



# Wavelets

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# What are Wavelet Transforms?

- Mathematical Functions
- Much like Fourier Transforms
- Approximating functions contained neatly in finite domain
- Well-suited for approximating data with sharp discontinuities.



# Wavelets in Compression

- Original signal represented using coefficients in a linear combination of wavelet functions
- Data operations performed using just the corresponding wavelet coefficients
- Truncating the coefficients below a threshold, helps in representing the data sparsely, thus resulting in “Compression”



# Advantages over Fourier Transform (FT)

- FT shows the frequency content of a function but loses the time information
- FT does a very poor job in approximating sharp spikes and discontinuities as compared to WT
- Reason : The basis function used by FT (sine and cosines) are infinitely long



# Short Time Fourier Transform (STFT)

- STFT was first developed to have **time localization** and to analyze non-stationary signals
- In STFT analysis, window function is placed first at the beginning of signal and slid along with time to capture different segments of signal at different times
- Hann, Hamming, Kaiser are some examples of windows
- Ideal characteristic of a window is that the local spectral behaviour of the signal must be identified accurately.

# STFT Cont'd.

- The STFT is given as

$$X_{STFT}(b, \omega) = \int_{-\infty}^{+\infty} x(t) \overline{v(t-b)} e^{-j\omega t} dt$$

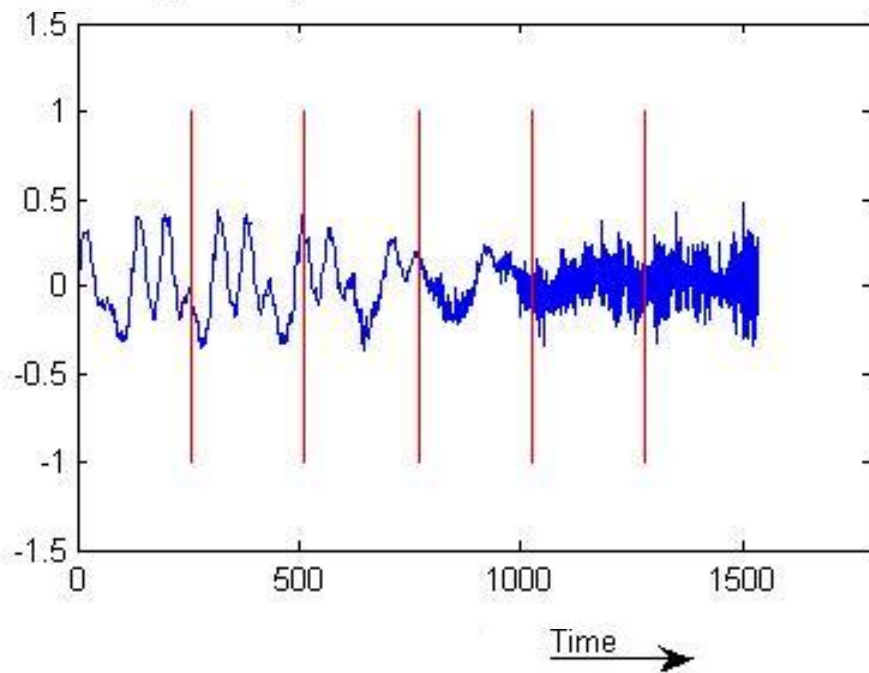
where,  $V$  is window function

- The inverse relationship is given as

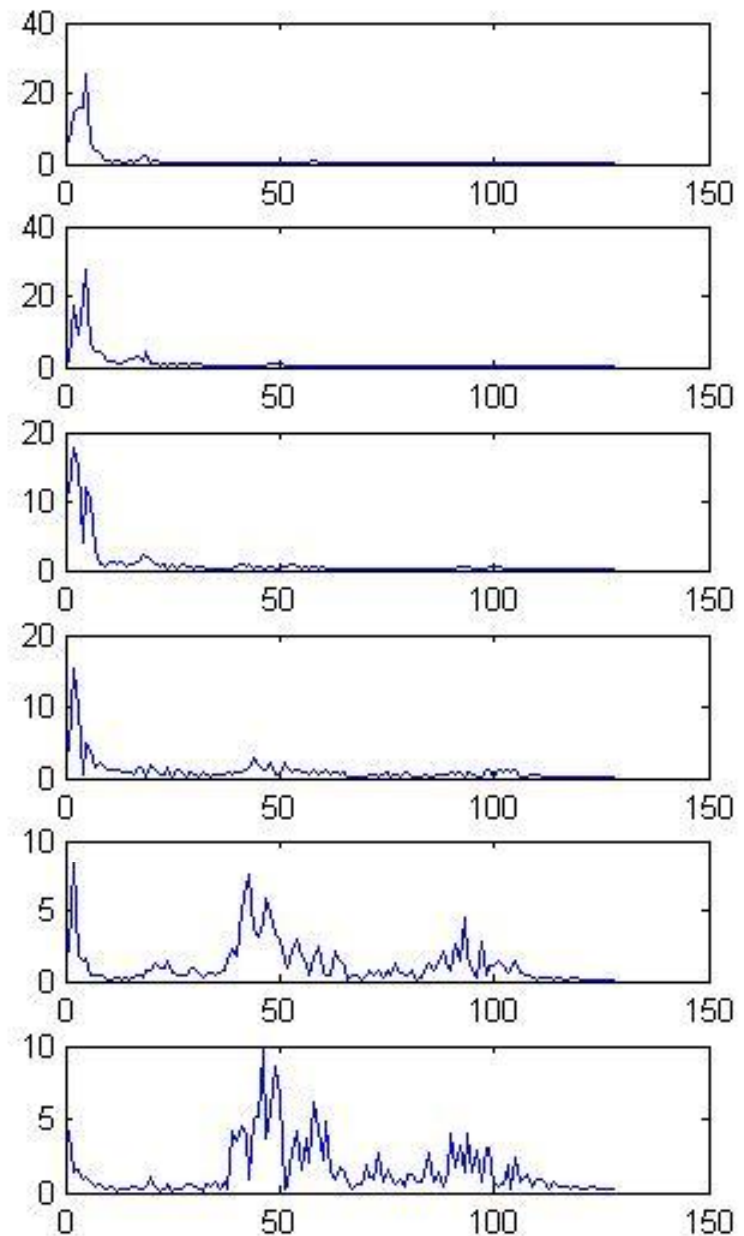
$$x(t) = A \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} X_{STFT}(b, \omega) v(t-b) e^{j\omega t} d\omega db$$

# Illustration of STFT

Original Signal, with Windows demarkated



Fourier Transform of each Window





# History of Wavelets

## PRE - 1930's

- First mention : Appendix to thesis of A.Haar(1909)
- 1807- Joseph Fourier, Fourier Synthesis
- Gradually focus shifted from the previous notion of *frequency analysis* to *scale analysis*.
- *Scale analysis* – Less sensitive to noise as it measures average fluctuations of signal at different scales.





# History of Wavelets (contd.)

## 1930's

- Representation of functions using *scale-varying basis functions*
- Paul Levy investigated Brownian Motion using Haar basis function. Found Haar basis function to be better than Fourier basis function

## 1960 – 80's

- Grossman and Morlet defined wavelets in context of quantum physics.



# History of Wavelets (contd.)

## Post – 1980's

- Stephane Mallat(1985) - Gave wavelets an additional jump-start through his work in Digital Signal Processing
- Y.Meyer – Meyer wavelets, continuously differentiable
- Ingrid Daubechies – Perhaps the most elegant set of wavelet orthonormal basis functions



# **Piecewise Constant Approx.**



# Piecewise Constant Approximation

- Function takes constant value on small intervals
- Constant Value = Average of the signal over that interval

$$x_T(t) = \frac{1}{T} \int_{(T)} x(t) dt$$

- Information obtained
  - Specific to the resolution
  - Incremental Information



# Piecewise Constant Approximation (contd.)

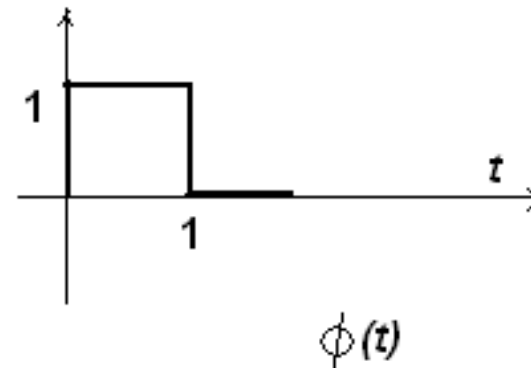
- Incremental Information expressed as multiple of function  $\psi(t)$
- Translates and dilates -  $\psi(2^m t - n)$  capture information specific to other resolutions
- Over an interval, a smooth function  $x(t) =$

$$x_1(t) + \sum_{m=0}^{\infty} \sum_{n=0}^{2^m-1} c_{m,n} \psi(2^m t - n)$$

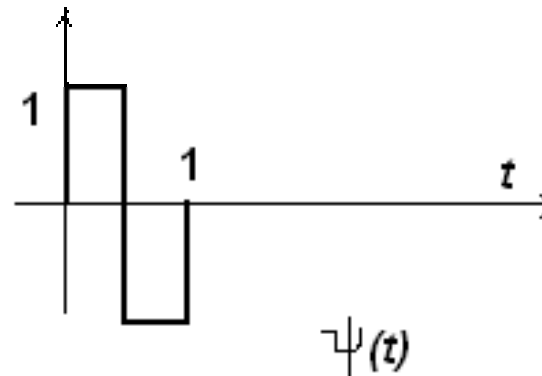
# The Haar Transform

- Multiresolution analysis

- Scaling function  $\phi(t)$



- Wavelet function  $\psi(t)$



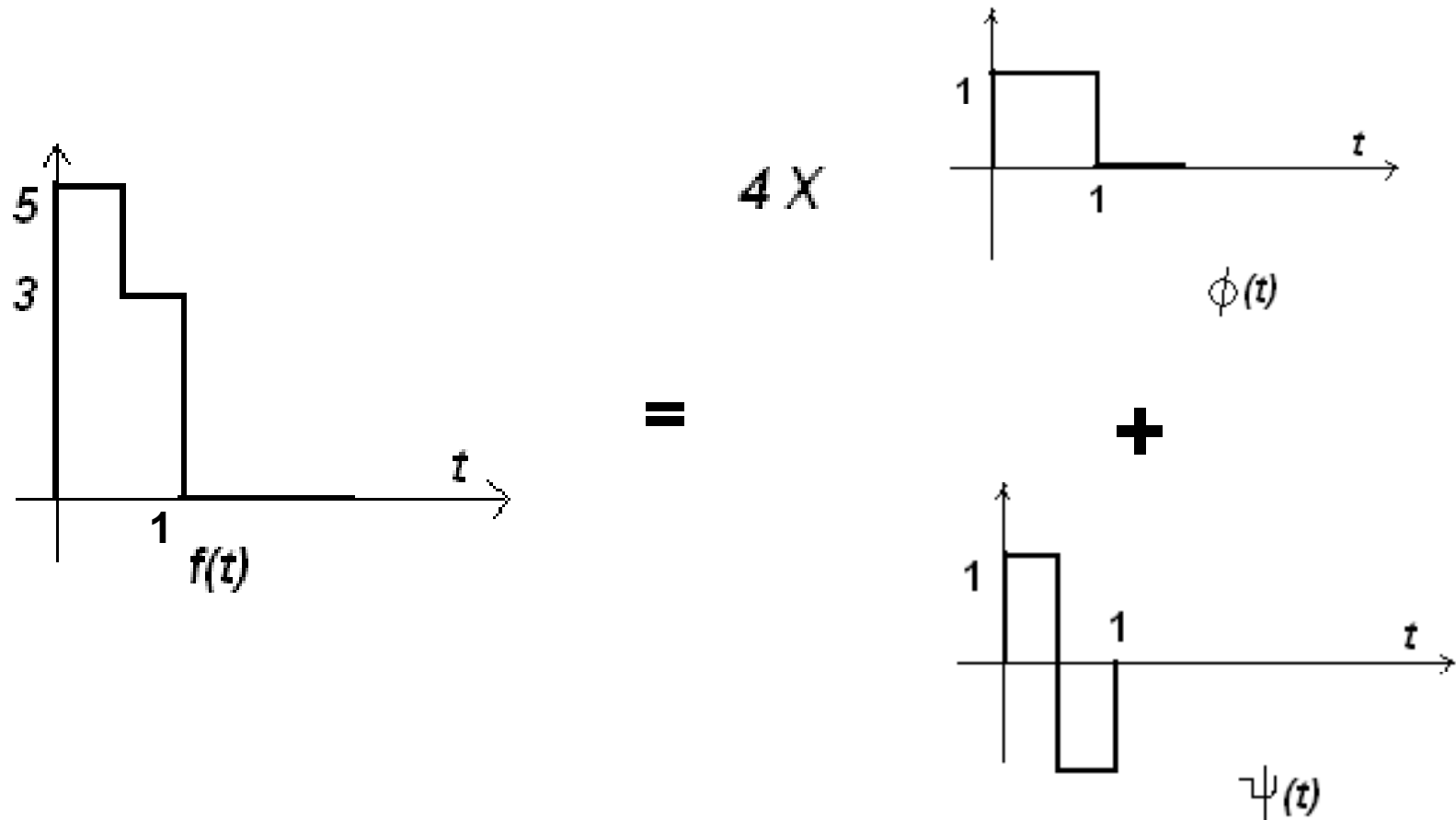


# Haar Transform (contd.)

- Haar – simplest orthogonal wavelet system
- Compact Support
- Large Class of signals represented as

$$f(t) = \sum_{k=-\infty}^{\infty} c_k \phi(t - k) + \sum_{k=-\infty}^{\infty} \sum_{j=0}^{\infty} d_{j,k} \psi(2^j t - k)$$

# Illustration:



Original Steps (5,3) transformed to (low resolution) **average** 4 and the (high resolution) **detail** 1.





