemented double yield
118 surface models in FE modelling to predict frost heave. However, each of these methods involves
119 the need for several complex parameters that are not obtainable through standard soil laboratory
120 tests. Often, advanced computational techniques and specialized laboratory instruments, such as
121 temperature control apparatus, are required to simulate cold environments and capture the

122 deformation behavior of soils under freezing and thawing conditions. The approach demonstrated
123 in this paper requires only minimal input parameters, making it more practical and accessible for
124 routine applications. For example, this study uses the Soil Freezing Characteristic Curve (SFCC)
125 derived from the Soil Water Characteristic Curve (SWCC), which is obtainable in a standard
126 geotechnical laboratory setup. In this study, a simple model approach to predict frost heave and
127 thaw settlement has been employed using the Mohr-Coulomb material model, whose parameters
128 can be easily obtained in the laboratory. The use of the Mohr-Coulomb material model to simulate
129 freezing and thawing has also been employed by Zhu et al. (2021) and found to be satisfactory.

130
131 Despite the above discussed research efforts, there is still a lack of understanding regarding frost-
132 heave and thaw-settlements during freezing and thawing. This includes sensitivity of heave-
133 settlement due to temperature changes in different types of soils, different soil profiles, and how
134 the presence of water within the soil or externally plays a vital role. In order to bridge this research
135 gap, the present study is conducted to investigate the freezing-thawing induced deformation
136 response in homogeneous soils and reconstituted varves under different monthly average air
137 temperatures in the cold region of the Indian Himalayas.

138
139 In India, very recently, a few studies have been reported to investigate the freeze and thaw effect
140 in the context of Indian soils (Wani et al., 2021; Sardana et al., 2022; Pandey et al., 2022).
141 However, all such studies were conducted on homogeneous soils. For a better understanding of
142 the complex cryospheric responses of soils in glacial regions, researchers have pointed out the
143 requirement for additional studies (Wani et al., 2021). Varved clays are one of the common soil
144 deposits in glacial environments (Way 1977; Anderson and Dean, 1988; Shur and Zhestkova,
145 2003; Netto et al., 2012; Palmer et al., 2019; Vergnano et al., 2023; Wang et al., 2023) and have
146 been observed in Indian Himalayan glaciatic regions as well (Ahmad and Hashimi, 1974; Pant et
147 al., 1998; Juyal et al., 2009; Bhattacharyya et al., 2011; Beukema et al., 2011). The present study
148 discusses the freezing and thawing behavior of seven different soil profiles, including both
149 homogeneous and layered soil profiles. In this study, two soils, namely the Red Soil (RS) and
150 Black Soil (BS), are chosen based on their similarity with the engineering properties of the actual
151 varved clay laminae. Ground deformation resulting from freezing and thawing effects is computed
152 with the aid of Finite Element (FE) based numerical modeling. The study involves Thermo-Hydro-

153 Mechanical (THM) coupling. Seven types of soil profiles are considered, including two
154 homogeneous soil profiles consisting solely of RS and BS, and five reconstituted varved clay
155 profiles with different numbers of alternating RS and BS layers. The chosen soils, RS and BS, are
156 placed in sequential layers to represent the laminae of actual varved clays while maintaining the
157 same profile dimensions as that of homogeneous soil specimens. The temperature variation
158 considered herein is a representative of the average monthly temperatures during the winter
159 (freezing period) and summer (thawing period) seasons in Tawang, Arunachal Pradesh, India,
160 based on which the deformation in the varved profiles is assessed.

161

162 **2. Material Characterization of Constituent Laminae**

163 **2.1 Laboratory investigations of the constituent materials**

164 Varved clays are distinctive soil deposits that are typically found in glacial environments (Hang et
165 al., 2003; Ehlers, 2022). These soils are characterized by their composition, which consists of
166 alternating and repeating layers of silt-dominant and clay-dominant laminae (Brodzikowski and
167 Van Loon 1990; Palmer, 2019). These soils exhibit anisotropic properties due to variations in
168 textures and geotechnical properties due to the laminae structure (Lydzba and Tankiewicz, 2012;
169 Tornborg et al., 2023; Philippe et al., 2023). Unlike homogeneous soils, varved clays require
170 special consideration due to their unique characteristics. The representative results obtained from
171 bulk sampling in varved clays may not accurately reflect the behavior of these soils (Metcalf and
172 Townsend, 1961; Bell, 1997). Hence, it is important to determine the properties of the individual
173 soils constituting the laminae of the reconstituted varved clay.

174

175 In order to simulate the constituent alternate laminae of actual varve clay, two types of soils are
176 collected from the hillslopes at and around IIT Guwahati campus with coordinates 26.2027° N and
177 91.7004° E. One of the soil is silt-dominated Red Soil, hereby termed as RS, while the other is
178 clay-dominated Black Soil, hereby termed as BS. Figure 1 shows the representative RS and BS
179 collected for the present study. For both the soil samples, the basic geotechnical tests are conducted
180 to identify their particle size distribution (PSD) (IS:2720 (Part 4)-1985), Atterberg limits (IS:2720
181 (Part V)-1985), and compaction characteristics as per the Standard Proctor test (IS:2720 (Part-7)-
182 1983). Further, the mineralogy and morphology of RS and BS are determined with the aid of X-
183 Ray Diffraction (XRD) powder analysis and Field Emission Scanning Electron Microscopy

184 (FESEM) techniques. The XRD and FESEM was conducted using Tokyo-based Rigaku
185 Micromax-007HF and Germany based Zeiss Sigma microscope, respectively. For XRD, both RS
186 and BS were scanned for 2θ for a range of 0° to 80° . Other routine laboratory investigations
187 conducted on the selected RS and BS soils included the tests to assess the specific gravity (IS:2720
188 (Part 3/Sec-2)-1980), saturated hydraulic conductivity using a prefabricated mold, and the data
189 points of suction magnitudes at different water contents using a WP4-T Dewpoint Potentiometer
190 manufactured by Decagon Devices, Inc., Pullman, WA, USA. The working principle of the
191 potentiometer can be found in detail in ASTM D6836 Method D (ASTM 2004). These data points
192 were then further fitted in the van Genuchten model to obtain the SWCC and the corresponding
193 model parameter through which the Hydraulic Conductivity Function (HCF) curve was further
194 derived. Since the RS and BS both had substantial amount of clay, permeability test was very
195 difficult to conduct with the standard permeability mold in the laboratory as even after months, the
196 soil was not getting saturated. Hence, permeability test was carried out with the help of specially
197 prefabricated mold manufactured in workshop, wherein the sample mold has height of 10 cm and
198 diameter of 4.5 cm. The dimensions of the prefabricated mold adhered to the standards outlined in
199 ASTM-D5856-15 (ASTM 2007). Further, for computing stiffness parameters such as Elastic
200 modulus and Poisson's ratio, Unconfined Compressive Strength (UCS) tests were conducted as
201 per ASTM 2166 (ASTM 2006) and shear strength parameters were obtained from the Direct Shear
202 Test (DST) following ASTM D3080/D3080M (ASTM 2012).

203

204 **2.2 Basic geotechnical characterization of RS and BS**

205 The basic geotechnical characteristics obtained for RS and BS obtained from the laboratory
206 investigations are listed in Table 1. It is to be noted that RS and BS, as being used in the present
207 study, is chosen as a representative of the constituents of the actual varve laminae. Based on a
208 literature survey (Eden, 1955, Penner and Butler, 1961; Soderman and Quigley, 1965; Kazi, 1968;
209 Eigenbrod and Burak, 1991; Marko et al., 2010; Florkiewicz et al., 2014; Lydzba and Tankiewicz,
210 2012; Tankiewicz, 2016; Krawczyk and Flieger-Szymanska, 2018, Flieger-Szymanska et al.,
211 2019; Nielepkowicz et al., 2023), the geotechnical characteristics of the varve laminae are collated
212 and is presented in Table 2.

213

214 **Figure 1.** Representative sample of (a) RS and (b) BS collected in the vicinity of IIT Guwahati
215 campus

216 From Table 1, it is observed that the liquid limit of RS and BS is 45% and 95%, respectively. The
217 liquid limit is the moisture at which the soil undergoes a transition from a plastic to a liquid state.
218 A higher liquid limit of BS suggests that it has a greater capacity to retain water and remain in a
219 plastic state at higher moisture content than RS. Liquid limit is also often associated with the clay
220 content in soils; soils with higher clay content tend to have a higher liquid limit. This aligns well
221 with the findings of PSD, wherein BS has a significantly higher clay content of 84.9% compared
222 to RS, which has a lower clay content of 22.4% and a lower liquid limit of 45%. The plastic limit
223 of RS and BS is 19% and 30%, respectively. The plastic limit is the water content at which a soil
224 transitions from a plastic to a semi-solid state. In comparison to RS, the higher plastic limit of BS
225 signifies that it requires a higher moisture content to transition from plastic to a semi-solid state.
226 In practical terms, it means that BS has the capability of retaining more water while maintaining a
227 plastic consistency. This can be attributed to the high clay content in BS (i.e. 84.9%), thereby
228 providing a larger surface area that allows to attract and retain more water. Furthermore, the
229 plasticity index of 26% for RS reflects its moderate plasticity, whereas BS demonstrates a
230 markedly high plasticity with an index of 65%. The plasticity index values provide insight into the
231 range of moisture over which each soil exhibits plastic behavior. In this case, BS exhibits plastic
232 behavior over a wider range of moisture content as compared to RS. Analyzing the grain size
233 distribution (Figure 2), RS is found to have a composition of 23.2% sand, 54.4% silt, and 22.4%
234 clay, while BS is characterized by 8.4% sand, 6.7% silt, and a predominant 84.9% clay content.
235 These variations in grain size distribution and Atterberg limits contribute to the divergent
236 engineering behavior of the soils when placed in layers to form the laminae of varved clays. The
237 dominance of clay in BS and the higher silt content in RS are noteworthy and are self-explanatory
238 of the above-mentioned magnitude of the Atterberg limits. Furthermore, as per the classification
239 system, RS is classified as ML (silt with low to medium plasticity), while BS is classified as CH
240 (clay with high plasticity). Overall, from Table 1, in comparison to RS, the high plasticity of BS
241 is indicative of high potential volume changes and settlement, lesser permeability, which will, in
242 turn, pose challenges in terms of drainage and overall stability of constituting slopes.

243

244 Table 2 enlists the basic geotechnical properties of the two soils that constitute the actual varved
245 clays, as reported by different researchers. In the table, the dark varve and light varve signify clay-
246 dominant and silt-dominant lamina, respectively. As mentioned earlier, the relative magnitudes of
247 various parameters in Table 1 were selected based on their alignment with the corresponding
248 magnitudes of basic engineering properties of the two soils present in actual varved clays as
249 highlighted in Table 2. All the earlier observations indicated higher silt and higher clay contents,
250 respectively, in the light-colored and dark-colored lamina, which also holds true for RS and BS
251 (as shown in Table 1). Similarly, the liquid limit, plastic limit, and plasticity index, as reported by
252 various researchers, are higher for dark-colored varve as compared to these values for light-colored
253 varve. This complements the corresponding findings of RS and BS, where BS has a higher liquid
254 limit, plastic limit, and plasticity index than RS. Therefore, it can be deduced that the geotechnical
255 properties of the chosen soils for the present study, i.e. RS and BS, are in reasonable agreement
256 with the characteristics of the constituent laminae. With this noted agreement, it can be asserted
257 that the chosen RS and BS are suitable enough to be considered as material counterparts of the
258 laminae of the actual varve clay found in glaciated regions.

259

260 **Figure 2.** Particle Size Distribution (PSD) curves for RS and BS

261

262 **2.3 Compaction characteristics of RS and BS**

263 Figure 3 shows the compaction curves of RS and BS. The Maximum Dry Density (MDD) and
264 Optimum Moisture Content (OMC) for RS were found to be 1.77 Mg m^{-3} and 19.5%, respectively,
265 while for BS, the corresponding values were 1.59 Mg m^{-3} and 21.5%, respectively (also listed in
266 Table 1). From Figure 3, it is observed that as compared to the BS, the OMC of RS is lesser. This
267 behaviour in RS is attributed to the silt particles allowing better packing, aiding in achieving the
268 MDD at a lower moisture content. On the contrary, the higher OMC in BS is attributed to its high
269 clay content that requires substantial amount of water for proper compaction. Furthermore, it is
270 observed that the shape of the compaction curve for BS is relatively flatter than that of RS, thereby
271 indicating that the former is relatively lesser affected by the variation in moisture content.

272

273

274

Table 1. Geotechnical properties of RS and BS soils for the present study

Geotechnical Characteristics	Red Soil (RS)	Black Soil (BS)
Specific Gravity	2.7	2.6
Grain Size Distribution (%)		
Sand	23.2	8.4
Silt	54.4	6.7
Clay	22.4	84.9
Soil Classification	ML	CH
Atterberg Limit (%)		
Liquid Limit	45	95
Plastic Limit	19	30
Plasticity Index	26	65
Compaction Characteristics		
Maximum Dry Density (kg/m³)	1770	1590
Optimum Moisture Content (%)	19.5	21.5

276

277

278 **Table 2.** Geotechnical properties of the two soils constituting the laminae of actual varved clays

279 as per the literature

Researchers	Varve Type	Sand (%)	Silt (%)	Clay (%)	Liquid Limit (%)	Plastic Limit (%)	Plasticity Index (%)
Nielepkowicz et al. (2023)	Dark Varve	1	51	48	59.8	25.9	-
	Light Varve	1	74	25	35.9	19.8	-
Flieger et al. (2019)	Dark Varve		18-48%	54-85%		30.9	80.6
	Light Varve		54-74%	24-42%		22.6	42.2
Krawczyk and Flieger-Szymanska (2018)	Dark Varve	-	-	-	84.67	32.25	52.42
	Light Varve	-	-	-	47.95	21.95	26.00
Lydzba and Tankiewicz (2012) Tankiewicz (2016)	Dark Varve	0.2-0.4	36.5-51.2	48.6-63.1	-	-	-
	Light Varve	5.7-22.9	52.5-71.8	18.7-24.6	-	-	-
Florkiewicz et al. (2014)	Dark Varve	NA	NA	26-84	46	23	23

	Light Varve	NA	NA	12-40			
Eigenbrod and Burak (1991)	Dark Varve	-	-	43	48	21	28
	Light Varve	-	-	27	21	18	3
Soderman and Quigley (1965)	Dark Varve	-	-	-	60-85	-	10-50
	Light Varve	-	-	-	35-55	-	2-20
Kazi (19687)	Dark Varve	NA	NA	62-72	60	27	33
	Light Varve	NA	NA	35-40	30	14	16
Penner and Butler (1961)	Dark Varve	-	-	82	74	-	47
	Light Varve	-	-	22	30	-	8
Eden (1955)	Dark Varve			65-95	63.8-95.2	25.5-34	38.3-63.5
	Light Varve			18-35	23.0-26.9	19.3-21.7	3.0-5.4

280

281 **2.4 Mineralogical and morphological characteristics of RS and BS**

282 To ensure that the soils RS and BS used as two representative soils in reconstituted varved clays
 283 are mineralogically similar to the two laminae in actual varved clay, XRD and FESEM were
 284 carried out. Figures 4a and 4b illustrate the results of XRD analysis, while Figures 4a and 4b show
 285 the outcome of FESEM analysis of RS and BS, respectively. In the XRD technique, the crystal
 286 structure of the soil is analysed, whereas in the FESEM technique, the microstructure of the soil is
 287 analysed. XRD works by shining X-rays onto the soil samples and measuring the angles and
 288 intensities of the X-ray beams scattered by the crystal lattice of the soil particles. On the other
 289 hand, FESEM works by creating an image of the sample surface and topography using electrons
 290 that interact with the sample. This electron beam is focused on the sample with the help of
 291 electromagnetic lenses.

292

293 **Figure 3.** Compaction curves for the chosen reconstituted soils RS and BS

294

295

296 For XRD, dry and finely grinded samples of RS and BS were prepared, creating a thin layer of the
297 sample on the flat glass slide surface. The prepared soil samples were placed in a sample holder
298 inside the XRD instrument, which consists of a detector. When the X-ray beams interact with the
299 crystal lattice of the minerals in the soils, diffraction patterns are obtained. The X-ray diffraction
300 patterns are obtained by varying the angle at which X-rays strike the soil samples. Therefore, the
301 intensity of diffracted X-rays at different angles is used to create XRD patterns. The peak positions
302 and intensities of the XRD patterns are compared with reference patterns or a database to identify
303 the mineral composition in the soils RS and BS, as shown in Figure 4(a) and 4(b). The XRD
304 patterns clearly indicate that BS contains a significant amount of the clay mineral montmorillonite
305 compared to RS. A similar observation was reported by Ringberg and Erlström (1999), where
306 researchers performed XRD by separating the summer layer (i.e. lighter lamina) and winter layer
307 (i.e. darker lamina) of the varved clay and reported a major difference between XRD
308 diffractograms of the two soils in the clay mineral peaks, with higher magnitudes of clay mineral
309 peaks in the diffractograms for winter layers. Blondeau (1975) reported that when the dark and
310 light laminae were X-rayed separately, they were found to be mineralogically identical, except for
311 the higher clay content in the darker layer compared to the lighter layer. The author further reported
312 the dominance of Illite in the light-colored layer with minor montmorillonite, whereas the
313 dominance of montmorillonite in the dark-colored layer. This finding also resembles the findings
314 of XRD of RS and BS as obtained from the present study.

315
316 Figure 5 displays the microstructure of RS and BS using FESEM. The presented FESEM images
317 for both soils are captured at 1.00 KX magnification. FESEM requires the samples to be carefully
318 prepared, wherein the samples were oven-dried for 24 hours. Ample care was taken to ensure that
319 moisture does not enter the sample during preparation. To avoid any moisture exposure, the
320 samples, after oven-drying, were kept with silica beads. Both samples were mounted on the same
321 stub with the help of sticky carbon tape. To prevent the build-up of charges due to moisture present
322 in the environment, the samples were then coated with gold, and FESEM images were captured.
323 From the captured images, it was observed that particles of RS appeared larger and more rounded
324 compared to BS exhibiting flaky-shaped particles, which is typical in the case of clays. The surface
325 texture of RS appeared smoother, while the same appeared rough for BS. Moreover, as evident
326 from the intricate network of pores between the particles, a higher porosity was observed in BS as

327 compared to RS, which can be attributed to the presence of clay minerals with a highly flocculated
328 structure leading to larger amount of inter-floc voids.

329
330 Hence, based on the mineralogical compositions and microstructure of the chosen RS and BS, it
331 can be comprehensibly assessed that the light-colored and dark-colored laminae of the actual
332 varves of the glaciatic regions can be suitably represented by RS and BS, respectively.

333

334 **Figure 4.** X-ray Diffraction spectra of (a) RS (b) BS samples

335

336 **Figure 5.** Field Emission Scanning Electron Microscopy (FE-SEM) showing microstructure of
337 (a) RS (b) BS at 1000X magnification

338

339 **2.5 Frozen and Unfrozen Soil Characteristics**

340 Thermal conductivity stands as a critical parameter in modeling heat transfer within soils (Tian et
341 al., 2020). In this study, the thermal characteristics of both soils, RS and BS, in frozen and unfrozen
342 states were determined using the KD2 Pro Thermal Properties Analyzer (KD2 Pro, 2008)
343 developed by Decagon Devices (Pullman, Washington). The sensor operates based on the transient
344 line heat source method, offering a relatively faster and reliable method for measuring thermal
345 properties. A dual-needle SH-1 sensor was employed, with the heater and temperature sensor
346 positioned in two separate needles which are capable of measuring volumetric heat capacity,
347 thermal diffusivity, thermal conductivity, and thermal resistivity. The device includes a handheld
348 controller serving as a read-out analyzer for thermal properties. The stainless-steel dual-needle
349 SH-1 sensor features two parallel probes, each 30 mm long, with a diameter of 1.3 mm and a
350 spacing of 6 mm between the needles. During measurements, the controller generates a 30-second
351 heat pulse in the heating needle probe, followed by temperature measurement in the monitoring
352 needle probe during cooling. The sensor employs a dual-needle algorithm (KD2 Pro, 2008),
353 assuming the needles function as infinite line heat sources with a constant heat output and zero
354 mass in an infinite medium, aligning with the model proposed by Carslaw and Jaeger (1959). The
355 specifications followed by KD2 Pro sensors adhere to the ASTM D5334-14 (ASTM 2014). Before
356 commencing the experiment, the calibration of the SH-1 dual-needle sensor was conducted to

357 ensure accurate measurement and check the needle spacing. Figure 6 illustrates an ongoing
 358 calibration test for the SH-1 sensor using the Delrin verification block. The needle spacing was
 359 deemed satisfactory as the tip spacing of the sensor matched the hole spacing in the Delrin block.
 360 To assess the performance of the SH-1 sensor, the needles were fully inserted into the pre-drilled
 361 holes in the Delrin block, and the assembly was left to equilibrate for 15 minutes before recording
 362 the measurements. The obtained measurements aligned with the Certificate of Quality Assurance
 363 provided by the manufacturer. The thermal properties of the RS and BS samples were determined
 364 on the prepared soil samples in pre-fabricated cylindrical acrylic mold at MDD and OMC. The
 365 mold dimensions were 60 mm in height and 80 mm in diameter, exceeding the recommended
 366 minimum distance between the sensor needle probe and the outer boundary of the acrylic
 367 cylindrical mold (Campbell et al., 1991). This distance, measuring 5.86 times the needle diameter,
 368 was sufficient to prevent boundary effects due to the heating of the sensor needle probe (Cai et al.,
 369 2015). Before inserting the sensor probe into the prepared soil sample, a dummy dual hole, smaller
 370 in diameter than the probe needle, was created using a pre-fabricated replica of the sensor made of
 371 plexiglass material (Figure 6). This dummy dual hole facilitated the easy insertion of the sensor
 372 needle probe into the compacted sample. During freezing, prefabricated needles were kept inserted
 373 in the soil sample (Figure 7) to simplify the insertion of the thermal sensor into the frozen sample
 374 without the risk of breaking the sensor needles. Figure 8 shows the ongoing measurement of
 375 thermal properties on the prepared sample using KD2 Pro immediately after taking out the sample
 376 from deep freezer. For this study, the thermal conductivity and volumetric heat capacity were
 377 recorded at room temperature (unfrozen) and at -10°C (frozen), with the values listed in Table 3.