# Illustration 2:

- **Input** : An array of 8 pixel values (1D) –

(1, 2, 3, 4, 5, 6, 7, 8)

- **Level-1**: Averages + Difference (detail)

(3/2, 7/2, 11/2, 15/2, -1/2, -1/2, -1/2, -1/2)

- **Level-2**:

(5/2, 13/2, -1, -1, -1/2, -1/2, -1/2, -1/2)

- **Level-3**:

(9/2, -2, -1, -1, -1/2, -1/2, -1/2, -1/2)

The final array is the **Haar Wavelet transform** of the input data

# Haar Transform on Images

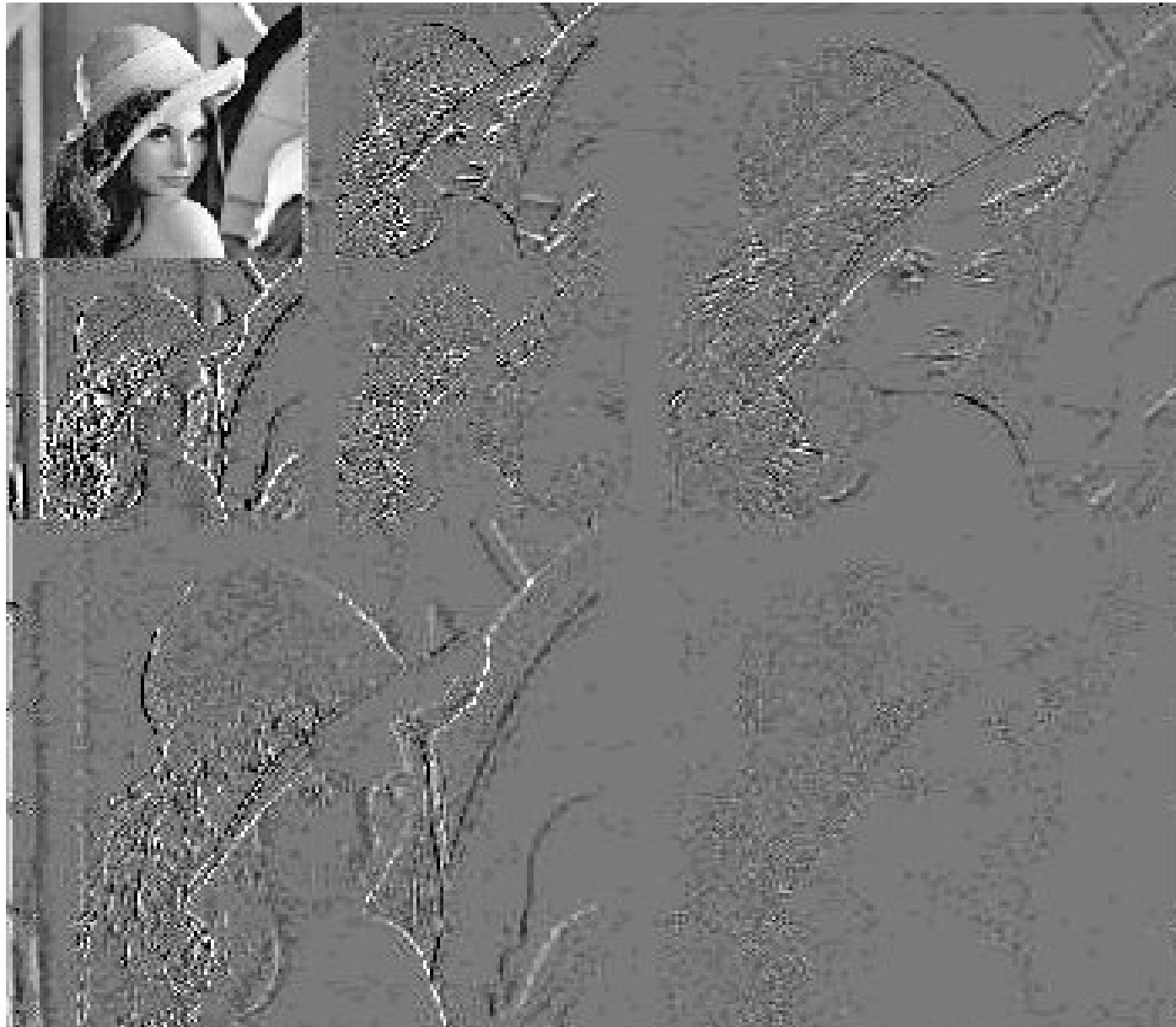
12	12	12	12	14	12	12	12
12	12	12	12	14	12	12	12
12	12	12	12	14	12	12	12
12	12	12	12	14	12	12	12
16	16	16	16	14	16	16	16
12	12	12	12	14	12	12	12
12	12	12	12	14	12	12	12
12	12	12	12	14	12	12	12



12	12	13	12	0	0	2	0
12	12	13	12	0	0	2	0
12	12	13	12	0	0	2	0
12	12	13	12	0	0	2	0
16	16	15	16	0	0	-2	0
12	12	13	12	0	0	2	0
12	12	13	12	0	0	2	0
12	12	13	12	0	0	2	0



12	12	13	12	0	0	2	0
12	12	13	12	0	0	2	0
14	14	14	14	0	0	0	0
12	12	13	12	0	0	2	0
0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0
4	4	2	4	0	0	-4	0
0	0	0	0	0	0	0	0



# Compression applied to “Lena”

Original



70%  
coefficients



40%  
coefficients



10%  
coefficients



# Properties – Scaling and Wavelet Function

- $\phi_k(t) = \phi(t - k) \quad k \in \mathbb{Z} \quad \phi(t) \in \mathbb{L}^2(\mathbb{R})$  defined as

$$V_j = \overline{\text{Span}_k\{\phi_k(2^j t)\}} = \overline{\text{Span}_k\{\phi_{j,k}(t)\}}$$

$$\phi_{j,k}(t) = 2^{j/2} \phi(2^j t - k)$$

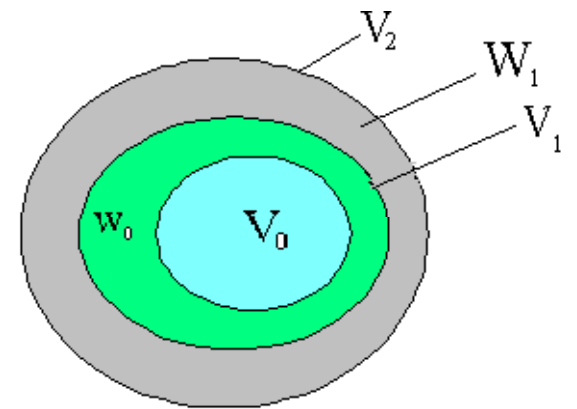
$$V_0 \subset V_1 \subset V_2 \subset \dots \subset \mathbb{L}^2(\mathbb{R})$$

- $\langle \phi_{j,k}(t), \psi_{j,l}(t) \rangle = \int \phi_{j,k}(t) \psi_{j,l}(t) dt = 0$
- All members of  $V_j$  are orthogonal to members of  $W_j$

# Properties – Scaling and Wavelet Function (contd.)

- Wavelet space  $V_1 = V_0 \oplus W_0$  defined as

whic  $V_2 = V_0 \oplus W_0 \oplus W_1$



- In general this gives

$$L^2(\mathbb{R}) = V_0 \oplus W_0 \oplus W_1 \oplus \dots$$



# Embedded Zero-Tree Wavelet (EZW)



# **EZW – A new approach!**

- Introduced by Jerome M. Shapiro in 1993
- A very effective image compression algorithm
- Yields a fully Embedded Code





# Key Features

- DWT which provides a compact multiresolution representation of the image
- **Zerotree coding** provides compact multiresolution representation of *significance maps*.
- Entropy-coded successive-approximation quantization
- Universal lossless data compression achieved via adaptive arithmetic coding



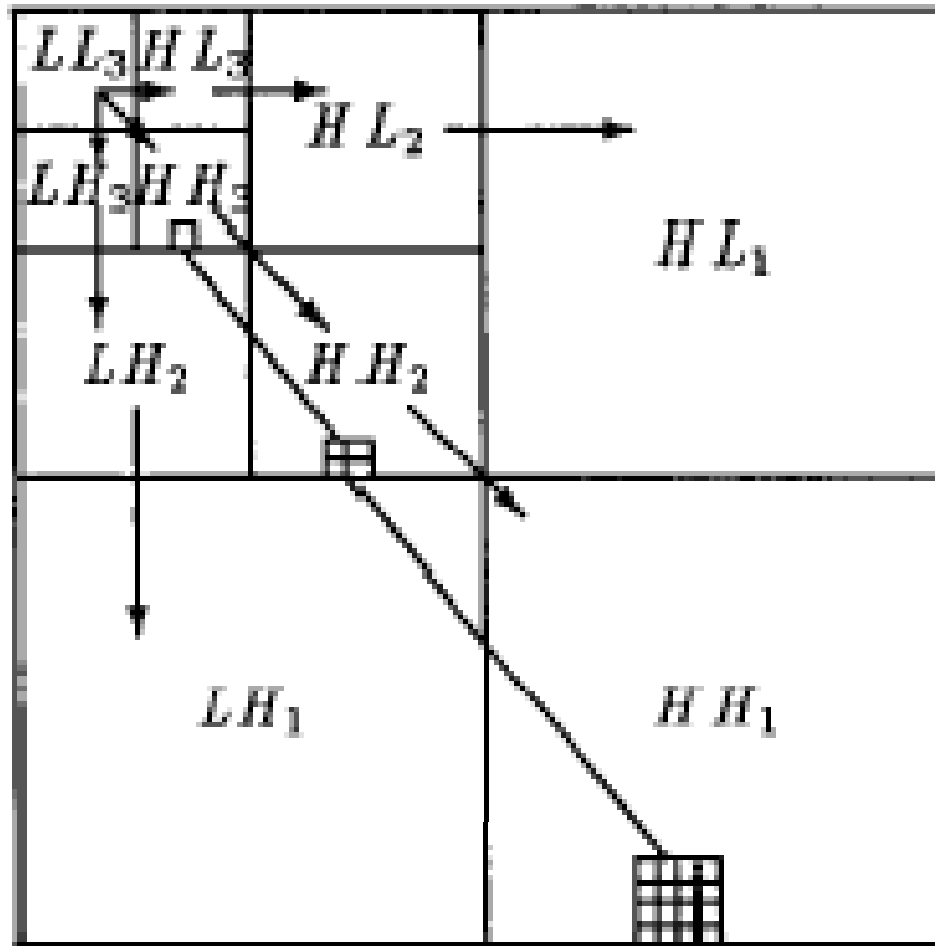
# Zerotree Coding Hypothesis

- If a wavelet coefficient is insignificant at a coarse scale with respect to a given threshold  $T$ , then **all** wavelet coefficients of the same orientation in the same spatial location at finer scales are insignificant
- More specifically, with the exception of the highest frequency subbands, every coefficient at a given scale can be related to a set of coefficients at the next finer scale of similar orientation.
- Coefficient at coarse scale: ***Parent*** .
- Coefficients corresponding to same spatial location at the next finer scale of similar orientation : ***Children***

# Parent-Child dependencies of subbands

- With the exception of lowest frequency subband, all parents have 4 children

- For lowest frequency subband, Parent-child relationship is defined such that :  
Each parent node has three children

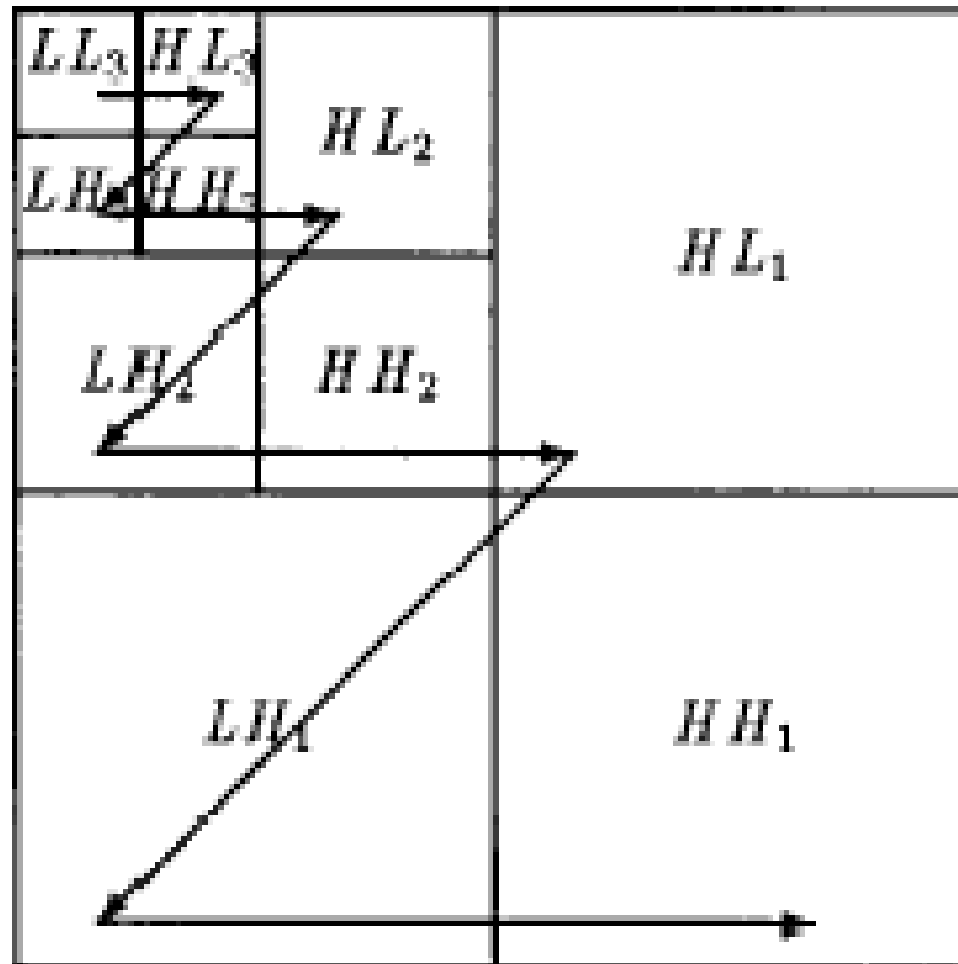




# Zerotree Coding

- No child is scanned before its parent
- Each coefficient within a given subband is scanned before any coefficient in the next subband

# Scanning Order for Encoding

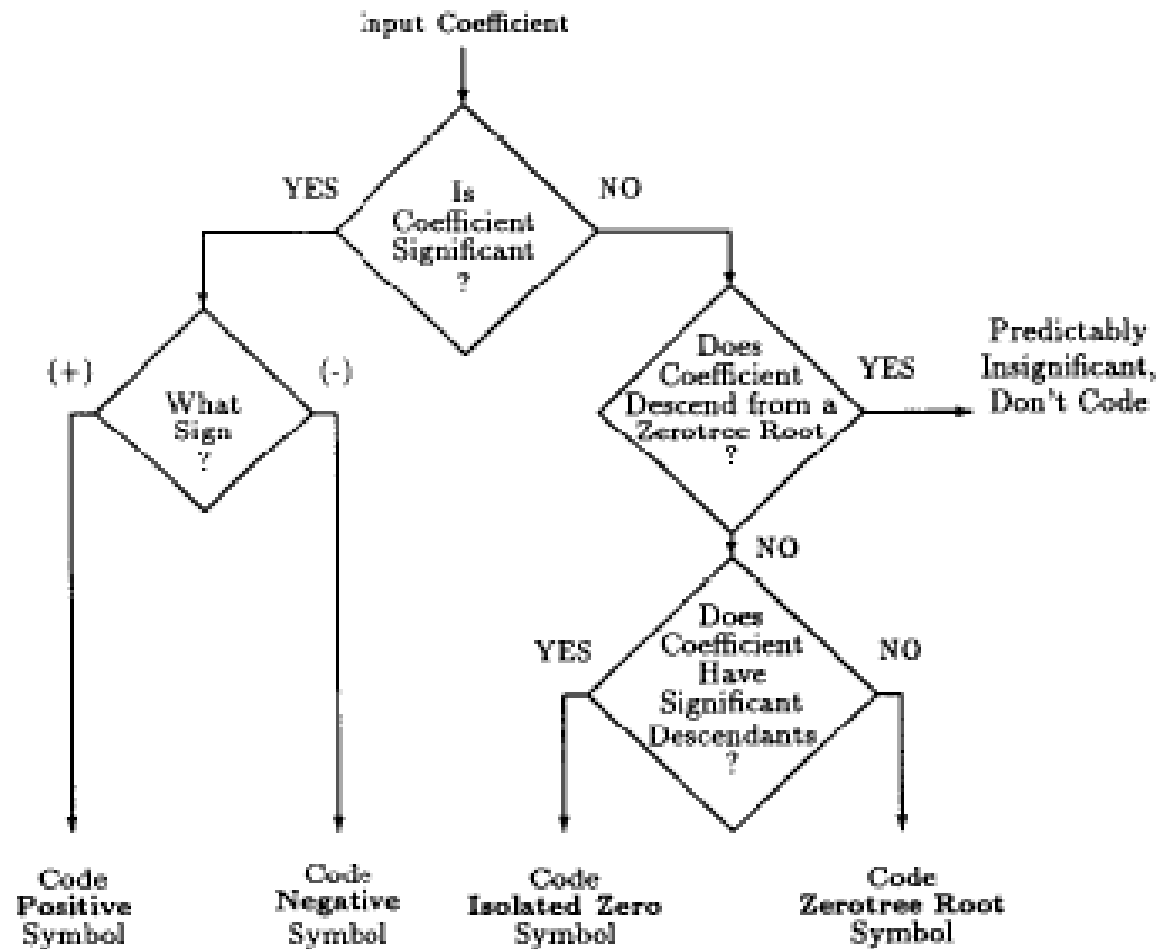




# Zerotree Algorithm

- A coefficient  $x$  is said to be an element of a *zerotree* for threshold  $T$ , if itself and all of its descendants are insignificant with respect to  $T$
- An element of a zerotree is a *zerotree root* if it is not the descendant of a previously found zerotree root