378
 379 **Table 3.** Thermal parameters of RS and BS

Soil type	Unfrozen thermal conductivity (kJ/sec/m/°C)	Frozen thermal conductivity (kJ/sec/m/°C)	Unfrozen volumetric heat capacity (kJ/m ³ /°C)	Frozen volumetric heat capacity (kJ/m ³ /°C)
RS	0.001328	0.001873	3050	4633
BS	0.000989	0.001416	2862	3241

380
 381 **Figure 6.** KD2 Pro Thermal Properties Instrument with Data Acquisition System, SH1 Dual-
 382 Needle sensors, Delrin calibration block and Dummy needle

384 **Figure 7.** Prepared soil sample in mould to measure thermal conductivity with inserted pre-
385 fabricated dummy needle

386
387 **Figure 8.** Ongoing thermal properties measurement on prepared sample using KD2 Pro
388 immediately after taking out the sample from deep freezer

389
390 **2.6 Hydraulic characteristics of RS and BS**

391 The SWCC of a soil defines the relationship between the water potential of the soil and pore water
392 content, commonly used to study the water retention behavior of unsaturated soils and hence their
393 hydromechanical behavior. As mentioned in Section 2.1, the data points of suction magnitudes at
394 different water contents were obtained using a WP4-T Dewpoint Potentiometer (Figure 9).
395 Subsequently, these data points were fitted into the van Genuchten model to derive the SWCC for
396 both soils. The obtained model parameters were then utilized to compute the HCF for the soils.
397 Similar to SWCC, the SFCC describes the relationship among unfrozen water content and the
398 temperature of the soil at sub-zero temperatures, which has essential applications in cold region
399 engineering (Bittelli et al., 2003; Flerchinger et al., 2004; Wen et al., 2012). Therefore, SFCC is a
400 valuable tool for modeling the coupled THM behavior of the soil. The present study focuses on
401 the deformation behavior of homogeneous and reconstituted varved clays under freezing and
402 thawing effects.

403
404 **Figure 9.** WP4-T Dewpoint Potentiometer with its operating parts, and prepared soil sample in
405 stainless steel cups

406
407 In cold weather engineering, as in the present case, the proportion of frozen water or ice plays a
408 significant role in the geotechnical response of the soil. In freezing soils, a certain amount of liquid
409 water exists at subzero temperatures depending on the capillarity and the surface energy of the soil
410 particles (Li et al., 2020; Bi et al., 2023). The existence of water in the soil at subzero temperatures
411 is attributed to the lower energy potential of pore water, which in turn reduces the freezing point
412 of the water (Miller 1966; Spaans and Baker 1996; Watanabe and Wake 2009). The relationship
413 between unfrozen water content in the soil at corresponding subzero temperatures is termed as the
414 Soil Freezing Characteristic Curve. There are several experimental techniques to obtain the SFCC

415 graphical plot, such as Nuclear Magnetic Resonance method, Time Domain Reflectometry
 416 method, Frequency Domain Reflectometry method, Calorimetry method, and Dilatometry method
 417 (Patterson and Smith, 1985; Konrad, 1994; Watanabe and Wake, 2009; Kozłowski and Nartowska,
 418 2013). The mentioned experimental techniques are costly and time-consuming. Therefore, several
 419 unfrozen water content models were developed by combining the SWCC model and Clapeyron
 420 equation by various researchers, such as Bittelli et al. (2003), Nishimura et al. (2009), Liu and Yu
 421 (2013) and Zhang et al. (2016). In the present study, the empirical formula proposed by Zhang et
 422 al. (2016) is used for the determination of SFCC curves, which combines the Clapeyron
 423 relationship with the van Genuchten equation and is discussed in detail in the forthcoming section.
 424 Based on experimental validation, several researchers have reported an analogy between SWCC
 425 and SFCC in their water retention mechanisms during drying and freezing processes, respectively
 426 (Wang and Hu, 2023). The SWCC is governed by the soil-water interaction, which is unique for
 427 every soil and inherently linked to pore-size distribution and mineral constituents. Therefore, the
 428 SWCC serves as a foundation for predicting the SFCC of soils. In the present study, above subzero
 429 temperatures, water retention occurs according to the SWCC curves, and the corresponding water
 430 movement follows the HCF. During periods of sub-zero temperatures, water converts into ice, and
 431 some amount of unfrozen water remains, as per the SFCC curve.

432
 433 The hydraulic parameters of RS and BS were determined using the van Genuchten formulation,
 434 which involved analyzing the data points of suction values corresponding to different moisture
 435 contents. Equation 1 represents the SWCC using the van Genuchten functions, offering a
 436 mathematical depiction of the relationship between volumetric water content (θ) and soil water
 437 potential (φ). In physical terms, it characterizes the capacity of soil to retain water under varying
 438 suction conditions, defining the interplay between residual water content (θ_r), saturated water
 439 content (θ_s), and the shape parameters (α , n , m).

$$\theta = \theta_r + \frac{\theta_s - \theta_r}{[1 + |\alpha\varphi|^n]^m} ; m = 1 - 1/n \quad (1)$$

440 where θ , θ_r and θ_s are expressed in units of m^3/m^3 ,

441
 442 Equation 2 provides the formula for computing the HCF based on the van Genuchten model. In
 443 physical terms, it articulates the relationship between the unsaturated hydraulic conductivity, $K(\varphi)$

444 of the soil and φ . The unsaturated hydraulic conductivity describes the ability of the soil to transmit
445 water in conditions where the soil is not fully saturated.

$$K(\varphi) = \frac{K_s \{1 - (\alpha\varphi)^{mn} [1 + (\alpha\varphi)^n]^{-m}\}^2}{[1 + (\alpha\varphi)^n]^{ml}} \quad (2)$$

446 where K_s is the saturated hydraulic conductivity.

447

448 Table 4 portrays the van Genuchten parameters, water content and saturated permeability of RS
449 and BS.

450

451 Figure 10(a) shows the SWCC curves obtained by fitting the van Genuchten model through
452 experimental data points for RS and BS, respectively. Through this fitting process, α , n , m has
453 been determined for both the soils. Notably, RS and BS exhibit distinct SWCC curves, indicating
454 variations in water retention capacity, hydraulic conductivity, and, consequently, their responses
455 to changes in moisture content. A key observation is the relative positioning of the SWCC plots,
456 where the curve for BS lies over that of RS. This positioning implies that under similar suction
457 conditions, BS retains more water than RS. The steeper slope of the SWCC curve between the θ_r
458 and θ_s for RS compared to BS suggests a more rapid change in water content occurs in RS with
459 the same change suction for both soils. In contrast, the gentler slope for BS indicates a higher
460 degree of water retention even at lower suction levels. This difference in the slope between θ_r and
461 θ_s has significant geotechnical implications. The steeper slope for RS suggests a more rapid
462 response to changes in suction, potentially leading to quicker drainage and changes in water
463 content under varying environmental conditions. On the other hand, the gentler slope for BS
464 signifies a more gradual response, indicating enhanced water retention and resistance to rapid
465 changes in moisture content.

466

467 Figure 10(b) illustrates the estimated HCF curves for RS and BS using van-Genuchten parameters,
468 with the saturated permeability obtained through laboratory experiments as mentioned in the
469 preceding section. The magnitudes of saturated permeability align with the range computed in the
470 literature (Kazi, 1968; Kohv et al., 2009). The saturated permeability of both the soils was carried
471 out in laboratory in pre-fabricated molds as discussed in the previous section. The HCF curve for
472 the soils used in present study reveal notable intersection point known as the breakthrough point.

473 Towards the higher suction side of this breakthrough point, BS exhibits higher hydraulic
474 conductivity, while RS demonstrates higher hydraulic conductivity on the lower suction side. The
475 breakthrough point holds critical significance, particularly in the context of water infiltration from
476 a layer with lower hydraulic conductivity to one with higher hydraulic conductivity in a layered
477 system. This phenomenon is vital for understanding the behaviour of partially saturated layered
478 systems. The moment at which breakthrough occurs is referred to as the 'breakthrough time' (Hillel,
479 1987; Li et al., 2022; Chen et al., 2022; Liu et al., 2023; Scarfone et al., 2023). As can be observed
480 from the graph, the breakthrough suction for RS and BS is 160 kPa. This parameter plays a crucial
481 role in governing flow dynamics at the interface of layered systems. Specifically, at the interface,
482 water traverses from a layer with lower hydraulic conductivity to one with higher hydraulic
483 conductivity. To overcome the capillary barrier formed at this interface, water content rises within
484 the low hydraulic conductivity soil layer until the pressure surpasses capillary forces, facilitating
485 movement into the underlying soil with higher hydraulic conductivity (Morris and Stormont, 1997;
486 Stormont and Anderson, 1999; Scarfone et al., 2023). While the breakthrough point is a key aspect,
487 it is important to note that a detailed breakthrough study analysis is not undertaken in the present
488 research due to the inherent complexity involved.

489

490 **Table 4.** van Genuchten parameter, water content and saturated permeability of RS and BS

Soil type	van Genuchten parameters			Saturated water content θ_s	Residual water content θ_r	Saturated permeability K_s
	a (kPa)	n	m	(m^3/m^3)	(m^3/m^3)	(m/s)
RS	167	2.6	0.615	0.42	0.035	1.10×10^{-7}
BS	1000	1.8	0.444	0.37	0.045	5.18×10^{-9}

491

492 2.7 Generation of SFCC curve for numerical modeling

493 The SFCC for RS and BS, shown in Figure 11, is derived using a numerical expression proposed
494 by Zhang et al. (2016). This expression combines the Clapeyron relationship (Equation 4) with the
495 van Genuchten equation (Equation 1) to establish Equation 3. The generated pore-water pressure
496 at the freezing point temperature is then used to obtain the corresponding unfrozen volumetric
497 water content. The obtained unfrozen volumetric water content is further normalized by the soil
498 porosity and finally cross-plotted against the sub-zero temperatures to obtain function as shown in
499 Figure 11.

$$\theta_{uwc} = \theta_r + (\theta_s - \theta_r) \left[1 + \left(ah_{sf} \ln \frac{T + 273.15}{T_0 + 273.15} \right)^n \right]^{-m} \quad (3)$$

500 where θ_{uwc} is the unfrozen water content in the soil.

501

502 **Figure 10(a).** Soil Water Characteristic Curve for RS and BS

503

504 **Figure 10(b).** Hydraulic Conductivity Function curve for RS and BS

505

506 **Figure 11.** Soil Freezing Characteristic Curve for RS and BS

507

508 The SFCC in Figure 11 for both soils used in the present study represents the constitutive
 509 relationship between unfrozen water content and sub-zero temperatures. The curve illustrates the
 510 unique response of each soil type to freezing conditions or sub-zero temperatures. As mentioned
 511 previously, depending on the soil type, which results in a unique soil-water interaction for every
 512 soil, a depression in the freezing point of soil water occurs at sub-zero temperatures due to
 513 adsorptive and capillary forces. These forces are responsible for the existence of liquid water
 514 during the freezing point (Harrysson Drotz et al., 2009). From the figure, it can be observed that
 515 at 0°C, the amount of unfrozen water content in BS is higher than in RS. As the curves move
 516 towards the negative temperature side, the unfrozen water content in both soils keeps decreasing,
 517 with the rate of decrease in unfrozen water content in RS being higher than in BS until a
 518 temperature of -4.4 °C is reached. Since the present study is conducted by averaging temperatures,
 519 with the minimum temperature being -4.3 °C throughout the study period, at sub-zero
 520 temperatures, the unfrozen water content in BS will be higher than in RS. The higher unfrozen
 521 water content in BS is attributed to the high adsorptive and capillary forces in clayey soils
 522 compared to silt, which reduces the mobility of water and leads to higher adsorbed water pressure
 523 than bulk water pressure, thereby resisting freezing (Chen 2021; Wang 2022; Lu, 2022).

524

525 **2.8 Stiffness and strength characteristics of RS and BS**

526 The remaining parameters required for the numerical modeling in the present study involves the
 527 index properties (unit weight and initial void ratio), the stiffness properties and the strength
 528 parameters of RS and BS specimens. The corresponding properties were obtained from relevant

laboratory tests, as mentioned earlier, and are listed in Table 5. Since both RS and BS are in a disturbed state in the present study, for standardization, the unit weight corresponds to the MDD of the soil. The void ratio has been calculated using the standard three-phase relationship $\gamma_d = G\gamma_w/(1 + e)$, wherein the expression relates dry unit density (γ_d), specific gravity (G), unit weight of water (γ_w) and void ratio (e). The elastic modulus used in this study is the initial elastic modulus, which is estimated from the stress-strain curve obtained from the UCS test. The stress-strain curves for RS and BS, along with the calculation of the elastic modulus, are shown in Figure 12. It can be observed that three trials of UCS tests were conducted. The elastic modulus was calculated for each UCS trial, and then the values were averaged and used in the present numerical analysis. The Poisson's ratio for both soils was calculated from the deformed sample dimensions at the peak stress. The deformed samples of RS and BS after the test are shown in Figure 13.

Table 5. Index, stiffness and shear strength parameters of RS and BS

Soil Type	Index Parameters		Stiffness Parameters		Shear Strength Parameters	
	Unit weight (kN/m ³)	Initial void ratio	Initial elastic modulus (kPa)	Poisson's ratio	Effective cohesion (kPa)	Effective friction angle (°)
RS	17	0.587	24330	0.35	12.4	17.53
BS	16	0.730	5058	0.45	6.1	13.0

Figure 12. (a) Stress-strain curves from UCS test for RS (b) Initial elastic modulus curve for RS (c) Stress-strain curves from UCS test for BS (d) Initial elastic modulus curve for BS

Figure 13. Deformed samples of (a) RS and (b) BS after UCS test

Cohesion and friction angles of both soils were obtained from small Direct Shear box tests conducted at five normal stress levels: 50 kPa, 100 kPa, 150 kPa, 200 kPa, and 250 kPa for each soil. Figures 14(a) and 14(c) show the variation of shear stresses with displacement for RS and BS, respectively. It can be observed from the graphs that BS exhibits a relatively smooth transitional and gradual increase in shear stress with an increase in displacement compared to the curve representing RS. Additionally, the peak stresses achieved at different normal stresses are at higher displacement magnitudes for BS compared to RS. The behavior of BS, undergoing larger

556 deformation under applied normal stresses, is attributed to its higher plasticity compared to RS.
557 The higher plasticity in BS contributes to a transitional and more gradual increase in shear stresses
558 compared to RS. Figures 14(b) and 14(d) depict graphical plots showing the maximum shear stress
559 obtained at corresponding applied normal stresses. From the plot, it is observed that both shear
560 strength parameters – angle of internal friction and cohesion – are higher for RS compared to BS.
561 Therefore, despite exhibiting plastic behavior, the peak shear strength attained by BS is lower than
562 that of RS due to its lower friction angle.

563
564 Several researchers have reported the shear strength parameters of varved clays, but only in their
565 bulk form and by placing the sample such that shearing occurs along the plane of the laminae.
566 Giraud et al. (1991) reported average magnitudes of shear strength parameters for varved clays,
567 with the friction angle ranging from 22-23° and the cohesion ranging from 1-5 kPa. Eigenbrod
568 (2003) reported the internal angle of friction to be 31° and the cohesion as 12 kPa. Kohv et al.
569 (2010) tested for strength parameters of two types of varved clays lying over one another along a
570 vertical profile. The lower varved clay section had a higher water content than the upper section.
571 For the lower section varved clay, the authors reported the angle of internal friction and cohesion
572 to be 21° and 8 kPa, respectively, whereas for the upper section varved clays, the corresponding
573 values were reported to be 8.4° and 15.2 kPa. In the present study, all laboratory tests were
574 conducted separately on RS and BS. As shown in Table 5, the internal angle of friction for RS and
575 BS is 17.53° and 13°, respectively, while the corresponding cohesion is 12.4 kPa and 6.1 kPa. If
576 the shear strength parameters are averaged considering the equal proportion of RS and BS in the
577 soil profile, then the internal friction angle would come out to be 18.5° and the cohesion magnitude

578 would be 15.265 kPa. These averaged magnitudes of shear strength parameters closely resemble
579 the corresponding magnitudes reported in the literature.

580

581 **Figure 14.** (a) Shear Stress-Displacement curves of RS at different confining stresses (b) Shear
582 Stress-Normal Stress plot for RS (c) Shear Stress-Displacement curves of BS at different
583 confining stresses (d) Shear Stress-Normal Stress plot for BS

584

585 3. Numerical Modeling of the Freezing-Thawing Behavior of Varved Laminae

586 FE modelling is employed to simulate the ground deformation during subsequent freezing and
587 thawing processes of varved laminae. The numerical modelling is performed using three modules
588 in GeoStudio 2023.1, namely TEMP/W, SEEP/W, and SIGMA/W. The TEMP/W and SEEP/W
589 modules are coupled to account for the free convection of water induced by temperature changes.
590 Negative temperatures cause the freezing of water in soil pores, while thawing occurs as the
591 temperature rises above zero. This phase change of water in soil pores is considered in the analysis
592 by varying the density of water with temperature according to Thiesen's formula (Kell, 1975). The
593 Clapeyron thermodynamic equilibrium equation (Equation 4) is used to calculate the variation in
594 pore-water pressure of the unfrozen liquid water at sub-zero temperatures (Schofield, 1935;
595 Williams and Smith, 1989).

$$\frac{\partial u_w}{\partial T} = \frac{h_{sf}}{v_w T_0} \quad (4)$$

596 where, ∂u_w represents the variation in water pressure within the unfrozen water in partially frozen
597 soil, ∂T denotes the temperature change below the phase change temperature, h_{sf} is the latent heat
598 of vapourization (334000 kJ/m³), v_w represents the specific volume of water (1.0 L/kg), and T_0
599 corresponds to the standard freezing point of water at atmospheric pressure, which is considered
600 as 0°C in this analysis.

601

602 The coupling of thermal and hydrological processes through the integration of SEEP/W and
603 TEMP/W leads to changes in the hydraulic conductivity of the soil due to freezing and thawing.
604 In this analysis, the TEMP/W module predominantly controls the simulation, with information
605 exchanged iteratively between TEMP/W and SEEP/W as the solution progresses. SEEP/W applies
606 Darcy's Law for unsaturated soils to compute water flow within the soil, treating hydraulic

607 conductivity as a variable dependent on the thermal and hydraulic data exchanged between
 608 TEMP/W and SEEP/W, which, in turn, depends on several variables discussed in Section 3.1.

609
 610 In the subsequent stages of numerical modelling, the SEEP/W and SIGMA/W modules are
 611 combined. The water pressure generated during the thermo-hydro coupling is captured in SEEP/W
 612 and then used by SIGMA/W to compute the deformations. It should be note that it is not possible
 613 to directly couple or link the TEMP/W and SIGMA/W modules. The integration of SEEP/W and
 614 SIGMA/W enables simulation of ground movement caused by variations in water pressure and
 615 density of water during freezing and thawing. Ground displacement resulting from water
 616 movement and phase change is the specific focus of the SIGMA/W module. In this study, the in-
 617 situ gravity activation method in SIGMA/W is used to analyze ground deformations. This method
 618 of analysis accounts for the effect of self-weight of the soil. The Mohr-Coulomb material model
 619 is used to relate the generated stresses to the resulting strains. Displacement is then calculated
 620 based on the strain increments obtained in the current numerical modeling. The parameters
 621 employed in the Mohr-Coulomb model are provided in Table 5. Equation 5 provides Mohr-
 622 coulomb yield criterion used in SIGMA/W.

$$F_y = \sqrt{J_2} \sin\left(\theta + \frac{\pi}{3}\right) - \sqrt{\frac{J_2}{3}} \cos\left(\theta + \frac{\pi}{3}\right) \sin \varphi - \frac{I_1}{3} \sin \varphi - c \cos \varphi \quad (5)$$

623 where F_y is the yield function, c is the cohesion, and φ is the angle of internal friction. I_1 is the
 624 first stress invariant, J_2 is the second stress invariant, θ is the Lode angle.

625 The coupling of SEEP/W and SIGMA/W can be expressed as Equation 6.

$$\begin{bmatrix} [K]_s & [L] \\ [L]^t & [K]_w \end{bmatrix} \begin{Bmatrix} \Delta d \\ \Delta u \end{Bmatrix} = \begin{Bmatrix} F_e \\ Q \end{Bmatrix} \quad (6)$$

627
 628 where $[K]_s$ is the soil stiffness matrix, $[L]$ is the coupling matrix that represents the interaction
 629 between the displacement and pore-pressure change, $[L]^t$ is the transpose of the coupling matrix,
 630 $[K]_w$ is the hydraulic conductivity matrix, Δd is the incremental displacement, Δu is the
 631 incremental pore-pressure change, F_e is the external force applied to the system, Q is the seepage
 632 or water flux.

633

634 The numerical modeling was performed on representative two-dimensional (2D) soil profiles with
635 dimensions of 400 cm in height and 100 cm in width. This thickness of the varve deposit
636 considered in the present study is adopted on the basis of the findings from several researchers
637 who have identified 4 m varve thickness as optimal for a maximal infiltration, water storage, and
638 moisture fluctuation (Zou et al., 2001; Dongli et al., 2013; Mei et al., 2018; Luo et al., 2023; Ya et
639 al., 2023). The primary objective of the present study is to investigate the response of homogeneous
640 and laminated soils when subjected to freezing and thawing conditions, particularly examining the
641 influence of laminae on these responses. In the literature, governed by the depositional recurrences,
642 thick varve formations of up to 760 mm have been reported (Palmer et al., 2019), with exceptional
643 circumstances possibly leading to even greater depths. Therefore, considering both the active zone
644 of soil depth and the maximum reported varve thickness, 400 cm depth of soil is chosen for the
645 modelling approach. This choice of soil depth is aimed at encompassing sufficient stratigraphic
646 complexity while maintaining computational feasibility. The variability in varve thicknesses and
647 geological formations is acknowledged, and the modelling approach in the paper reflects a balance
648 between practical constraints and the need to capture crucial aspects of homogenous and laminated
649 soil behaviour under freezing and thawing conditions.