# Zerotree Algorithm!





# Successive-Approximation Quantization (SAQ)

- SAQ sequentially applies a sequence of thresholds  $T_0, T_1, \dots, T_{N-1}$  to determine significance, where thresholds are chosen so that  $T_i = T_{i-1} / 2$   
 $T_0$  is chosen such that  $|X_j| < 2T_0$  for all transform coefficients  $X_j$
- **2 separate lists are maintained – *dominant list* and *subordinate list***
- Dominant list: *Coordinates* of those coefficients that have not yet been found to be significant in the same relative order as the initial scan
- Subordinate List : *Magnitudes* of those coefficients that have been found to be significant





# SAQ (Contd..)

- For each threshold, each list is scanned once
- During a dominant pass, coefficients are compared to the threshold to determine their significance. The significance map is then zerotree coded
- Each time a coefficient is encoded as significant, its magnitude is appended to the subordinate list and coefficient in wavelet transform array is set to zero
- Dominant pass followed by subordinate pass where magnitudes are refined to an additional bit of precision



# Illustration

63	-34	49	10	7	13	-12	7
-31	23	14	-13	3	4	6	-1
15	14	3	-12	5	-7	3	9
-9	-7	-14	8	4	-2	3	2
-5	9	-1	47	4	6	-2	2
3	0	-3	2	3	-2	0	4
2	-3	6	-4	3	6	3	6
5	11	5	6	0	3	-4	4



# Illustration (Contd..)

- The largest coefficient magnitude is 63, therefore we chose our threshold  $T_0 = 32$
- First Dominant pass:
  - First coefficient has magnitude 63 which is greater than threshold, and is positive so a positive symbol is generated
  - Even though the coefficient 31 is insignificant with respect to the threshold 32, it has a significant threshold two generations down in subband LH1 with magnitude 47. Thus, symbol for an isolated zero is generated
  - The magnitude 23 is less than 32 and all descendants are insignificant. A zerotree symbol is generated, and no symbol will be generated for any descendant coefficient during current dominant pass. *AND so on...*
- No symbols were generated from subband HH2 which would ordinarily precede HL1 in the scan. Also, since HL1 has no descendants, entropy coding can resume using a 3-symbol alphabet where IZ and ZTR symbols are merged into the Z (zero) symbol

# Illustration (Contd...)

## Processing of First Dominant Pass

Comment	Subband	Coefficient Value	Symbol	Reconstruction Value
(1)	LL3	63	POS	48
	HL3	-34	NEG	-48
(2)	LH3	-31	IZ	0
(3)	HH3	23	ZTR	0
	HL2	49	POS	48
(4)	HL2	10	ZTR	0
	HL2	14	ZTR	0
	HL2	-13	ZTR	0
	LH2	15	ZTR	0
(5)	LH2	14	IZ	0
	LH2	-9	ZTR	0
	LH2	-7	ZTR	0
(6)	HL1	7	Z	0
	HL1	13	Z	0
	HL1	3	Z	0
	HL1	4	Z	0
	LH1	-1	Z	0
(7)	LH1	47	POS	48
	LH1	-3	Z	0
	LH1	-2	Z	0

# Illustration (Contd...)

- During the first dominant pass, which used a threshold of 32, four significant coefficients were identified.
- First subordinate pass will **refine** these magnitudes and identify them as being in the interval [32,48) which will be encoded with symbol “0” or in the interval [48,64) which will be encoded with the symbol “1”
- After the completion of the subordinate pass, the magnitudes on the subordinate list are sorted in decreasing magnitude

Coefficient Magnitude	Symbol	Reconstruction Magnitude
63	1	56
34	0	40
49	1	56
47	0	40



## Illustration (Contd...)

- The process continues to the second dominant pass with a new threshold of 16
- Only those coefficients not yet found to be significant are scanned. Previously significant are treated as zero
- The process continues alternating between dominant and subordinate passes and can stop at any time



# Set Partitioning in Hierarchical Trees (SPIHT)



# ***SPIHT?***

- Introduced by Amir Said and William Pearlman in 1996
- An extension of EZW
- Provides better performance than EZW
- **Reference :**  
A Said and W Pearlman, “**A New, Fast, and Efficient Image Codec based on Set Partitioning in Hierarchical Trees**”, *IEEE Trans. on Circuits and Systems for Video Technology*, vol.6, no.3, June 1996





# Features of SPIHT

- Ordering data is not explicitly transmitted
- Execution path of any algo is defined by the results of the comparisons on its branching points.  
Therefore, if encoder and decoder have same **sorting algorithm**, then the decoder can duplicate encoder's execution path if it receives the results of magnitude comparisons



# Set Partitioning Sorting Algorithm

- Sorting algo divides the set of pixels into partitioning subsets  $T_m$  and performs the magnitude test

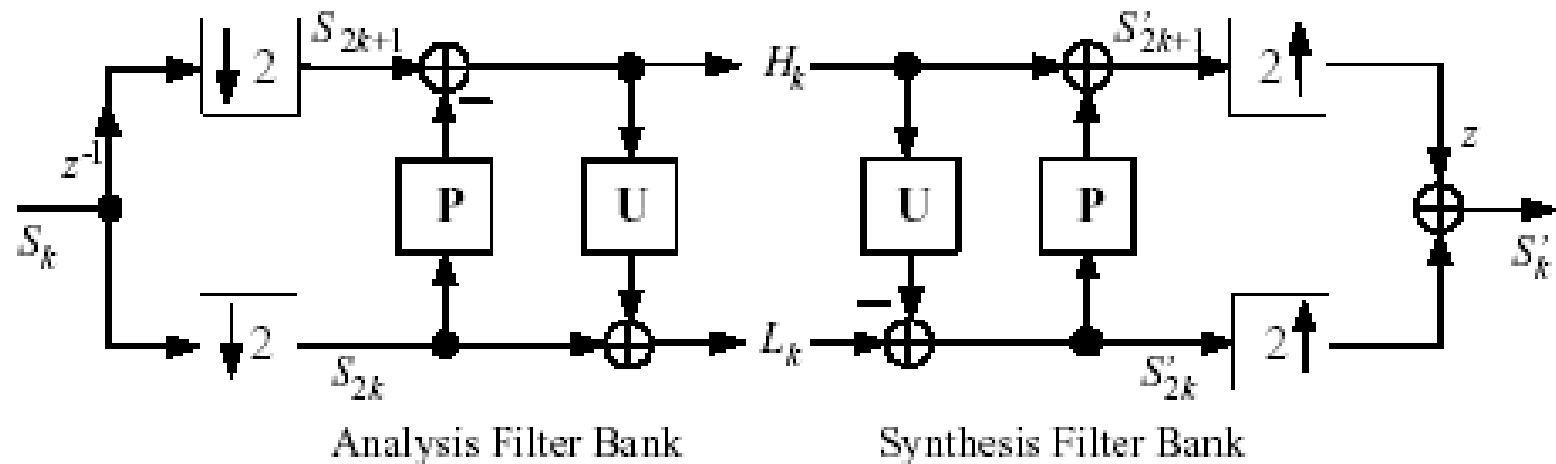
$$\max_{(i,j) \in T_m} \{ |c_{i,j}| \} \geq 2^n$$

- “No”: All coefficients in  $T_m$  are insignificant
- “Yes”: A certain rule shared by the encoder and the decoder is used to partition  $T_m$  into new subsets  $T_{m,l}$ . Significance test is then applied to the new subsets
- This set division process continues until the magnitude test is done to all single coordinate significant subsets in order to identify each significant coefficient



# Motion Compensated Temporal Filtering (MCTF)

# MCTF – based Video Coding



Obtaining the high-pass (prediction residual) pictures  

$$H_k = S_{2k+1} - P(S_{2k})$$

Obtaining the low-pass pictures

$$L_k = S_{2k} + U(S_{2k+1} - P(S_{2k})) = \frac{1}{2}S_{2k} + U(S_{2k+1})$$

where,  $U(P(s)) = s/2$



# Recent Advances in MCTF - based Video Coding

- Incorporation of motion compensation into the lifting steps of a temporal filter bank
- Lifting scheme is invertible
- Motion compensation with any motion model possible to incorporate
  - Variable block-size motion compensation
  - Sub-sample accurate motion vectors

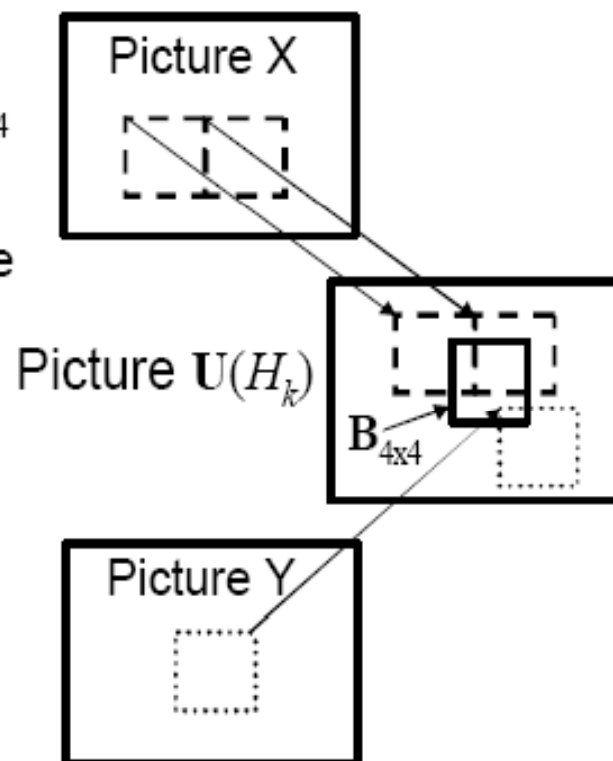


# MCTF Extension to H.264/AVC

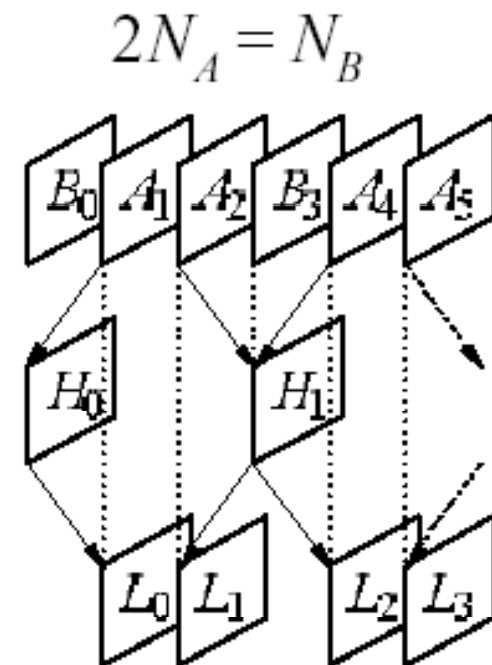
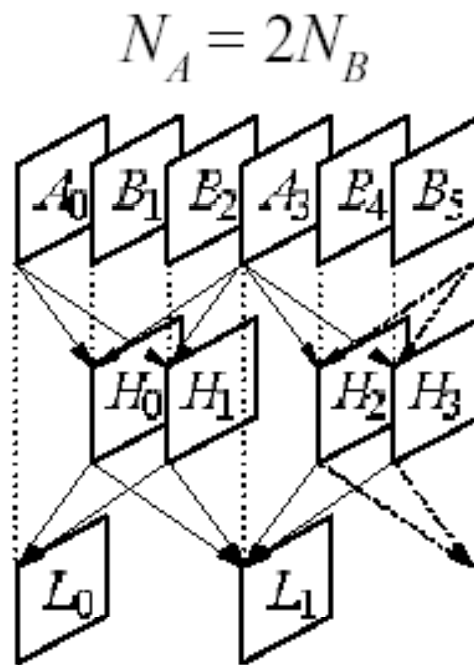
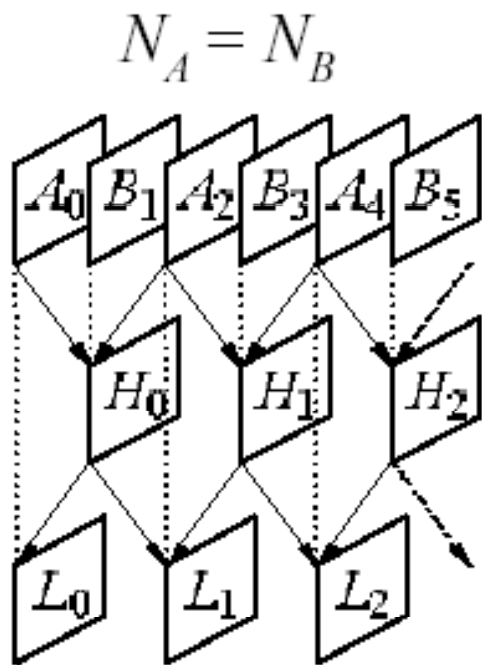
- Highly efficient motion model of H.264/AVC
- Lifting steps are similar to motion compensation in B slices
- Block-based residual coding
- Open-loop structure of the analysis filter bank offers the possibility to efficiently incorporate scalability
- Incorporation of multiple reference picture capabilities makes it similar to H.264/AVC
- Update Operators are derived at the decoder enabling B-frame like representation

# Derivation of Update Operators

- For each 4x4 luma block  $B_{4x4}$  in the picture  $U(H_k)$ , derive  $m_{U0}$ ,  $r_{U0}$ ,  $m_{U1}$ , and  $r_{U1}$  as follows
  - Evaluate all  $m_{p0}$  and  $m_{p1}$  that point into  $B_{4x4}$
  - Select those  $m_{p0}$  and  $m_{p1}$  that use maximum number of samples for reference out of  $B_{4x4}$
  - Set  $m_{U0} = -m_{p0}$  and  $m_{U1} = -m_{p1}$
  - Set  $r_{U0}$  and  $r_{U1}$  to point to those pictures into which MC is conducted using  $m_{p0}$  and  $m_{p1}$ , respectively
  - Harmonize derived  $m_{U0}$ ,  $r_{U0}$ ,  $m_{U1}$ , and  $r_{U1}$  with H.264/MPEG-4 AVC syntax



# Temporal Coding Structure

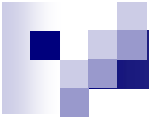




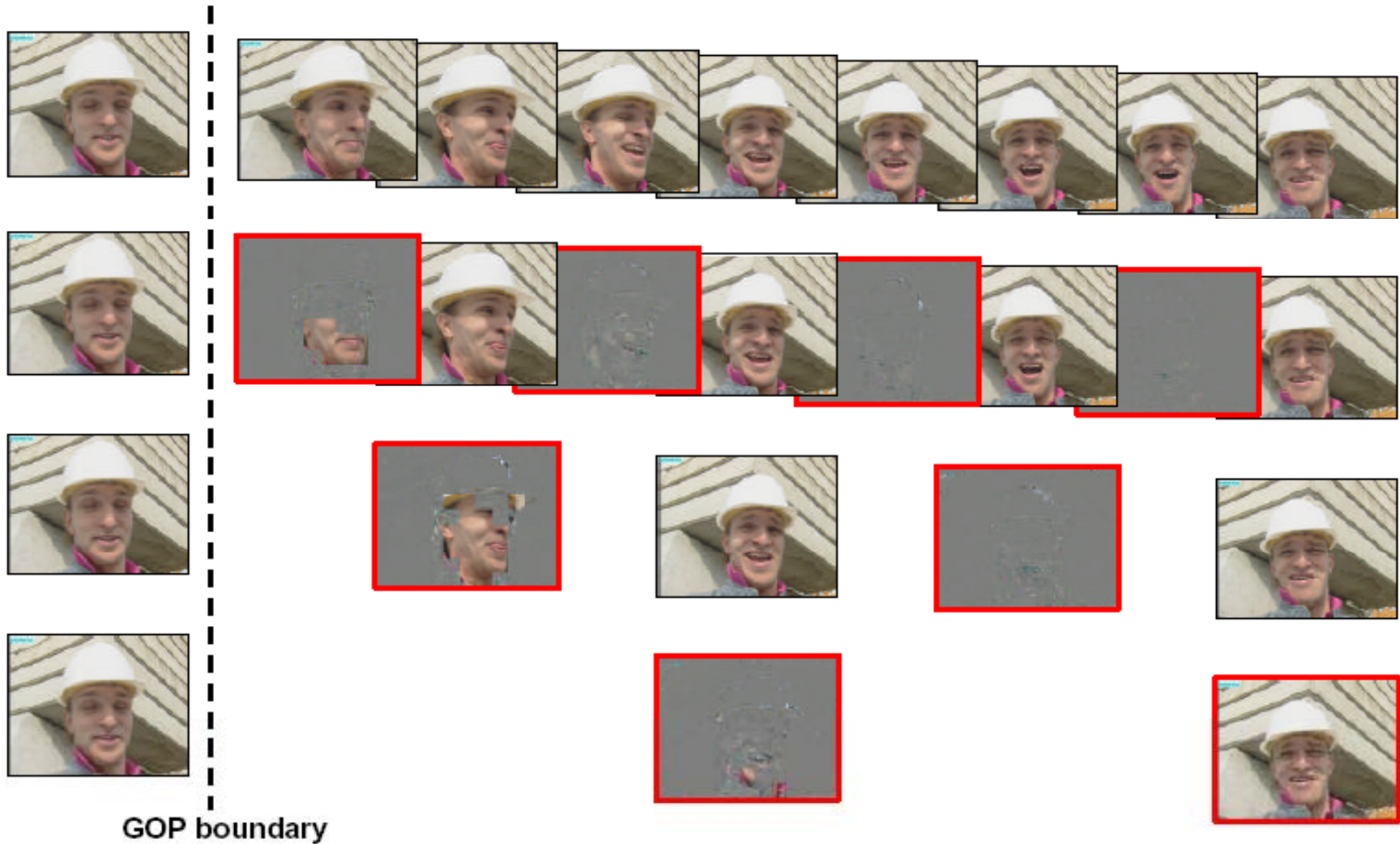


## Temporal Coding Structure (Contd..)

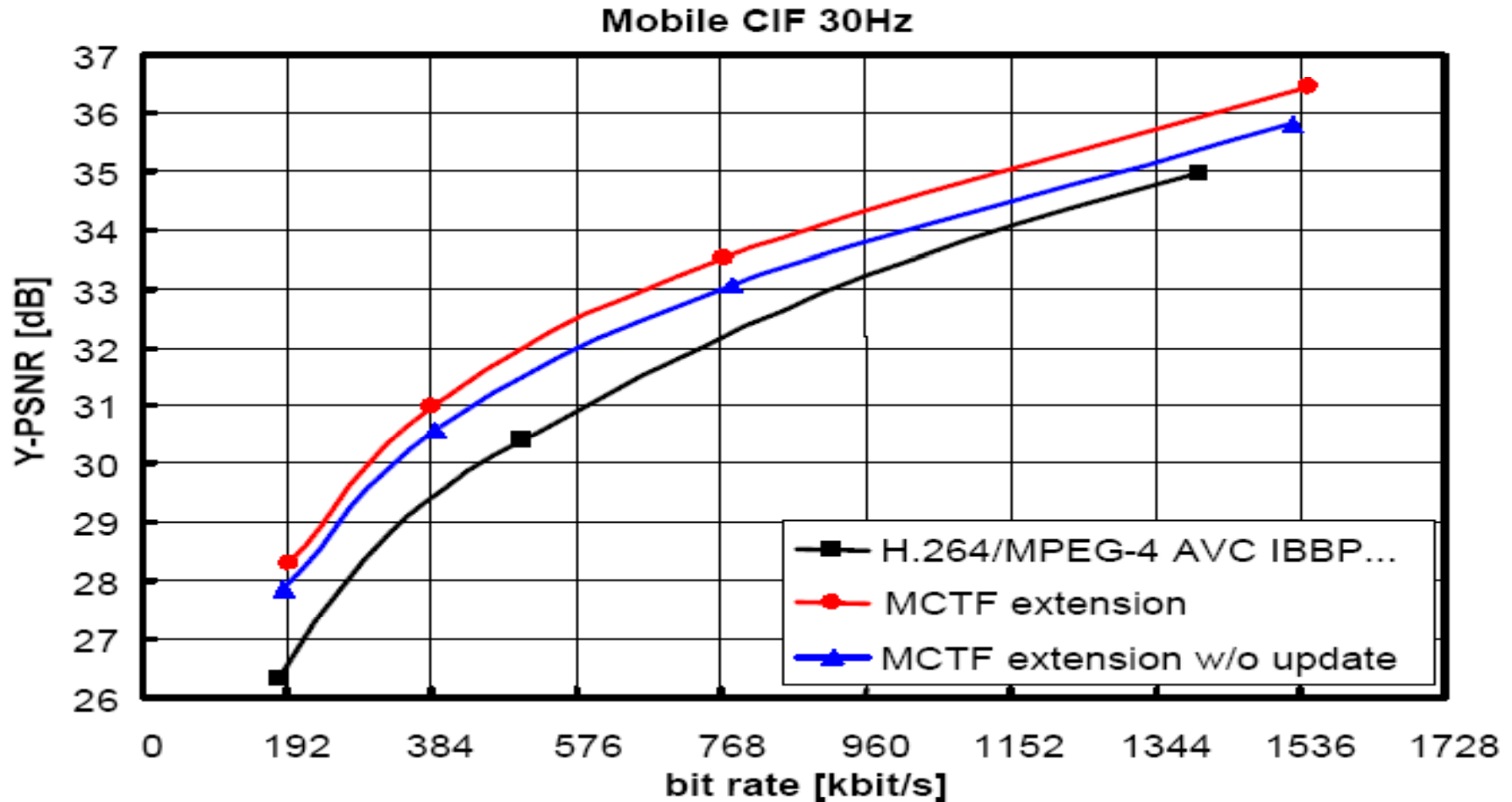
- Group of  $N_0$  input pictures partitioned into two sets:
  - Set 1:  $N_A$  ( $0 < N_A < N_0$ ), Set 2:  $N_B = N_0 - N_A$
- Set 1: pictures  $A_K$ , Set 2: pictures  $B_K$
- Pictures  $H_K$  are spatially shift-aligned with pictures  $B_K$
- Pictures  $L_K$  are spatially shift-aligned with pictures  $A_K$



# Temporal Decomposition Structure



# Results for the MCTF Extension



Courtesy : HHI



Lattice Structure for Perfect Reconstruction  
Filter Bank  
Polyphase components and Noble identities

# Noble Identities



Noble identity for down sampling



Noble identity for up sampling



# Polyphase Components

- Signal  $x[n]$  can be separated into different phases
  - For general  $M$ , there are  $M$ -phase
    - $k^{\text{th}}$  phase :  $x[Mn+k]$
    - $K = 0, 1, 2 \dots M-1$
  - For  $M = 2$ , we can divide  $x[n]$  into:
    - Even phase:  $\{\dots, x[-2], x[0], x[2], \dots\}$
    - Odd phase:  $\{\dots, x[-1], x[1], x[3], \dots\}$
- $(\downarrow M)x[n]$  : only the 0-phase,  $\{x[Mn]\}$  survives



## Polyphase Components (cont'd.)

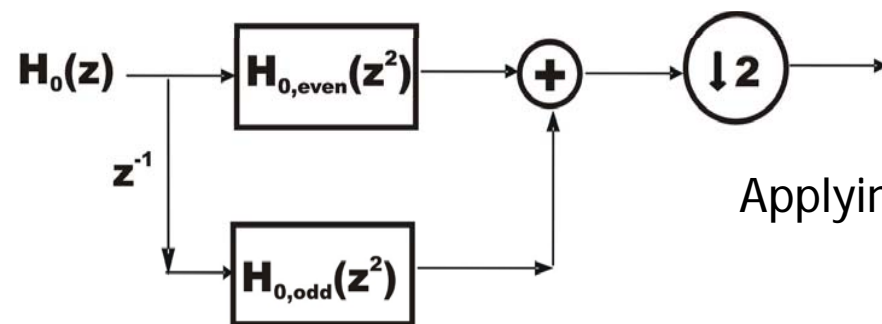
$$B(z) = \sum_n b[n]z^{-n}$$

$$B(z) = \sum_k b[2k]z^{-2k} + \sum_k b[2k+1]z^{-(2k+1)}$$

$$B(z) = B_0(z^2) + z^{-1}B_1(z^2)$$

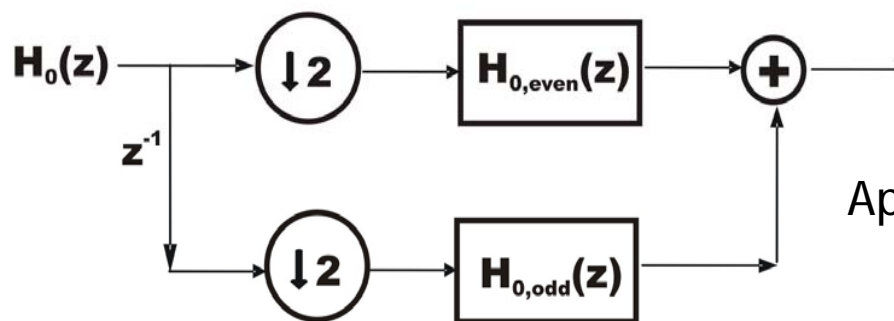
$B_0(z^2)$  and  $z^{-1}B_1(z^2)$  are the polyphase components of  $b[n]$

# Application of Polyphase components and noble identities to PRFB



Applying polyphase components

( a )

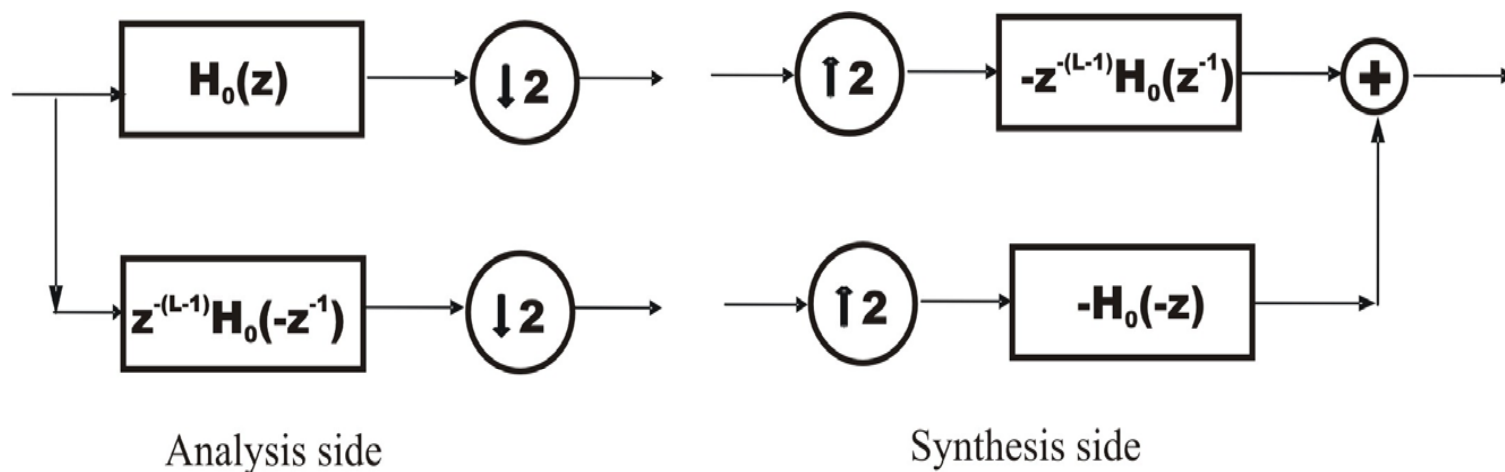


Applying noble identities

( b )



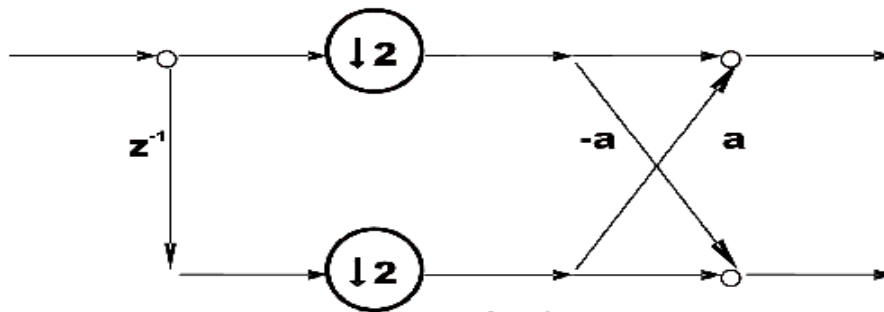
# Conjugate Quadratic Perfect Reconstruction Filter Bank



$$H_0(z) = 1 + \alpha z^{-1} \text{ and } L = 2$$

$$G_0(z) = z^{-(L-1)}H_0(-z^{-1}) = z^{-1} - \alpha$$

# Lattice Structure



Analysis side

On the Synthesis side, we reverse arrows and replace the downsampler by an upsampler with the same factor. This is called **transposition**.



# Advantages of Lattice Structure

- Modular Realization
  - Hardware implementation easier
- Amenable to optimization
  - the noble identities can be easily applied