650
651 The sequence of boundary conditions applied during different stages of the modeling process is
652 depicted in Figure 15. The analysis consists of four stages for all considered soil profiles, namely
653 the initial stage, freezing stage, thawing stage, and ground deformation assessment stage. In the
654 initial stage (Figure 15a), temperature boundary condition of 4°C is applied to both the top and
655 bottom of the soil profiles. This is done to establish a steady-state temperature distribution
656 throughout the profile. For simulating the freezing-thawing cycle, the air temperature data for
657 Tawang in Arunachal Pradesh, India is considered over a period from 2005 to 2015. The data for
658 the same was obtained from 'Time and Date' (<https://www.timeanddate.com/weather/>), an online
659 climate data collector. During this period, Tawang experienced an average air temperature below
660 0°C for five months, which is considered the freezing period for the analysis. For the remaining
661 seven months, the average air temperature was above 0°C, which is considered the thawing period
662 in this study. To establish a uniform initial temperature condition across the soil depth in the
663 numerical modelling, the average temperature between these extremes is used, and the phase
664 change temperature is considered as zero. Hence, during the freezing stage (Figure 15b), freezing

665 temperature (negative temperatures) is assigned to the top surface of the soil. Additionally, this
666 transient analysis also incorporates a water table at the bottom of the soil profile. In the thawing
667 stage (Figure 15c), the temperature at the top surface of the soil is set to thawing temperatures
668 (positive temperatures). The hydraulic and temperature conditions from the final time step of the
669 freezing analysis are carried over as initial conditions for the thawing analysis across the entire
670 soil profile. In GeoStudio, this is achieved using the parent-child concept, where the freezing
671 analysis serves as the parent, and the thawing analysis is the child. This approach ensures
672 continuity between the freezing and thawing stages. Finally, in the last stage, to capture ground
673 deformation resulting from convection and the freezing-thawing phenomenon, restraints are
674 imposed on the boundaries of soil profile. The bottom boundary of the soil is fixed in both
675 horizontal and vertical directions, the sides are fixed in the horizontal direction only, and the
676 topmost boundary is free to move (Figure 15d).

677

678 **Figure 15.** Applied boundary conditions in the numerical analysis: (a) Initial stage (b) Freezing
679 stage (c) Thawing stage (d) Ground deformation assessment stage

680

681 In the present study, seven types of soil profiles are considered (Figure 16). Two of these profiles
682 consist of homogeneous soils, wherein one profile comprises only RS and the other profile
683 comprises only BS. The remaining five soil profiles are reconstituted multi-layered couplets of
684 varved laminae. The multi-laminae profiles considered in this study are likely to replicate the
685 layered structure observed in natural varved clays. These profiles are created by sequentially
686 placing alternate laminae of RS and BS, with the number of couplets varying among the five
687 varved clay profiles. The chosen profiles comprise 2 layered single couplet, 4 layered dual couplet,
688 8 layered quadruple couplets and 16 layered octuplet couplets. In reality, there can be any numbers
689 of couplets depending on the depositional recurrences. However, the object of the current study is
690 to analyze the typical influence of number of laminae on the frost heave and thaw settlement of a
691 varve deposit. Accordingly, the cross-section of the soil profiles for both the homogeneous soils
692 and the varved laminae is considered identical. This ensures a consistent comparison of the
693 behavior and characteristics of the different soil types within the unified geometrical framework.
694 The input parameters for the initial stress conditions in the various soil profiles are according to

695 the findings from the DST, Standard Proctor Compaction Tests, and UCS tests, the details of which
696 are already mentioned in the earlier parts of the manuscript.

697

698 **Figure 16.** Different homogenous and reconstituted varved clay profiles

699

700 **3.1 Theory of heat transfer in TEMP/W module**

701 Free convection of water refers to the movement of water caused by temperature gradients (Schenk
702 and Schenkels, 1968). Temperature gradients across soil boundaries result in changes in water
703 density. This means that upon heating, water moves due to the decrease in density. For example,
704 heated water rises to replace the denser and cooler water in the system. In this study, the ‘Full
705 Thermal’ material model is selected to characterize heat transmission across the soil profiles. This
706 material model assumes a constant volumetric water content throughout the analysis, allowing
707 thermal conductivity to vary with temperature while maintaining constant volume heat capacities
708 in frozen and unfrozen states. The functional relationship between material thermal conductivity
709 and temperature in the considered material model is estimated as given in Equation 7.

$$k = k_u + (MF)(k_f - k_u) \quad (7)$$

710 where k_u is the unfrozen thermal conductivity; k_f is frozen thermal conductivity; and MF is a
711 modifier function which is uniquely defined for a range of freezing point temperatures.

712

713 The first law of thermodynamics, based on the law of conservation of energy, governs the rate of
714 change of thermal energy stored in a control volume and it describes the modality of the change in
715 energy in a system (Tolhoek and De Groot, 1952). According to this law, the rate of change of
716 thermal energy must equal the energy entering the control volume minus the energy leaving it,
717 plus the energy generated within it.

718

719 The rate of change of thermal energy stored in soil containing water within the control volume
720 ($dx. dy. dz$) is given by

$$\dot{E}_{st} = \dot{U}_{sens} + \dot{U}_{lat} = \dot{U}_{sen} + \dot{U}_{sf} + \dot{U}_{fg} \quad (8)$$

721 where \dot{E}_{st} represents the rate of change of the stored thermal energy; \dot{U}_{sens} represents the rate of
722 change of thermal energy associated with sensible heat; \dot{U}_{lat} represents the rate of change of

723 thermal energy associated with latent heat; \dot{U}_{sf} accounts for the change in latent energy within the
 724 soil, encompassing both freezing and melting case i.e when conversion takes place from liquid to
 725 solid and vice-versa; and \dot{U}_{fg} represents the change in latent heat due to vapourization, which is
 726 not considered in the present analysis ($\dot{U}_{fg} = 0$).

727

728 The rate of change of thermal energy associated with sensible heat (\dot{U}_{sens}) is

$$\dot{U}_{sens} = C_p \frac{\partial T}{\partial t} dx dy dz \quad (9)$$

729 where C_p represents volumetric heat capacity parameter.

730 The rate of change of thermal energy associated with fusion of water (\dot{U}_{sf}) is represented by

$$\dot{U}_{sf} = -h_{sf} \frac{\partial M_{ice}}{\partial t} = -\rho_{ice} h_{sf} \frac{\partial \theta_{ice}}{\partial t} dx dy dz \quad (10)$$

731 where M_{ice} and ρ_{ice} represent the mass and density of the ice, respectively and θ_{ice} represents
 732 the volumetric ice content in the soil mass.

733 For free convection, the volumetric heat capacity (C_{ap}) is given by

$$C_{ap} \frac{\partial T}{\partial t} = \frac{\partial}{\partial y} \left(k \frac{\partial T}{\partial y} \right) \quad (11)$$

734 where k represents the thermal conductivity of the soil. C_{ap} is further defined as

$$C_{ap} = C_p + \rho_w h_{sf} \frac{\partial \theta_{uwc}}{\partial T} \quad (12)$$

735 where ρ_w represents the density of water and θ_{uwc} represents the unfrozen water content in the
 736 soil.

737

738 **3.2 Verification of the Numerical Model**

739 The numerical modelling approach in the present study was verified using the work of Konrad and
 740 Morgenstern (1981) and Amiri et al. (2021). Amiri et al. (2021) modelled frost heave using
 741 extended FE numerical modelling and verified it with the work of Konrad and Morgenstern (1981),
 742 who studied frost heaving of Devon silt. Some parameter values such as heat capacity, thermal
 743 conductivity, and stiffness were not originally reported by Konrad and Morgenstern (1981), which
 744 were later used by Amiri et al. (2021) from other literature sources on the same soil. The numerical
 745 approach used in present study has been validated using the collated parameters provided by

746 Konrad and Morgenstern (1981) and Amiri et al. (2021). The original experimental test was
747 conducted on a soil column with a length of 78 mm. The initial temperature across the soil profile
748 was +3°C, and freezing was initiated by reducing the top surface temperature to -5.5°C. The
749 hydraulic conductivity of the sample used was 1×10^{-7} cm/s. The heat capacity of frozen and
750 unfrozen soil was 4190 J/kg·K and 2095 J/kg·K, respectively. The thermal conductivity of frozen
751 and unfrozen soil was 2.2 J/m·s·K and 3 J/m·s·K, respectively. The Young's modulus and
752 Poisson's ratio used were 5 MPa and 0.25 respectively. Since no information on the shear strength
753 parameters of the soil (cohesion and angle of internal friction) was available, an isotropic elastic
754 material model was used. Figure 17 shows the heave magnitude obtained through present
755 modelling approach with that of Amiri et al. (2021). A reasonable agreement is obtained between
756 the reported results obtained through both the approaches, with a maximum difference being less
757 than 2 mm. Hence, the numerical model developed for the present study can be considered reliable
758 and hence, is used for further studies.

759

760 **Figure 17.** Verification of the numerical model developed in the present study

761

762 **4. Results and Discussions**

763 The freezing and thawing analysis in the present study involve seven soil samples, with two being
764 homogeneous and the remaining five consisting of reconstituted varve clays. All the soil profiles
765 have dimensions 4 m along the depth and 1 m along the width, with the water table at the bottom
766 of the soil profiles. The homogeneous soil profiles comprise two separate profiles, one comprising
767 only of RS and the other comprising only of BS. In the reconstituted varved arrangement, RS and
768 BS are arranged in alternate layers of varying thickness along a 4-meter soil depth profile. This
769 study aims to analyse and compare the soil profile under two conditions. Firstly, it studies the
770 impact of the sequential arrangement of RS and BS on freezing and thawing. Secondly, it explores
771 the influence of the number of laminae in the varved soil structure under the effect of freezing and
772 thawing. To investigate how the arrangement of laminae affects the displacement of a soil profile
773 during freezing and thawing, two cases of sequential arrangements of 2-layered soil profiles are
774 studied with RS and BS. In one scenario, RS overlies BS which is denoted as 2L-RS-BS, and in
775 the other arrangement, BS overlies RS which is denoted as 2L-BS-RS. Further, to examine the
776 effect of the number of laminae along the soil profile (4 m), RS and BS are arranged in alternating

777 sequential layers, forming reconstituted varved clays with 4, 8, and 16 layers. In all these
778 arrangements, RS laminae occupy the uppermost layer, while BS laminae occupy the bottommost
779 position.