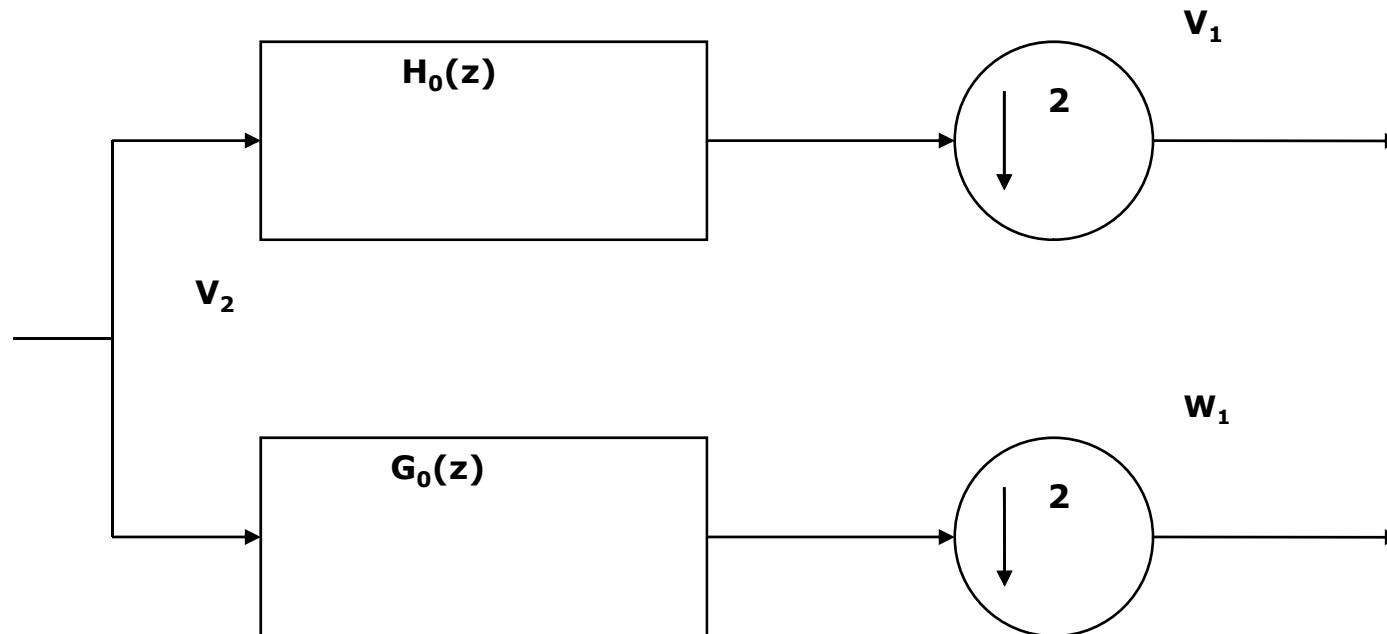
# Wave-packet transform



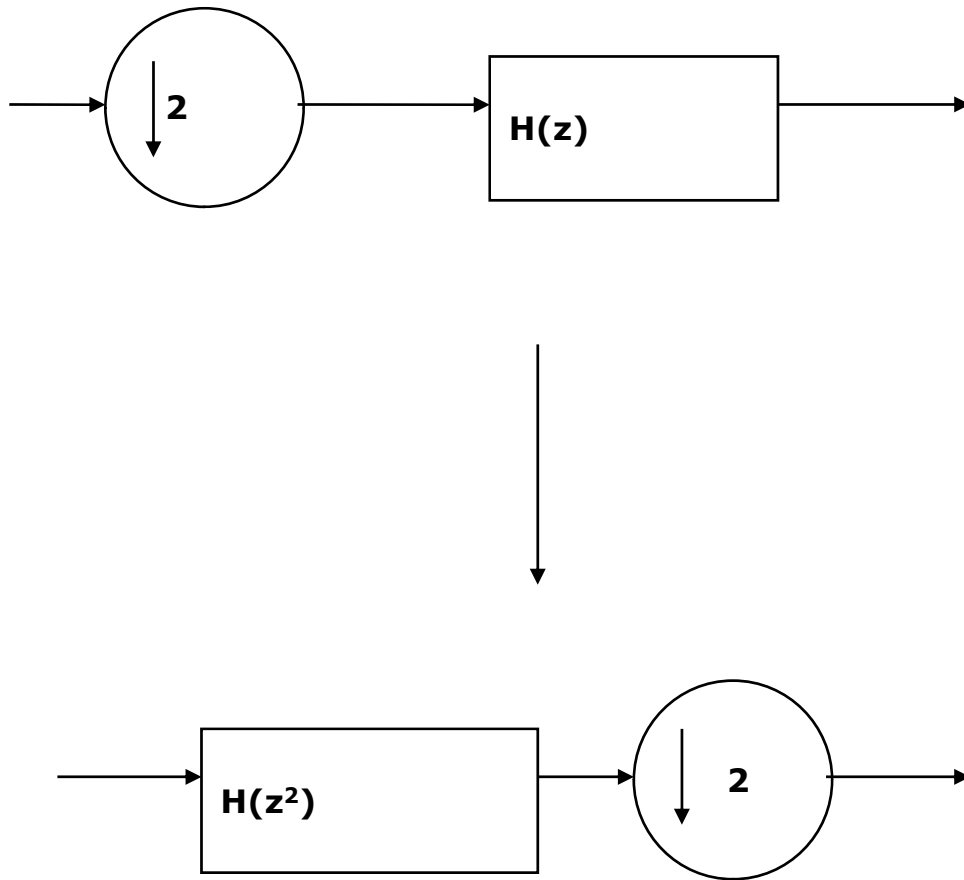
# Multi-resolution Analysis

- $L^2(\mathbb{R})$  denotes the set of square integrable functions.
- **MRA** of  $L^2(\mathbb{R})$  is ladder of subspaces,  
...  $\subset V_{-1} \subset V_0 \subset V_1 \subset V_2 \dots$
- In general,  $V_{k+1} = V_k + W_k$
- We are interested in splitting  $W_k$

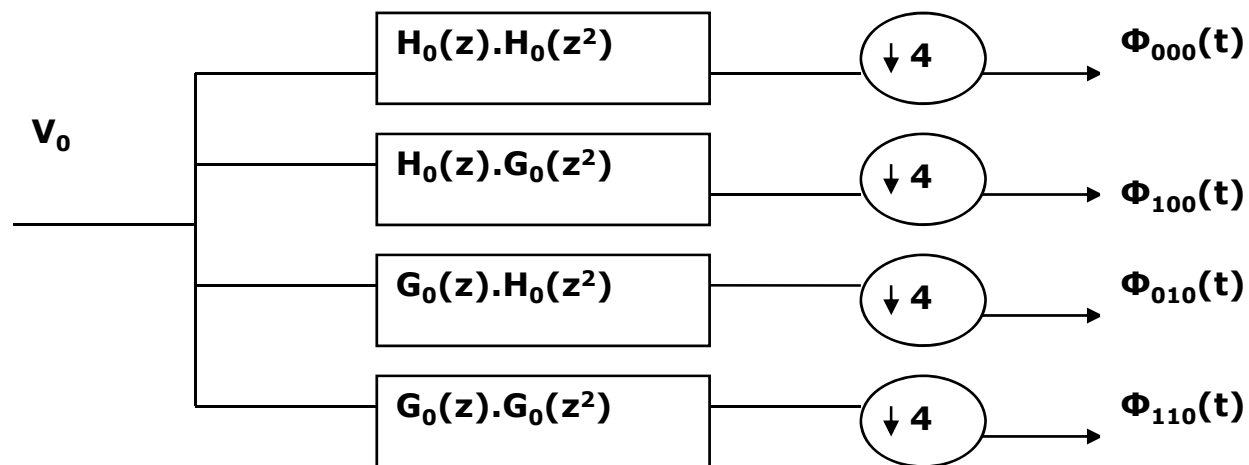
# Filter Banks



# Noble Identity



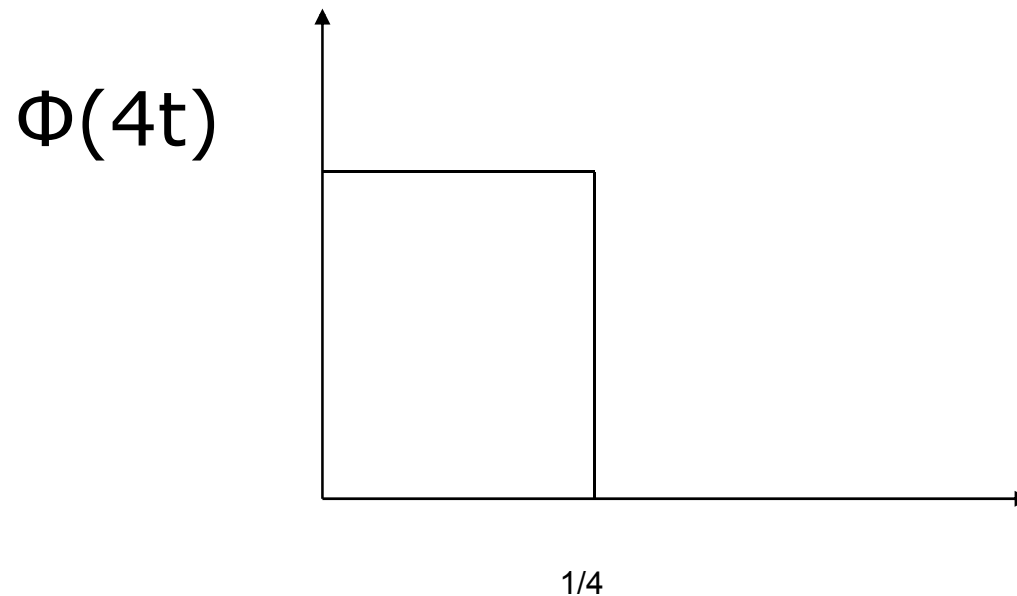
# Wave-packet decomposition



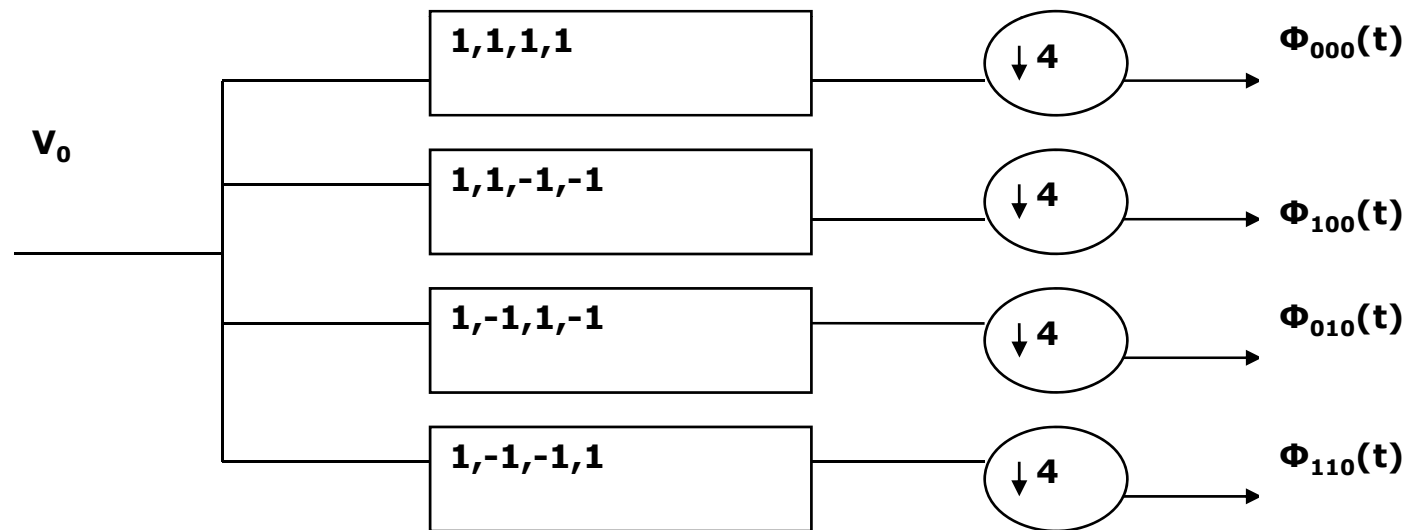


# Example using Haar wavelet

- $H_0(z^2) = 1+z^2$  and  $G_0(z^2) = 1-z^2$



# Example cont'd

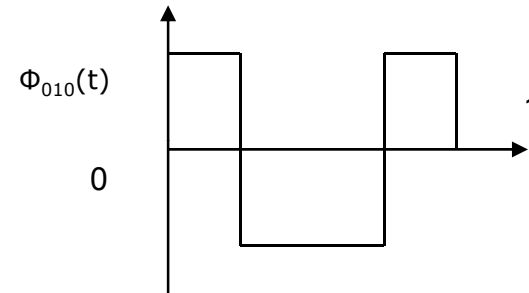
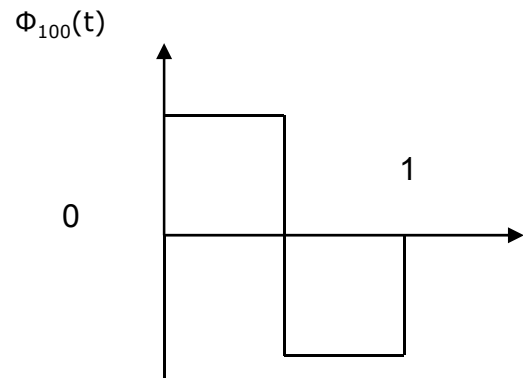
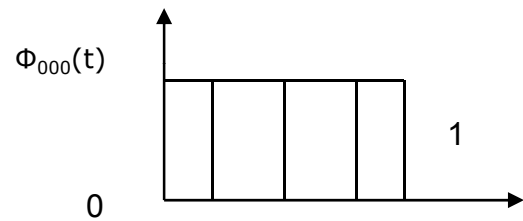




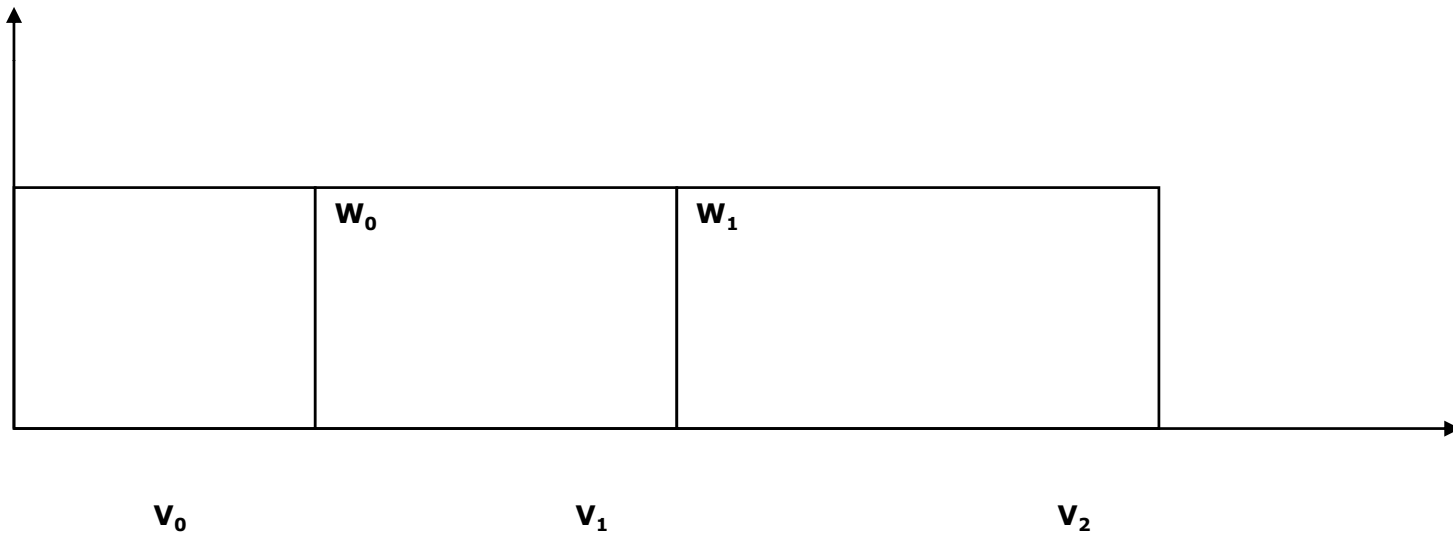
## Example cont'd

- $\Phi_{000}(t) = \Phi(4t) + \Phi(4t-1) + \Phi(4t-2) + \Phi(4t-3)$
- $\Phi_{100}(t) = \Phi(4t) + \Phi(4t-1) - \Phi(4t-2) - \Phi(4t-3)$   
and so on.
- All these are wave-packets generated out of filter banks.

# Plots of wave-packets



# Spectral domain representation





# Lifting Scheme



# The Lifting Scheme

- Lifting based scheme requires far fewer computations and reduced memory for the DWT.
- Hence, it is faster, consume less power and occupy smaller area.
- Lifting based scheme has an additional advantage of in-place computation



# The Basic Idea Behind Lifting

A canonical case of lifting consists of three stages, which we refer to as: *split*, *predict*, and *update*.

We start with an abstract data set  $\lambda_0$

- In the first stage we **split** the data into two smaller subsets  $\lambda_{-1}$  and  $\gamma_{-1}$ .
- In the second stage, we use  $\lambda_{-1}$  subset to **predict** the  $\gamma_{-1}$  subset based on the correlation present in the original data. If we can find a prediction operator  $P$ , independent of the data,  $\gamma_{-1} = P(\lambda_{-1})$ .
- $P(\lambda_{-1})$  is likely to be close to  $\gamma_{-1}$ . Therefore, we might want to replace  $\gamma_{-1}$  with the difference between itself and its predicted value  $P(\lambda_{-1})$ . This difference will contain much less information than original  $\gamma_{-1}$  set.