780

781 The study includes seven soil specimens analyzed at seven initial suction magnitudes of 0 kPa, -
782 2.5 kPa, -5 kPa, -7.5 kPa, -10 kPa, -15 kPa, and -20 kPa. The initial suction pressure of 0 kPa
783 represents the soil in a fully saturated state, whereas negative suction magnitudes represent
784 unsaturated states of soil. The aim of considering different initial suction across soil profiles is to
785 understand how the initial suction, which corresponds to the initial water content in the soil, affects
786 the deformation rate in different soil profiles. These suction values are chosen because frost-
787 heaving in the soil can only be initiated if sufficient water is present in the soil along with some
788 external source of water. Therefore, for the present analysis, the numerical simulation begins with
789 an initial suction value of 0 kPa (fully saturated soil condition), and further simulations are carried
790 out by increasing the suction values to -2.5 kPa, -5 kPa, -7.5 kPa, -10 kPa, -15 kPa, and -20 kPa,
791 respectively, with an available external source of water as the groundwater table, which lies at the
792 bottom of the soil profile.

793

794 Figures 18 and 20 clearly demonstrate that the applied initial suction pressure significantly affects
795 the deformation in all the soil profiles. It is observed that the higher the initial suction, the lower
796 is the frost-heave in all the soil profiles. For applied initial pressure beyond -10 kPa, there is not
797 much deformation observed in the soil profiles after the initial heave in the first month; therefore,
798 the present study is carried out with the stated initial suction values up to -20 kPa. In the present
799 study, all the soil profiles under different initial pressure conditions are analyzed for a period of
800 365 days. The analysis begins from November, when the freezing period starts. The freezing period
801 is when the atmospheric temperature remains at or below 0°C, whereas during the thawing period,
802 the atmospheric temperature remains above 0°C. For the study area considered in this study, the
803 freezing period is typically from November to March (0 to 151 days), whereas the thawing period
804 is from April to October (152 to 365 days).

805

806 In cold regions, where temperatures drop below 0°C during the freezing period, a gradual freezing
807 front traverses from the soil surface exposed to the atmosphere to the inner depth of the soil profile.

808 This results in a temperature gradient between the top and bottom sections of the soil. When there
809 is water present in the soil under this temperature gradient, water migration occurs from regions
810 of higher potential (higher temperature) to lower potential (lower temperature). The analysis
811 considers an initial temperature of 4°C across all soil profiles. This choice aligns with the average
812 monthly temperature of October, just before the commencement of the freezing period in
813 November. As the freezing starts, the available water in the soil at a given initial pressure migrates
814 from the bottom of the soil profile to the upper soil profile, moving from the bottom towards the
815 freezing front at the top. The temperature gradient plays a crucial role in driving this water
816 migration. The amount of secondary heave the soil experiences upon freezing, under a given initial
817 pressure, depends on the net resultant force. This force is influenced by water migration within the
818 soil profile under gravity and the upward movement (against gravity) of water due to convection.
819 The interplay between gravity-driven water movement and convection-induced upward water flow
820 contributes to the overall deformation and frost-heave observed in the soil profiles during the
821 freezing period.

822
823 The deformation profiles of RS, BS, and the 2L varve couplet (2L-RS-BS and 2L-BS-RS) at
824 different initial pressures are illustrated in Figures 18a to Figure 18n under freezing and thawing
825 conditions. These graphs provide insights into the sequencing effects on these soil profiles. In the
826 homogeneous soil profiles of RS and BS at an initial suction pressure of 0 kPa and -2.5 kPa, the
827 rate of heaving and the heave magnitudes at the end of each month during the freezing period up
828 to 151 days are notably higher for RS than for BS (Figure 18a and 18c). For instance, at an initial
829 pressure of 0 kPa, RS exhibits heave magnitudes at the end of November, December, January,
830 February, and March as 8.4 mm, 15.5 mm, 21.6 mm, 27.25 mm, and 32.1 mm, respectively, while
831 the corresponding values for BS are 3.1 mm, 5.7 mm, 7.9 mm, 9.9 mm, and 11.6 mm. This trend
832 is consistent with higher initial suction pressure of -2.5 kPa wherein higher frost-heave is higher
833 in homogenous RS as compared to BS for the entire freezing period (Figure 18c). The higher heave
834 in RS compared to BS can be attributed to multiple factors which includes higher permeability,
835 high thermal conductivity, and lower unfrozen water content in RS than BS for the same freezing
836 temperature. Higher permeability of RS facilitates a greater water flow towards the freezing front
837 during the convection process. The higher thermal conductivity in RS leads to quicker flow of
838 temperature under the established temperature gradient. As a result, the freezing front temperature

839 traverses faster in RS than in BS. Additionally, at a given freezing temperature, the amount of
840 unfrozen water in RS is lesser than BS. This means that at the same temperature, the volume of
841 water converting to ice will be higher for RS than for BS. These factors contribute to the high
842 ultimate heaving at the end of each freezing month, followed by a higher rate of heaving in RS
843 compared to BS. During the thawing period (day 151-365), although the rate of settlement in RS
844 is greater than in BS, the settlement magnitudes at the end of each month are lower for BS than for
845 RS. This can be attributed to higher thermal conductivity of RS, resulting in a quicker temperature
846 change in the soil and a higher melting rate. Additionally, RS also has higher hydraulic
847 conductivity, leading to the rapid dissipation of meltwater. Since the final heave magnitude in RS
848 is significantly higher than in BS by the end of the freezing period (i.e., by the end of March), even
849 though the rate of settlement is higher in RS, the ultimate settlement values at the end of each
850 month still remain higher for RS. For example, considering the final thawing magnitudes at the
851 end of April, May, June, July, August, September, and October at an initial pressure of 0 kPa, the
852 values for RS are 22.8 mm, 19.8 mm, 16.8 mm, 14.6 mm, 12.8 mm, and 2.8 mm, respectively,
853 while for BS, the corresponding values for the given months are 8.5 mm, 7.6 mm, 7.1 mm, 6.0
854 mm, 4.9 mm, and 1.0 mm (Figure 18a and 18b). A similar trend of lesser settlement magnitudes
855 for RS and BS is observed for the soil profiles with an initial pressure of -2.5 kPa.

856
857 As the initial suction pressure in the soil profile is increased to -5 kPa, -7.5 kPa, and -10 kPa, a
858 significant observation is made where heaving in BS surpasses RS after a certain duration of
859 freezing. The heave in BS is higher than RS at the end of January for an initial pressure of -5 kPa
860 (Figure 18e). For an initial suction of -7.5 kPa and -10 kPa (Figure 18g and Figure 18i), the heave
861 in BS is higher than in RS after December and January, respectively. The behaviour of RS and BS
862 can be attributed to the net resultant flow of water, as mentioned briefly in the previous section,
863 explaining how water movement under convection and gravity acts during freezing. The
864 permeability of RS is higher than the permeability of BS. Therefore, as soon as the analysis begins
865 at the stated suction values of -5 kPa, -7.5 kPa, and -10 kPa, water from the upper soil section starts
866 permeating to the lower soil profile. Due to high permeability of RS, water percolates into lower
867 soil profiles at higher velocity under the effect of gravity. This creates even higher negative suction
868 at the upper soil profiles than the initially applied suction, even though the temperature at the soil
869 surface is negative (freezing temperature). This mechanism is observed in BS soil as well, but due

870 to its low permeability, water movement in lower soil profiles is restricted. Therefore, at the same
871 freezing temperature at the surface of RS and BS profiles, BS has a comparatively higher amount
872 of water in its upper layers to move under convection and contribute to solidification of water
873 under freezing. Consequently, this results in higher heave in BS than RS after a few months of
874 freezing at initial suction magnitudes of -5 kPa, -7.5 kPa, and -10 kPa. The initial heaving in RS
875 at the stated initial suction is greater than that in BS due to the initial freezing of water in the top
876 surface layer. For a clearer understanding, Figures 19a to 19l are shown in the paper to illustrate
877 the distribution of water pressure profiles at different initial pressures and freezing times for RS
878 and BS profiles. Figures 19a and 19b show the pressure profile across RS and BS, respectively,
879 after 91 days (end of January) for the considered initial pressure in the soil profile of 0 kPa. It can
880 be observed from both the figures that pore water pressure built up is higher in RS compared to
881 BS throughout the soil profiles. When the initial pressure is set at -5 kPa in both of these
882 homogeneous soils, the pressure profile across RS is much lower compared to that of BS at the
883 corresponding soil profile depths (Figure 19c and 19d). Similar behavior can be observed in the
884 RS and BS soil profiles after 120 days of analysis (end of February), where the soil profiles with
885 initial pressures of 0 kPa and -7.5 kPa are compared (Figure 19e to 19h). After 120 days of analysis
886 (end of February), the water pressure generated in the RS soil profile is higher when the initial
887 pressure is set at 0 kPa for both RS (Figure 19e) and BS (Figure 19f), whereas the reverse trend is
888 observed when the initial pressure is increased to -7.5 kPa for RS (Figure 19g) and BS (Figure
889 19h). Similar observations of the changed pressure trend for RS and BS are found after 151 days
890 (end of March) when the initial pressure across the soil profile is changed from 0 kPa to -10 kPa
891 (Figure 19i to 19l). Additionally, it can be observed from the figures that the days on which this
892 behavior is observed are delayed as the initial suction in the soil profiles increases (Figure 19a to
893 19l). Such behaviors are not observed in the previous cases where the initial pressure in the soil
894 was 0 kPa (fully saturated) and -2.5 kPa (near saturation). In both cases, there is no scope for water
895 to infiltrate to lower depths of the soils, as the soil voids are already filled with water, and at the
896 bottom, there is a water table. Therefore, as soon as the initial pressure is increased in the soil to
897 allow sufficient voids for water to move downwards under gravity, the above-discussed
898 mechanism comes into play.
899

900 When the initial suction pressure is further increased to -15 kPa and -20 kPa in the homogeneous
901 RS and BS profiles, the heaving magnitudes for all months again become less for BS as compared
902 to RS for the entire freezing period (Figure 18k and 18m). However, as observed for initial suctions
903 of -7.5 kPa and -10 kPa, the heave in RS occurs only during the initial freezing month of January,
904 and for the remaining freezing period (Figure 18g and 18i), there is negligible change in heaving.
905 Similar observations of initial heave are also made for when the initial pressure profile is -15 kPa
906 and -20 kPa (Figure 18k and 18m). The heaving magnitudes during the entire freezing period
907 become less for BS as compared to RS at initial suction pressures of -15 kPa and -20 kPa. This is
908 likely because, at these initial suctions, the water content in both soil profiles is significantly low,
909 and the lower hydraulic conductivity of BS (compared to RS) is unable to compensate for this low
910 initial moisture content. RS, with its low unfrozen water content, exhibits initial heave during the
911 first month, which remains almost constant for the remaining freezing time. In contrast, in BS, the
912 heaving rate is very slow due to the high initial suction in the soil. As a result, the ultimate heave
913 magnitude throughout the freezing periods remains less than RS at initial suction pressures of -15
914 kPa and -20 kPa.

915
916 The settlement curves of homogeneous profiles of RS and BS when an initial pressure of 0 kPa
917 and -2.5 kPa (Figure 18b and 18d) is considered have already been discussed in the above section.
918 The rate of settlement is higher in RS due to its greater thermal conductivity which results in
919 quicker ice melting compared to BS. Additionally, RS has higher hydraulic conductivity which
920 aids in faster dissipation of pore water pressure than BS. However, the ultimate settlement
921 magnitudes of RS being higher than BS can be attributed to the higher heave magnitude of RS
922 than BS at the end of the freezing period. For instance, the initial suction of 0 kPa, the heave at the
923 end of the freezing period, i.e., at the 151th day, is 32.1 mm for RS and 11.6 mm for BS (Figure
924 18b). Therefore, the ultimate heave at the end of the freezing period in RS is 2.8 times the heaving
925 in BS. For the initial pressure of -2.5 kPa, the heaving at the 151th day for RS and BS is 28.5 mm
926 and 10.8 mm, respectively (Figure 18d), meaning the heave in RS is 2.6 times that of BS.