## The Basic Idea Behind Lifting (contd..)

- We denote this abstract difference operator with a  $-$  sign and thus get

$$\gamma_{-1} := \gamma_{-1} - P(\lambda_{-1}).$$

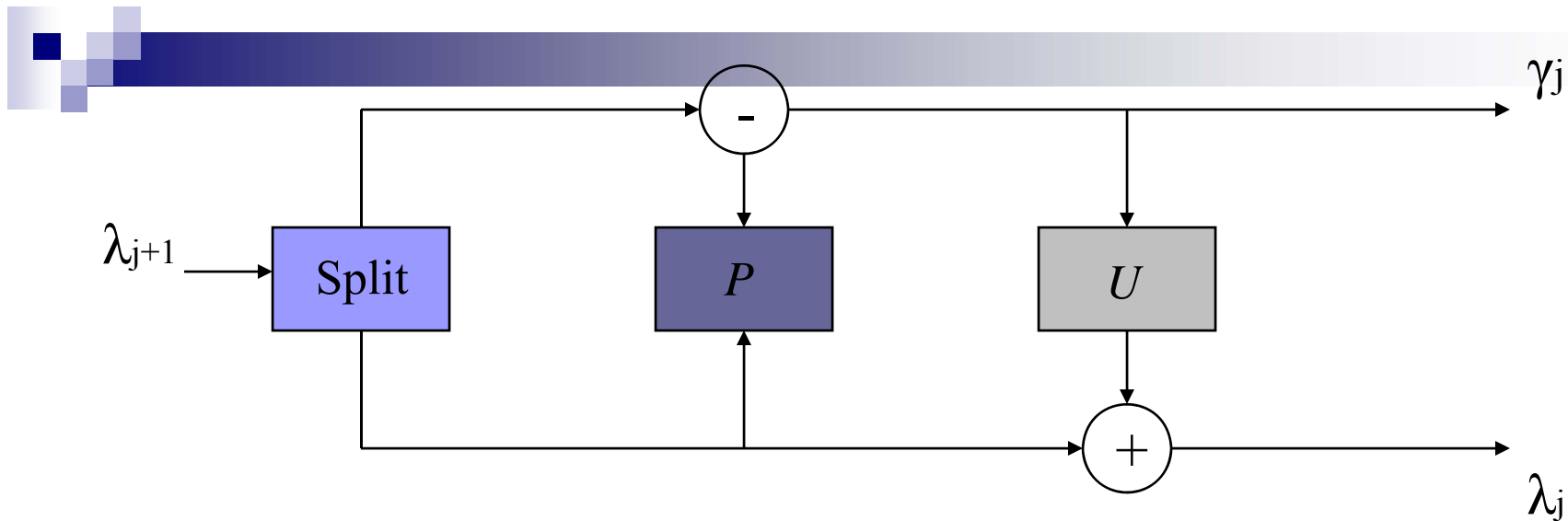
The wavelet subset now encodes how much the data deviates from the model on which  $P$  was built.

- To find a better  $\lambda_{-1}$  so that a certain scalar quantity  $Q(\cdot)$ , for e.g. the mean, is preserved, or

$$Q(\lambda_{-1}) = Q(\lambda_0).$$

Therefore the already computed wavelet set  $\gamma_{-1}$  is used to update  $\lambda_{-1}$  so that the later preserves  $Q(\cdot)$ . In other words, an operator  $U$  is used to update  $\lambda_{-1}$  as

$$\lambda_{-1} := \lambda_{-1} + U(\gamma_{-1}).$$



This leads to the following wavelet transform algorithm:

$$\{\lambda_j, \gamma_j\} := \text{Split}(\lambda_{j+1})$$

For  $j = -1$  downto  $-n$ :

$$\gamma_j - = P(\lambda_j)$$

$$\lambda_j + = U(\gamma_j)$$

Once we have the forward transform, we can immediately derive the inverse.

The only thing to do is to reverse the operations and toggle + and -.

This leads to the following algorithm for the inverse wavelet transform:

$$\lambda_j - = U(\gamma_j)$$

For  $j = -n$  to  $-1$ :

$$\gamma_j + = P(\lambda_j)$$

$$\lambda_{j+1} := \text{Join}(\lambda_j, \gamma_j).$$

# Lifting Algorithm

- Let  $h(z)$  and  $g(z)$  be the lowpass and highpass analysis filters

$$\begin{aligned} h(z) &= h_e(z^2) + z^{-1}h_o(z^2) & \tilde{h}(z) &= \tilde{h}_e(z^2) + z^{-1}\tilde{h}_o(z^2) \\ g(z) &= g_e(z^2) + z^{-1}g_o(z^2) & \tilde{g}(z) &= \tilde{g}_e(z^2) + z^{-1}\tilde{g}_o(z^2) \end{aligned}$$

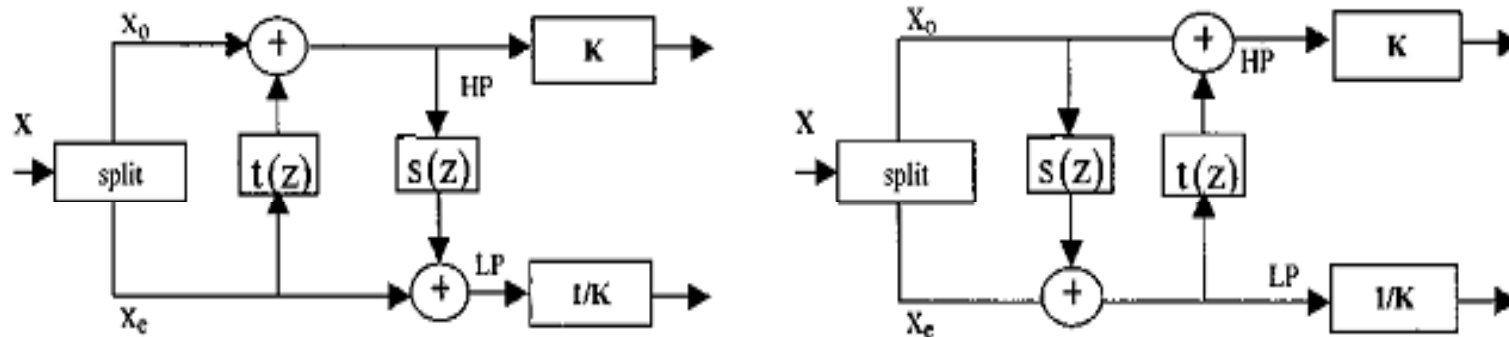
- The corresponding polyphase matrices are defined as

$$P(z) = \begin{bmatrix} h_e(z) & g_e(z) \\ h_o(z) & g_o(z) \end{bmatrix} \quad \tilde{P}(z) = \begin{bmatrix} \tilde{h}_e(z) & \tilde{h}_o(z) \\ \tilde{g}_e(z) & \tilde{g}_o(z) \end{bmatrix}$$

- If  $(h,g)$  is a complementary filter pair, then  $P(z)$  can be factored as

$$P_1(z) = \begin{bmatrix} K_1 & 0 \\ 0 & K_2 \end{bmatrix} \prod_{i=1}^m \begin{bmatrix} 1 & s_i(z) \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ t_i(z) & 1 \end{bmatrix} \text{ or } P_2(z) = \begin{bmatrix} K_1 & 0 \\ 0 & K_2 \end{bmatrix} \prod_{i=1}^m \begin{bmatrix} 1 & 0 \\ t_i(z) & 1 \end{bmatrix} \begin{bmatrix} 1 & s_i(z) \\ 0 & 1 \end{bmatrix}$$

- The two types of lifting scheme are shown in figure below

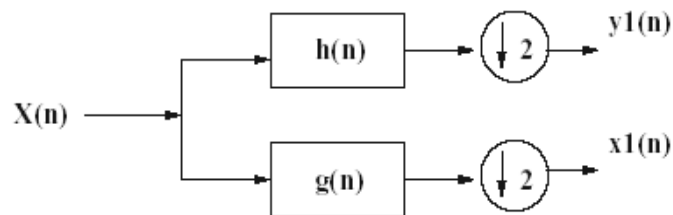



- Split: The entries are stored in even and odd entries.
- Predict Step: Even samples are multiplied by the time domain equivalent of  $t(z)$  and are added to odd samples.
- Update Step: Updated odd samples are multiplied by the time domain equivalent of  $s(z)$  and added to even samples.
- Scaling: Even samples multiplied by  $1/K$  and odd by  $K$ .

# Illustration using DWT Implementation

## Two schemes of Implementation

### -- Convolution



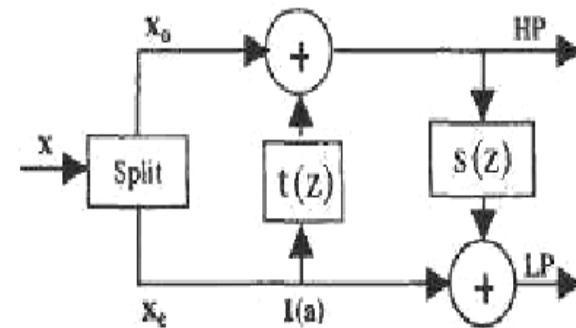
 Retain every other sample

$$y[2n] = a_{aL} \cdot x[2n] + b_{aL} \cdot \{x[2n+1] + x[2n-1]\} + c_{aL} \cdot \{x[2n+2] + x[2n-2]\} + d_{aL} \cdot \{x[2n-3] + x[2n+3]\} + e_{aL} \cdot \{x[2n+2] + x[2n-2]\}$$

$$y[2n+1] = a_{aH} \cdot x[2n+1] + b_{aH} \cdot \{x[2n] + x[2n+2]\} + c_{aH} \cdot \{x[2n-1] + x[2n+3]\} + d_{aH} \cdot \{x[2n-2] + x[2n+4]\}$$

Convolution Equation

### --Lifting



$$u[2n+1] = x[2n+1] + P_1 \cdot \{x[2n] + x[2n+2]\}$$

$$u[2n] = x[2n] + U_1 \cdot \{u[2n+1] + u[2n+3]\}$$

$$y[2n+1] = u[2n+1] + P_2 \cdot \{u[2n] + u[2n+2]\}$$

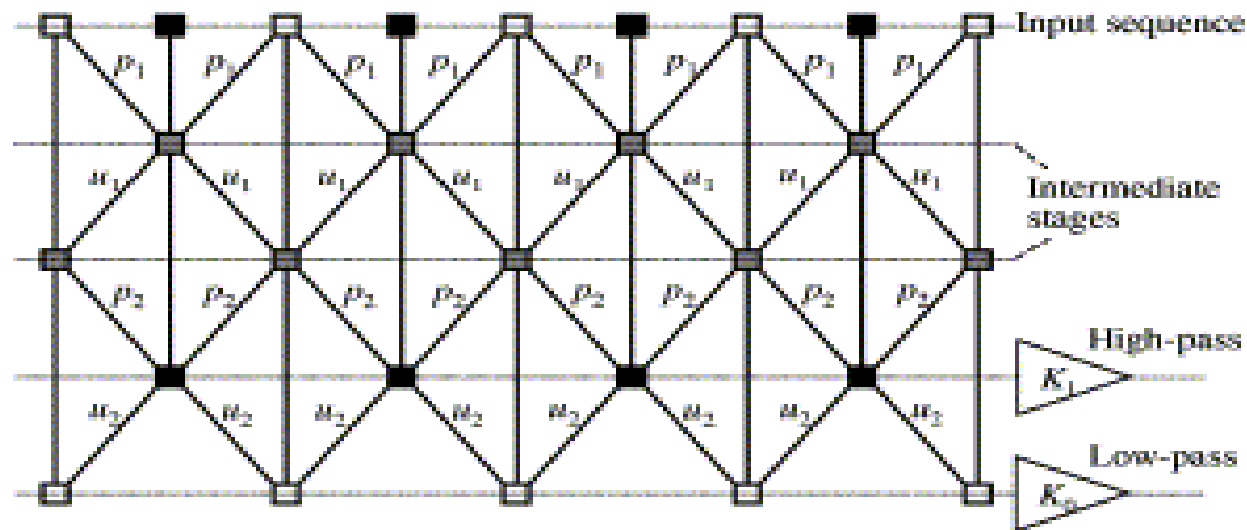
$$y[2n] = u[2n] + U_2 \cdot \{y[2n+1] + y[2n+3]\}$$

$$z[2n+1] = -K \cdot y[2n+1]$$

$$z[2n] = K^{-1} \cdot y[2n+1]$$

Lifting Equations For Daubechies (9, 7) Filter

# Lifting Scheme for DWT using Daubechies (9, 7) Filters



$p_1$	- 1.586134342059924
$u_1$	- 0.052980118572961
$p_2$	+0.882911075530934
$u_2$	+0.443506852043971
$K_1 = 1/ K_0$	+1.230174104914001

An overview of the JPEG 2000 still image compression standard  
 Majid Rabbani, and Rajan Joshi

# JPEG2000 filters

Analysis Filter Coefficients		
n	Low-Pass Filter h(n)	High-Pass Filter g(n)
0	6/8	1
±1	2/8	-1/2
±2	-1/8	
Synthesis Filter Coefficients		
n	Low-Pass Filter h~(n)	High-Pass Filter g~(n)
0	1	6/8
±1	1/2	-2/8
±2		-1/8

Analysis filter coefficients		
n	Low-Pass Filter h (n)	High-Pass Filter g (n)
0	0.6029490182363579	1.115087052456994
±1	0.2668641184428723	-0.5912717631142470
±2	-0.07822326652898785	-0.05754352622849957
±3	-0.01686411844287495	0.09127176311424948
±4	0.02674875741080976	
Synthesis Filter Coefficients		
n	Low-Pass Filter h~(n)	High-Pass Filter g~(n)
0	1.115087052456994	0.6029490182363579
±1	0.5912717631142470	-0.2668641184428723
±2	-0.05754352622849957	-0.07822326652898785
±3	-0.09127176311424948	0.01686411844287495
±4	0.02674875741080976	

Integer Coefficients of Lossless (5,3) Le Gall filter

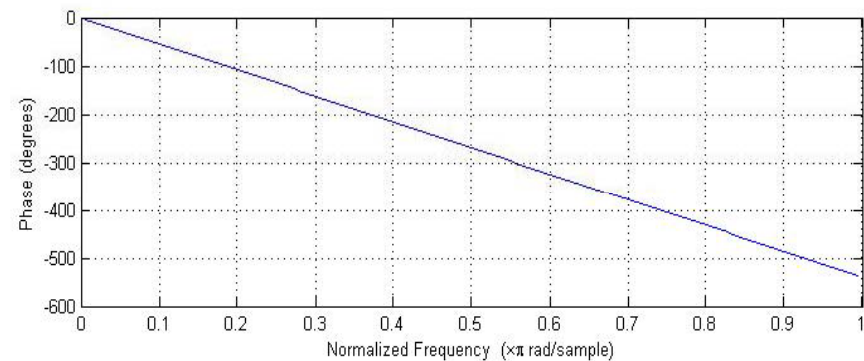
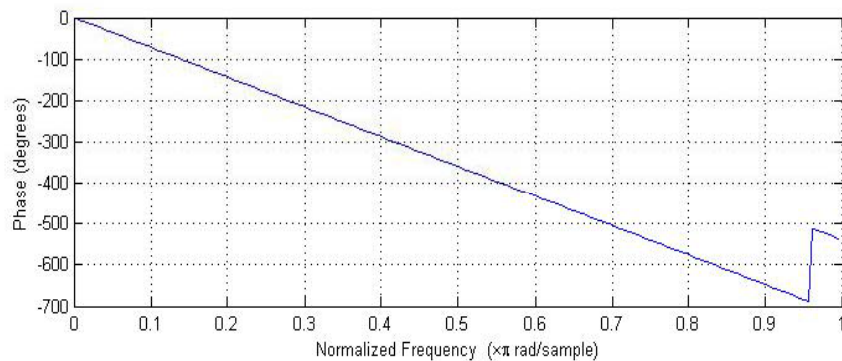
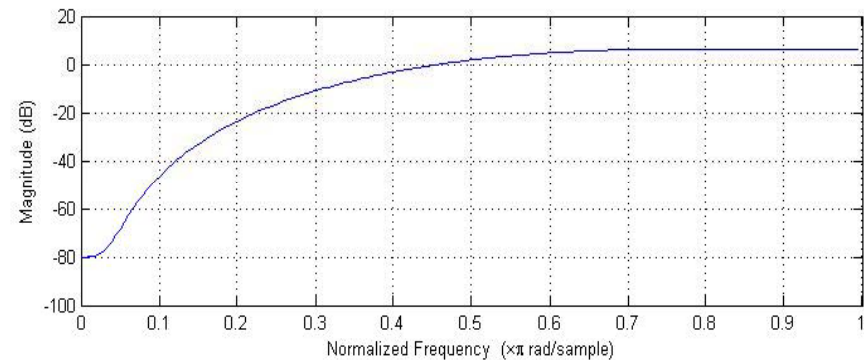
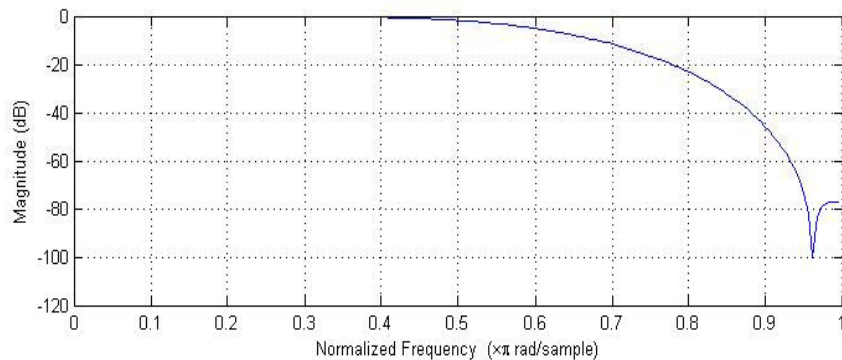
Le Gall (5,3) Lifting Coefficients	
p <sub>1</sub>	-0.5
u <sub>1</sub>	0.25
Daubechies (9,7) Lifting Coefficients	
p <sub>1</sub>	-1.586134342059924
u <sub>1</sub>	-0.052980118572961
p <sub>2</sub>	-0.05754352622849957
u <sub>2</sub>	0.443506852043971
K	1.230174104914001

Floating pt Coefficients of Lossy (9,7) Daubechies filter

← Lifting Filter Coefficients of (5,3) and (9,7)

# Daubechies 9/7 Analysis Filter

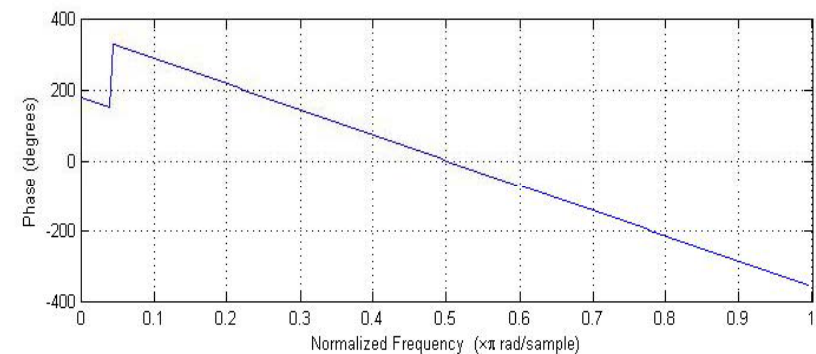
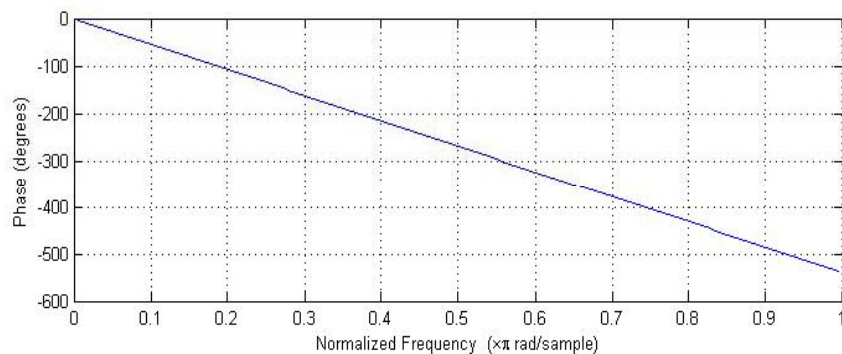
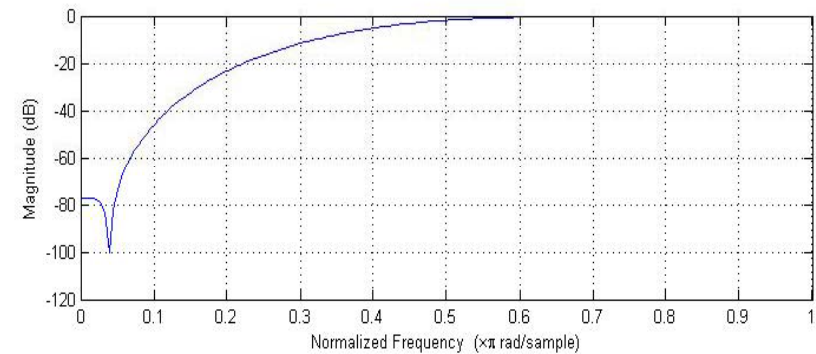
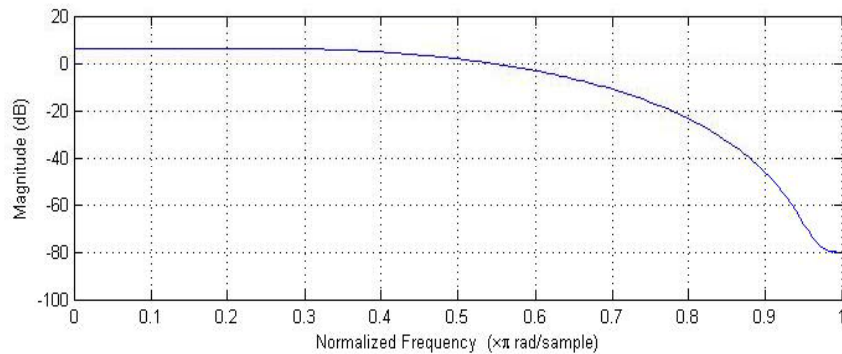
$i$	Lowpass Filter $h_L(i)$	Highpass Filter $h_H(i)$
0	0.6029490182363579	1.115087052456994
+1	0.2668641184428723	-0.5912717631142470
+2	-0.07822326652898785	-0.05754352622849957
+3	-0.01686411844287495	0.09127176311424948
+4	0.02674875741080976	





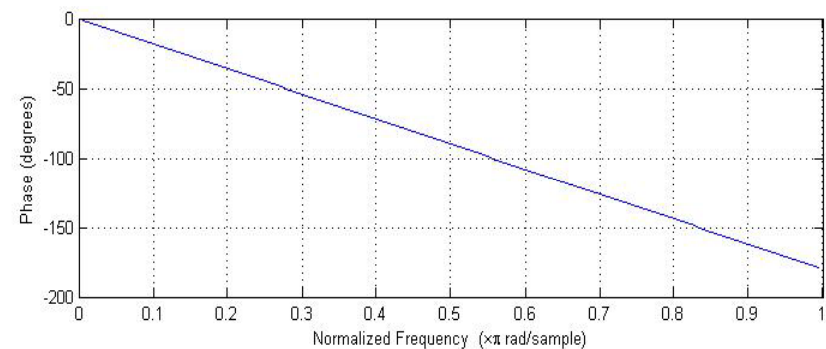
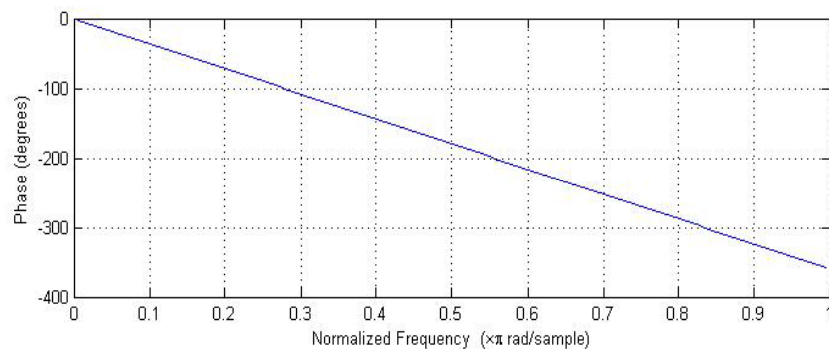
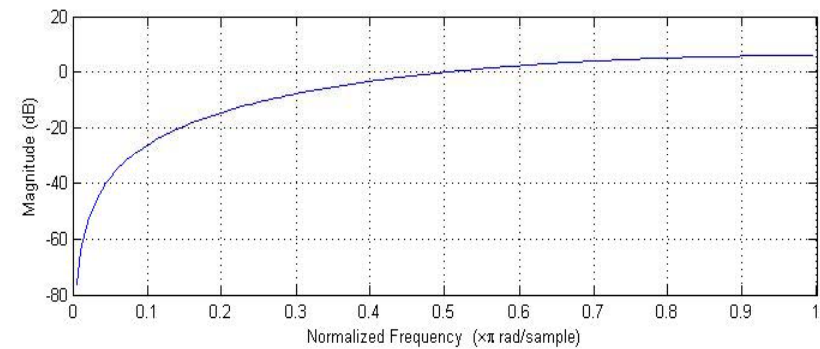
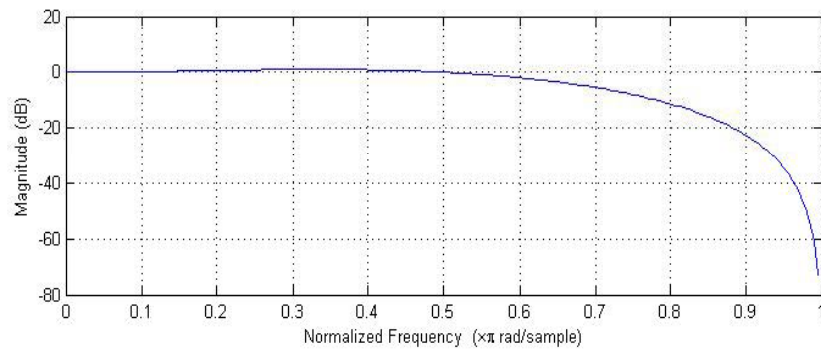
# Daubechies 9/7 Synthesis Filter

$i$	Lowpass Filter $h_L(i)$	Highpass Filter $h_H(i)$
0	1.115087052456994	0.6029490182363579
+1	0.5912717631142470	-0.2668641184428723
+2	-0.05754352622849957	-0.07822326652898785
+3	-0.09127176311424948	0.01686411844287495
+4		0.02674875741080976



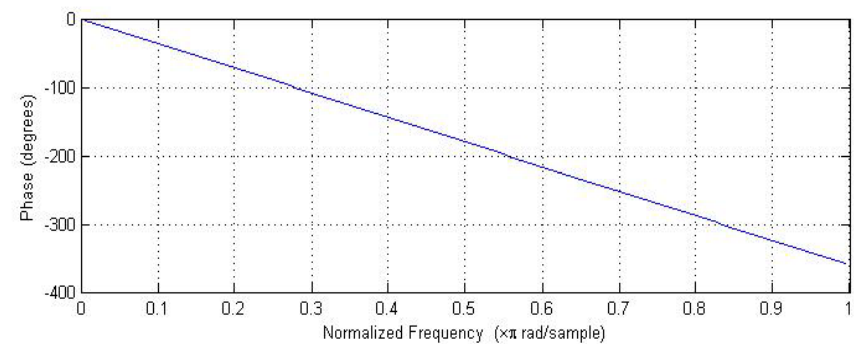
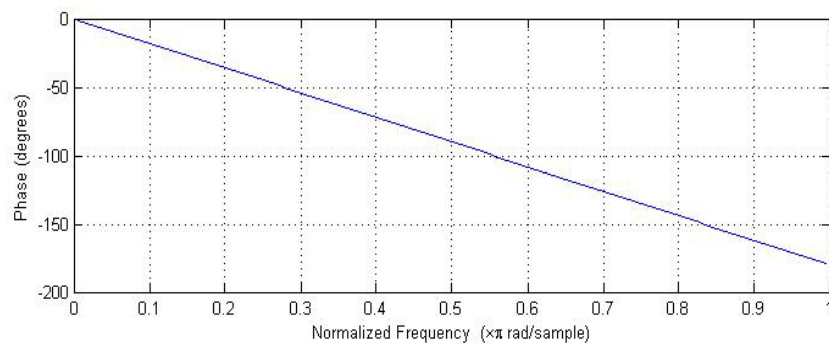
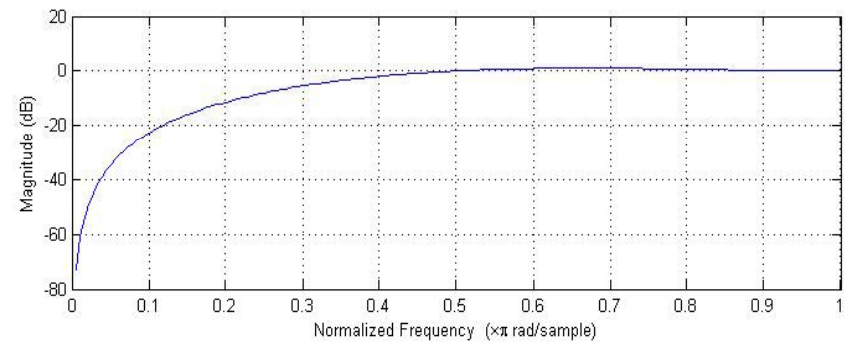
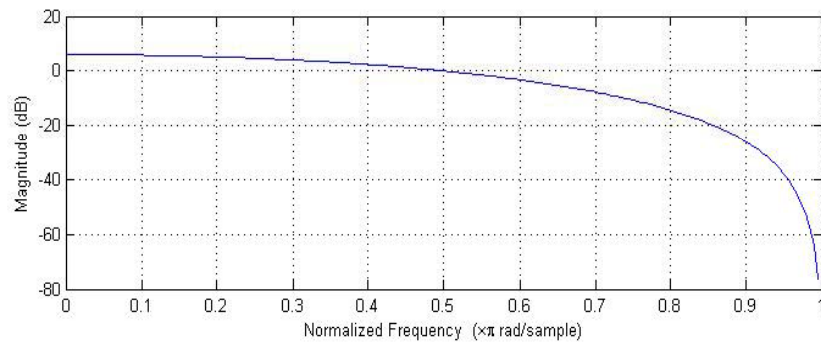
# 5/3 Analysis Filter

$i$	Lowpass Filter $h_L(i)$	Highpass Filter $h_H(i)$
0	$6/8$	1
<u>+1</u>	$2/8$	$-1/2$
<u>+2</u>	$-1/8$	



# 5/3 Synthesis Filter

$i$	Lowpass Filter $h_L(i)$	Highpass Filter $h_H(i)$
0	1	6/8
+1	1/2	-2/8
+2		-1/8





IIT Bombay

# VLSI Implementation of JPEG2000 Encoder

**Presented By**

**Prof. V. M. Gadre  
Shantanu Bhaduri  
EE Department  
IIT Bombay**



# Introduction of JPEG2000

IIT Bombay

- JPEG (Joint Photographic Experts Group) committee was formed in 1986.
- The committee's first published standard was named as Baseline JPEG.
- It enjoyed its wide spread use in many digital imaging applications.
- In 1996, JPEG committee began to investigate possibilities for new image compression standard that can serve current and future applications.
- This initiative was named as JPEG2000.