927 The frost-heave and thaw-settlement graphical plots for the considered initial pressure of -5 kPa
928 indicate a transition pressure (Figure 18e and 18f). This pressure seems to affect the behavior of
929 RS and BS, altering their heave behavior after a certain duration of freezing, which subsequently
930 impacts their settlement behavior as well. From the heaving curves of RS and BS when the initial

931 suction pressure is -5 kPa, between December to February, BS shows higher heave than RS (Figure
932 18e). The corresponding effect can also be seen in its thawing behavior when the settlement
933 magnitude of BS is higher than the settlement magnitude of RS between April to September
934 (Figure 18f).

935

936 As discussed in the above section, when considering the initial suction pressures of -7.5 kPa, -10
937 kPa, -15 kPa, and -20 kPa, only initial heaving during the first month (November) is observed, and
938 for the remaining period, negligible change in heave is noticed (Figure 18g, 18i, 18k, and 18m).
939 At these initial pressures, as the thawing period begins, a very small magnitude of settlement is
940 observed throughout the thawing period (Figure 18h, 18j, 18l, and 18n). It must be noted that in
941 all soil profiles at the end of the thawing period (i.e., the 365th day), the settlement magnitude is
942 not 0, unlike before the beginning of the analysis. This is because the study employs Thiesen's
943 formula, which considers the variable density of water at different temperatures. Therefore, during
944 the thawing period, the temperature distribution in RS and BS governs the melting and migration
945 of water in the soil profile. Consequently, the density at these temperatures dictates the settlement.
946 The higher thermal conductivity of RS facilitates a faster transfer of positive temperatures
947 throughout the soil profile during the thawing period. At higher temperatures, the density of liquid
948 water is comparatively lower, resulting in a higher volume. As a result, the ultimate settlement at
949 the 365th day is consistently higher in the case of BS compared to RS at all the considered initial
950 pressures. Since in RS, heave occurs during the initial month of November at the stated pressures,
951 it is evident that there is no significant pull of water from the groundwater table; therefore, very
952 less magnitude of settlement is observed.

953

954 At initial suction of -5kPa, -7.5 kPa, and -10 kPa, BS shows continuous heave, surpassing RS for
955 the reasons discussed in the above section. Since the heaving at the end of the freezing period is
956 higher for BS, this means there's a higher amount of frozen water. When the thawing begins,
957 initially the settlement magnitude is higher in BS compared to RS at these pressures (Figure 18f,
958 18h, and 18j). As the water dissipates, the settlement continues, and eventually, the settlement
959 magnitudes fall below those of RS. This transition occurs at the end of September, end of June,
960 and end of May for the initial suction profiles of -5 kPa, -7.5 kPa, and -10 kPa, respectively, during
961 the thawing period. The reasons for transitions are explained in the above section.

962

963 The settlement magnitude of RS remains higher than that of BS when the initial pressure in the
964 soil is considered as -15 kPa and -20 kPa (Figure 18l and 18n). This is attributed to the lower
965 heaving in the BS profiles due to the reasons discussed above, which, in turn, results in a lower
966 magnitude of settlement compared to RS. Additionally, for initial pressures ranging from -5 kPa
967 to -20 kPa, both the rate of heaving and the rate of thawing are high in the case of BS. This is in
968 contrast to RS, where such high rates are observed at lower initial suction ranges of 0 kPa and -2.5
969 kPa. This phenomenon may be attributed to the high pull of water from the groundwater table
970 under the effect of convection at higher initial suction pressure (> -2.5 kPa). This leads to a higher
971 heaving rate in BS. Consequently, this higher heaving rate is associated with a higher rate of
972 thawing observed for high initial pressures in the BS profiles.

973

974 To investigate the effect of laminae arrangement on frost-heave and thaw-settlement behavior in
975 the soil, RS and BS are arranged in two different sequences along the vertical profile (Figure 17).
976 In one case, RS lamina overlies BS lamina (2L-RS-BS), while in the other arrangement, BS lamina
977 overlies RS lamina (2L-BS-RS). Observations from the graphs indicate that for initial suctions of
978 0 kPa, -2.5 kPa, -5 kPa, and -7.5 kPa, the rate of heaving and heaving in 2L-BS-RS is consistently
979 higher at all freezing times compared to the heaving in 2L-RS-BS (Figure 18b, 18d, 18f, and 18h).
980 For example, at the end of the 151-day freezing period (March end), the ultimate heave for 2L-
981 RS-BS is 17.3 mm, 15.7 mm, 9.34 mm, and 1.4 mm at initial pressures of 0 kPa, -2.5 kPa, -5 kPa,
982 and -7.5 kPa, respectively. Conversely, the corresponding values for 2L-BS-RS at these pressures
983 are 24.5 mm, 22.2 mm, 13.8 mm, and 2.3 mm. This behavior can be mainly attributed to the supply
984 of water from the underlying soil. In the case of 2L-BS-RS, where RS underlies BS, its high
985 hydraulic conductivity enables it to supply more water to the BS lamina under convection
986 compared to 2L-RS-BS. In the latter case, the underlying lamina is BS, which has lower hydraulic
987 conductivity than RS. As discussed earlier in the paper, soil heave results from the combined effect
988 of water migration towards the freezing front and the freezing of water to ice. In layered soil
989 systems, water migration appears to play a major role in heaving. During thawing at these stated
990 initial pressures, the initial rate of thawing (up to the end of May) in 2L-BS-RS is higher than 2L-
991 BS-RS, which can be attributed to the higher hydraulic conductivity of the lower laminae RS.
992 However, after the end of May, the rate of thawing in 2L-RS-BS is higher than 2L-BS-RS, which

993 may be attributed to the rapid melting of frozen water in 2L-RS-BS. However, with these initial
994 pressures, the settlement magnitudes remain higher for the 2L-BS-RS profile than the 2L-RS-BS
995 profile. Additionally, the variation in settlement becomes almost negligible for the 2L-RS-BS soil
996 profile after July, June, and May when the initial pressure of -5 kPa, -7.5 kPa, and -10 kPa,
997 respectively, is considered. This indicates that the overlying soil predominantly determines the
998 settlement behavior in the 2-layered varved system.

999

1000 At an initial suction pressure of -10 kPa, both two-layered soil profiles, 2L-RS-BS and 2L-BS-RS,
1001 undergo show complex deformation under freezing and thawing (Figure 18i and 18j). Up to the
1002 end of January, 2L-RS-BS shows slightly higher heave compared to 2L-BS-RS. However, for the
1003 remaining freezing period, heave for 2L-BS-RS surpasses that of 2L-RS-BS. This difference is
1004 because, in 2L-RS-BS, there is negligible deformation in the soil profile after the first month of
1005 freezing duration. In contrast, for 2L-BS-RS, both gradual heave during the freezing period and
1006 gradual settlement during the thawing period are observed. In 2L-RS-BS, heaving becomes
1007 negligible after the end of November, meaning the heave magnitude after November end remains
1008 constant for the remaining freezing period, up to March end. A similar trend is observed for both
1009 soil profiles during the thawing period. The reason for these behaviors lies in the fact that in 2L-
1010 RS-BS, since the applied negative suction is sufficiently high, whatever water is present in RS at
1011 this suction infiltrates from upper layers to lower layers (in RS lamina). Therefore, there is not
1012 sufficient water available near the freezing front of 2L-RS-BS to freeze and contribute to heaving.
1013 On the other hand, for 2L-BS-RS, since the freezing front lies in the BS lamina, and BS has low
1014 hydraulic conductivity, there is an availability of moisture near the freezing front of 2L-BS-RS,
1015 which can participate in the heaving. During thawing, the settlement magnitude of 2L-RS-BS
1016 shows negligible change throughout the entire thawing period, whereas 2L-BS-RS shows a slightly
1017 higher rate of heaving compared to the 2L-RS-BS soil profile.

1018

1019 When the initial suction profile in the soil is further increased to -15 kPa and -20 kPa (Figure 18l
1020 and 18n), the heaving in 2L-RS-BS remains higher throughout the freezing period compared to
1021 the 2L-BS-RS case. This is due to the similar reason discussed for higher heave in RS than BS at
1022 higher suction magnitudes of -15 kPa and -20 kPa. Therefore, at higher suction values, the moisture
1023 content falls to significantly low values, and this water traverses downwards due to gravity. In RS

1024 and 2L-RS-BS, only a small initial heave up to November end can be observed, which remains
1025 almost constant for the remaining freezing period. For BS and 2L-BS-RS, the low permeability of
1026 BS leads to slightly higher water content near the freezing front even at higher suction magnitudes.
1027 Consequently, both BS and 2L-BS-RS still experience slight heave during the freezing period
1028 followed by changing settlements during the thawing period. From these observations also, it can
1029 be stated that the overlying soil dominantly determines the settlement behavior in the 2-layered
1030 varved system, as stated previously for other initial suctions.

1031

1032 **Figure 18(a).** Frost-heave in RS, BS and single couplets at initial pressure of 0 kPa

1033

1034 **Figure 18(b).** Thaw-settlement RS, BS and single couplets at initial pressure of 0 kPa

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1036 **Figure 18(c).** Frost-heave in RS, BS and single couplets at initial pressure of -2.5 kPa

1037

1038 **Figure 18(d).** Thaw-settlement in RS, BS and single couplets at initial pressure of -2.5 kPa

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1040 **Figure 18(e).** Frost-heave in RS, BS and single couplets at initial pressure of -5 kPa

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1042 **Figure 18(f).** Thaw-settlement in RS, BS and single couplets at initial pressure of -5 kPa

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1044 **Figure 18(g).** Frost-heave in RS, BS and single couplets at initial pressure of -7.5 kPa

1045

1046 **Figure 18(h).** Thaw-settlement in RS, BS and single couplets at initial pressure of -7.5 kPa

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1048 **Figure 18(i).** Frost-heave in RS, BS and single couplets at initial pressure of -10 kPa

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1050 **Figure 18(j).** Thaw-settlement in RS, BS and single couplets at initial pressure of -10 kPa

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1052 **Figure 18(k).** Frost-heave in RS, BS and single couplets at initial pressure of -15 kPa

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1054 **Figure 18(l).** Thaw-settlement in RS, BS and single couplets at initial pressure of -15 kPa

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Figure 18(m). Frost-heave in RS, BS and single couplets at initial pressure of -20 kPa

Figure 18(n). Thaw-settlement in RS, BS and single couplets at initial pressure of -20 kPa

Figure 19 (a). Water Pressure distribution along RS profile after 91 days (At initial pressure = 0 kPa)

Figure 19 (b). Water Pressure distribution along BS profile after 91 days (At initial pressure = 0 kPa)

Figure 19 (c). Water Pressure distribution along RS profile after 91 days (At initial pressure = -5 kPa)

Figure 19 (d). Water Pressure distribution along BS profile after 91 days (At initial pressure = -5 kPa)

Figure 19 (e). Water Pressure distribution along RS profile after 120 days (At initial pressure = 0 kPa)

Figure 19 (f). Water Pressure distribution along BS profile after 120 days (At initial pressure = 0 kPa)

Figure 19 (g). Water Pressure distribution along RS profile after 120 days (At initial pressure = -7.5 kPa)

Figure 19 (h). Water Pressure distribution along BS profile after 120 days (At initial pressure = -7.5 kPa)

Figure 19 (i). Water Pressure distribution along RS profile after 151 days (At initial pressure = 0 kPa)

1086 **Figure 19 (j).** Water Pressure distribution along BS profile after 151 days (At initial pressure = 0
1087 kPa)

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1089 **Figure 19 (k).** Water Pressure distribution along RS profile after 151 days (At initial pressure = -
1090 10 kPa)

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1092 **Figure 19 (l).** Water Pressure distribution along BS profile after 151 days (At initial pressure = -
1093 10 kPa)

1094
1095 Figures 20(a) to 20(n) depict the frost-heave and thaw-settlement profiles of RS, BS, and
1096 reconstituted varved clay profiles with different numbers of layers (2L, 4L, 8L, and 16L). In all
1097 layered soil systems, including 2L, lamina made of RS occupies the topmost position in the soil
1098 (exposed to the atmosphere), while BS holds the bottommost position (in contact with the water
1099 table). Therefore, when comparing displacements in the soil profiles, 2L-RS-BS is simply referred
1100 to as 2L in this section. From the graphical plots of frost-heave and thaw-settlement of the layered
1101 soil profiles, it is observed that these displacement values mostly lie between the corresponding
1102 values of homogeneous profiles of RS and BS.

1103
1104 When the soil is fully saturated (at an initial pressure of 0 kPa) and near saturated (at an initial
1105 pressure of -2.5 kPa), all the soil profiles show heaving and settlement throughout the freezing and
1106 thawing periods, respectively (Figure 20a to 20d). At an initial pressure of -5 kPa (Figure 20f),
1107 homogeneous RS shows some initial heave during the first freezing month (November), after
1108 which the heave magnitude remains constant for the remaining two months of December and
1109 January. The heave is observed again from January end to the end of the freezing period (up to
1110 March end). This initial heave is attributed to the freezing of surface water. After this, not enough
1111 water is available in the soil profile to contribute to convection due to drainage of water from upper
1112 RS layers to bottom portions under gravity, as discussed in the previous section. However, for BS,
1113 heaving occurs for the entire freezing period due to the reasons discussed above. For the
1114 reconstituted varved couplet, these mechanisms occur due to the unique effects of both RS and
1115 BS. The displacements observed in reconstituted varved clay profiles occur at an intermediate level
1116 between the displacements observed in individual RS and BS profiles. The initial heaving stops

1117 after the first month for these reconstituted varved clays, as observed in the case of RS. However,
1118 the heaving begins again in December, unlike in the case of RS, whose heaving resumes during
1119 January. Further, when the initial pressure is further increased to -7.5 kPa, -10 kPa, -15 kPa, and -
1120 20 kPa, negligible change in the displacement (both heaving and settlement) is observed after the
1121 initial heave during November for all soil profiles, except for BS (Figure 20g to 20n).

1122
1123 Among the layered soil profiles, 2L consistently exhibits the minimum heave throughout the
1124 freezing duration, regardless of the considered initial pressures in the study (0 kPa, -2.5 kPa, -5
1125 kPa, -7.5 kPa, -10 kPa, -15 kPa, and -20 kPa) (Figure 20a to 20n). Additionally, the settlement
1126 magnitude is also lower for 2L compared to all other considered reconstituted varved clay profiles
1127 at the specified suction pressures. Slightly higher heaving than 2L is observed for the 4L varve
1128 profile at initial pressures of 0 kPa, -2.5 kPa, -5 kPa, -7.5 kPa, and -10 kPa. At an initial suction
1129 pressure of -15 kPa, the heaving in all layered soil profiles (4L, 8L, and 16L) is nearly the same.
1130 For the -20 kPa initial pressure across the soil profile, 2L shows the highest heave among all the
1131 reconstituted varved clay profiles. A careful analysis reveals that at near-saturation pressures (0
1132 kPa, -2.5 kPa, and -5 kPa), the 2L profile exhibits the highest final heave, with the 4L, 8L, and
1133 16L profiles following in decreasing order of deformation. When the initial pressure is -7.5 kPa
1134 and -10 kPa, 2L still exhibits the lowest heave among reconstituted varved clays with the heaving
1135 curves for 8L and 16L are almost overlapping. After an increase in initial pressure to -15 kPa, 2L
1136 maintains the lowest heave among reconstituted varved profiles, while the heaving curves for 4L,
1137 8L, and 16L almost overlap. At an initial pressure of -20 kPa, 2L continues to exhibit the minimum
1138 heave throughout the entire freezing period. However, among the 4L, 8L, and 16L varved profiles,
1139 4L demonstrates the highest heave, followed by 8L and 16L at -20 kPa initial pressure.

1140
1141 In previous settlement cases of RS, BS, and 2L varved couplets, it is observed that during the
1142 thawing period (365th day, October end), the ground does not completely return to its original
1143 position, with the ultimate settlement still registering a magnitude above 0. Similar observations
1144 are made for reconstituted varved arrangements. When the rate of heaving is high, the settlement
1145 magnitude is also high at all considered initial pressures. Conversely, soils with a lower heaving
1146 rate exhibit a lower settlement rate. However, the final settlement magnitude remains higher for
1147 soils that initially show a higher heaving rate. This settlement trend aligns with the observations

1148 for the reconstituted layered soil structure. Among reconstituted varved clays, the settlement
1149 magnitude is consistently minimum for 2L across all considered initial pressures (0 kPa, -2.5 kPa,
1150 -5 kPa, -7.5 kPa, -10 kPa, -15 kPa, and -20 kPa). A slightly higher settlement magnitude than in
1151 the case of 2L is observed for 4L reconstituted varved clay at 0 kPa, -2.5 kPa, -5 kPa, -7.5 kPa,
1152 and -10 kPa, whereas at -20 kPa, the settlement magnitude for 4L becomes the highest. For
1153 settlement magnitudes in 8L and 16L, the curves are almost overlapping. Upon close observation,
1154 it is noted that the settlement magnitude is higher in 16L than in 8L for initial suctions of 0 kPa, -
1155 2.5 kPa, -5 kPa, and -7.5 kPa. At -10 kPa and -20 kPa initial pressures, the settlement magnitudes
1156 become equal, and at -20 kPa, the settlement magnitude is observed to be higher for 8L compared
1157 to 16L.

1158
1159 **Figure 20(a).** Frost-heave in RS, BS and varved soils at initial pressure of 0 kPa

1160
1161 **Figure 20(b).** Thaw-settlement in RS, BS and varved soils at initial pressure of 0 kPa

1162
1163 **Figure 20(c).** Frost-heave in RS, BS and varved soils at initial pressure of -2.5 kPa

1164
1165 **Figure 20(d).** Thaw-settlement in RS, BS and varved soils at initial pressure of -2.5 kPa

1166
1167 **Figure 20(e).** Frost-heave in RS, BS and varved soils at initial pressure of -5 kPa

1168
1169 **Figure 20(f).** Thaw-settlement in RS, BS and varved soils at initial pressure of -5 kPa

1170
1171 **Figure 20(g).** Frost-heave in RS, BS and varved soils at initial pressure of -7.5 kPa

1172
1173 **Figure 20(h).** Thaw-settlement in RS, BS and varved soils at initial pressure of -7.5 kPa

1174
1175 **Figure 20(i).** Frost-heave in RS, BS and varved soils at initial pressure of -10 kPa

1176
1177 **Figure 20(j).** Thaw-settlement in RS, BS and varved soils at initial pressure of -10 kPa

1178

1179 **Figure 20(k).** Frost-heave in RS, BS and varved soils at initial pressure of -15 kPa

1180

1181 **Figure 20(l).** Thaw-settlement in RS, BS and varved soils at initial pressure of -15 kPa

1182

1183 **Figure 20(m).** Frost-heave in RS, BS and varved soils at initial pressure of -20 kPa

1184

1185 **Figure 20(n).** Thaw-settlement in RS, BS and varved soils at initial pressure of -20 kPa

1186

1187 **5. Conclusions**

1188 The present study conducts an in-depth analysis of freezing and thawing behavior in homogeneous
1189 and reconstituted varved clay profiles, focusing on the influence of soil type, laminae arrangement,
1190 the number of laminae, and initial suction pressures. Based on the present study, observations and
1191 inferences, the following are the key conclusions drawn:

- 1192 1. The investigation covers a range of initial suction values from 0 kPa (fully saturated) to -
1193 20 kPa, revealing that the initial water content significantly influences deformation during
1194 freezing and thawing. Higher initial suction pressures result in lower frost-heaving and
1195 subsequently lower settlement magnitudes in all soil profiles.
- 1196 2. The study underscores the crucial role of the temperature gradient in driving water
1197 migration within the soil profile during freezing. The resulting deformation and frost-heave
1198 are attributed to the interplay between gravity-driven water movement and convection-
1199 induced upward water flow.
- 1200 3. Among homogeneous soil profiles of RS and BS, RS consistently exhibits higher frost-
1201 heave than BS at saturated and near-saturated states (initial pressures of 0 kPa and -2.5
1202 kPa). This is attributed to higher permeability, high thermal conductivity, and lower
1203 unfrozen water content of RS which contributes to greater water flow, faster temperature
1204 transfer, and more substantial ice formation. At initial pressures of -5 kPa, -7.5 kPa, and -
1205 10 kPa, despite both RS and BS experiencing freezing temperatures, BS retains a
1206 comparatively higher amount of water near its freezing front due to its low permeability.
1207 This results in higher heave in BS compared to RS. At initial suction pressures of -15 kPa
1208 and -20 kPa, RS displays an initial heave during the first month, persisting at a nearly

1209 constant rate throughout the remaining freezing period, while the high initial suction in BS
1210 impedes the heaving rate, resulting in consistently lower ultimate heave magnitudes.

1211 4. The frost-heave in reconstituted varved clay profiles at different suction pressures is
1212 influenced by the hydraulic and temperature characteristics of both RS and BS. The number
1213 of laminae in varved structures (2L, 4L, 8L, 16L) affects frost-heave and thaw-settlement,
1214 with the 2L varve consistently exhibiting the minimum heave. As the number of layers
1215 increase, the deformation generally follows the order $2L < 4L < 8L \approx 16L$.

1216 5. Settlement magnitudes during the thawing period are influenced by the initial heaving rate,
1217 with higher initial heave resulting in greater final settlement magnitudes. The settlement
1218 trend suggests that soils with higher initial heave rates experience more significant final
1219 settlement magnitudes.

1220

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1228

1229 **Compliance with Ethical Standards**

1230 **Conflict of Interest:** The authors declare that they have no known competing financial interests or personal
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1232 **Ethical Approval:** This article does not contain any studies with human participants or animals performed
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1238

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