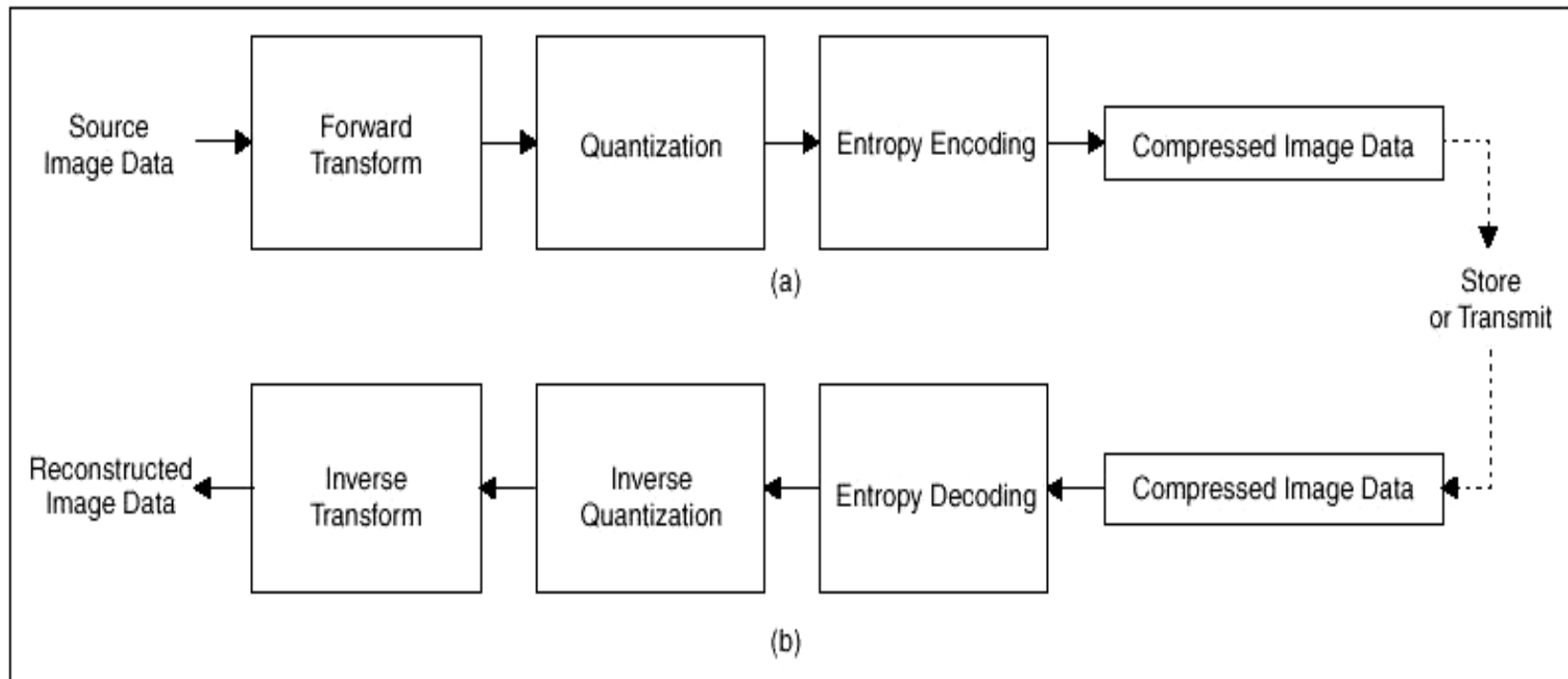


# JPEG2000 STANDARD

1. Superior Low Bit Rate performance
2. Lossless and Lossy compression
3. Progressive transmission by pixel accuracy and resolution
4. Tiling of digital image
5. Region Of Interest Coding
6. Protective image security



# JPEG2000 Engine

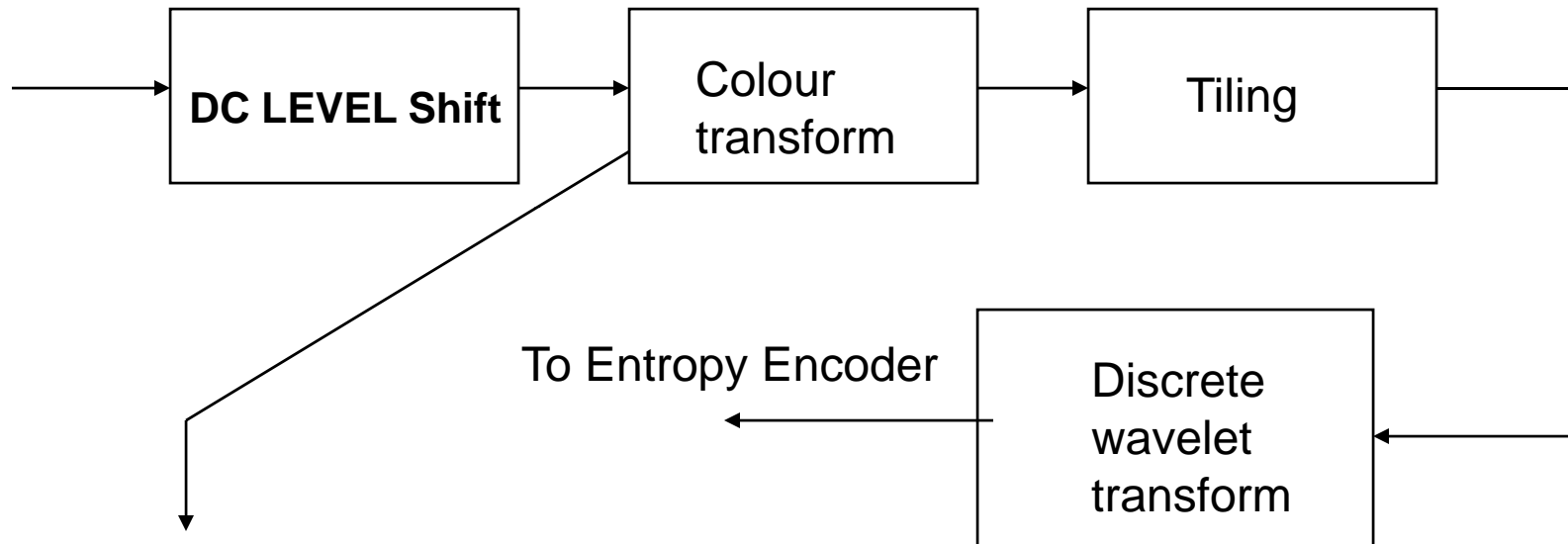


General block diagram of the JPEG 2000 (a) encoder and (b) decoder.

A. Skodras, C. Christopoulos and T. Ebrahimi, "The JPEG2000 Still Image Compression Standard," IEEE Signal Process. Magazine, pp. 36-58, Sept. 2001.



# Forward Transform



$$\begin{pmatrix} Y \\ C_b \\ C_r \end{pmatrix} = \begin{pmatrix} 0.299 & 0.587 & 0.114 \\ -0.16875 & -0.33126 & 0.5 \\ 0.5 & -0.41869 & -0.08131 \end{pmatrix} \cdot \begin{pmatrix} R \\ G \\ B \end{pmatrix}$$

**Irreversible Colour transform**

Block Diagram Of Forward Transform Block





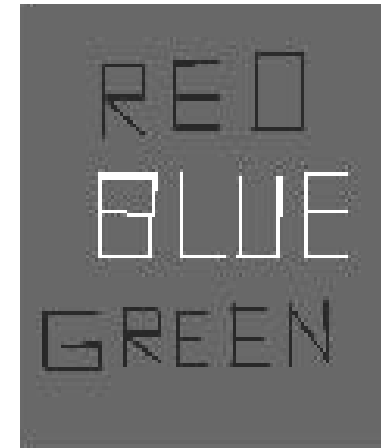
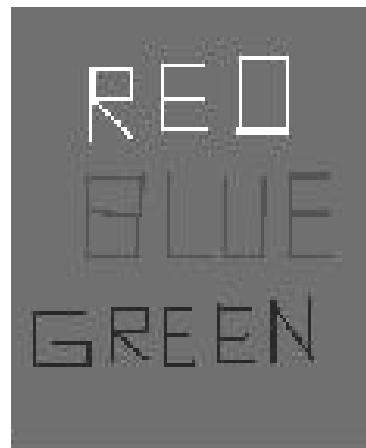
# Matlab Simulation



ORIGINAL IMAGE



Red Green and Blue Component of image



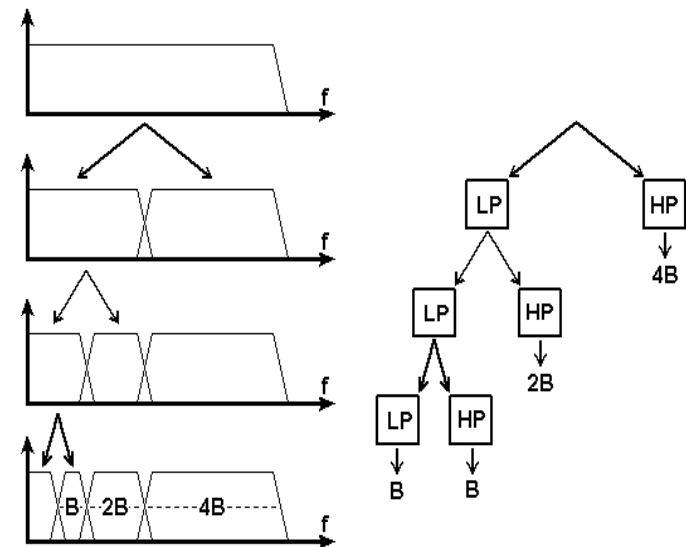
Y Cr Cb component obtained after colour transform



# Discrete Wavelet Transform

➤ The 1D DWT →

- The forward 1D DWT is best understood as successive applications of a pair of **low-pass** and **high-pass filters**.
- Which is followed by **down sampling** by a factor of two.

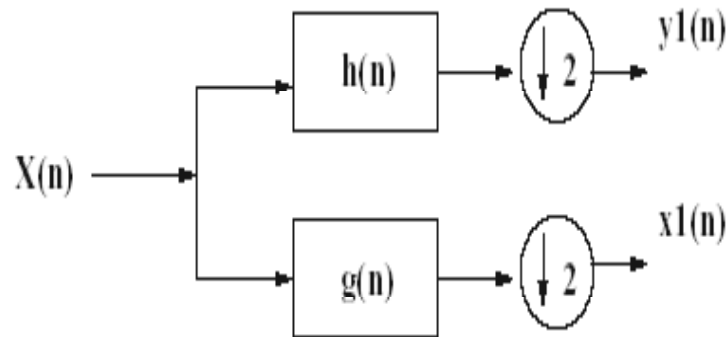



Frequency Domain analysis of filtering

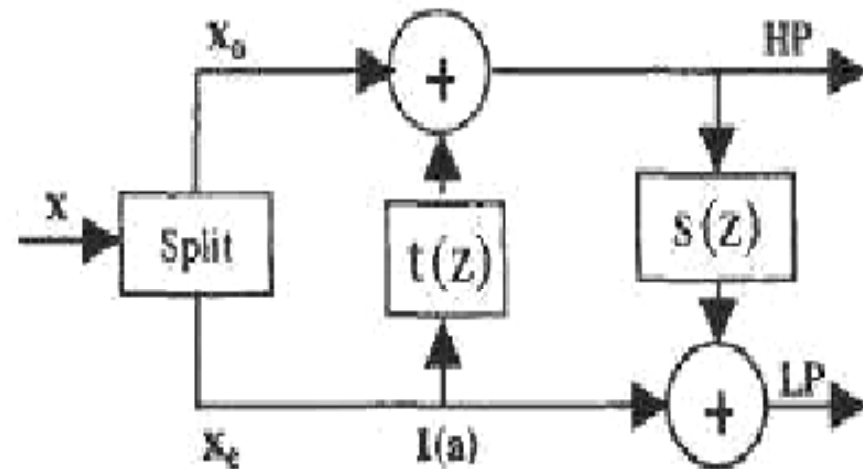


# Discrete Wavelet Transform Cont.

- Two schemes of Implementation



 Retain every other sample

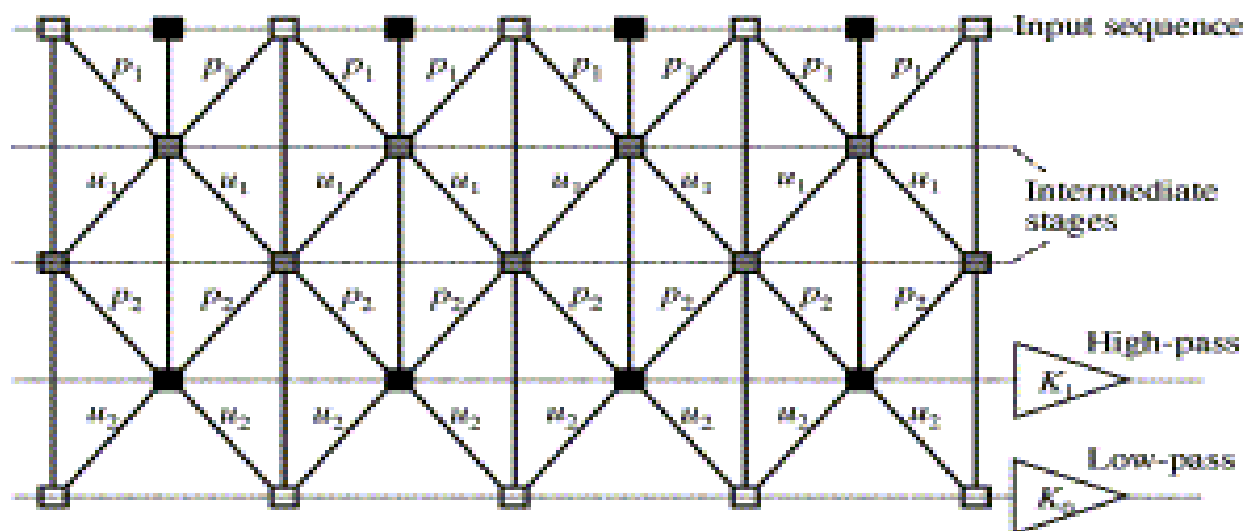


- Convolution Scheme

- Lifting Scheme



# Lifting Scheme for DWT using Daubechies (9, 7) Filters

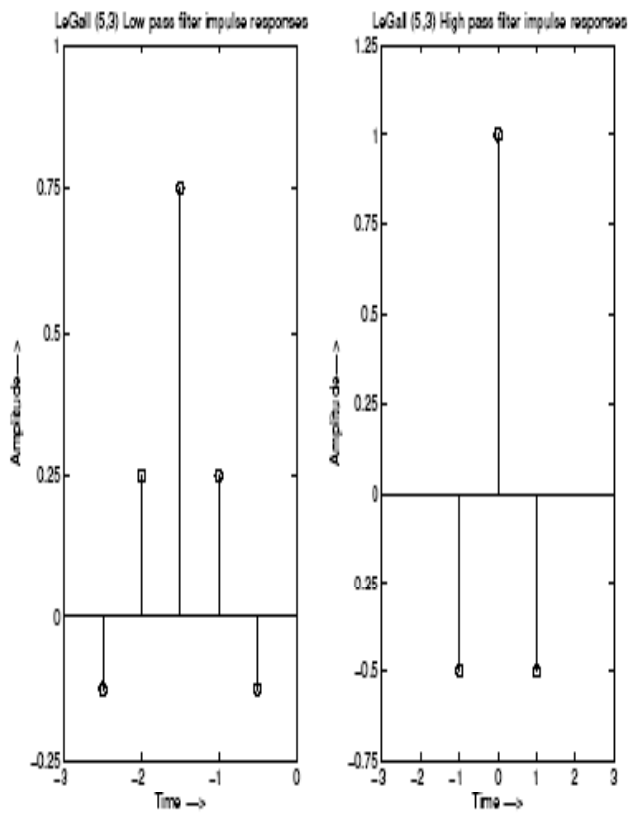


$p_1$	- 1.586134342059924
$u_1$	- 0.052980118572961
$p_2$	+0.882911075530934
$u_2$	+0.443506852043971
$K_1 = 1/ K_0$	+1.230174104914001

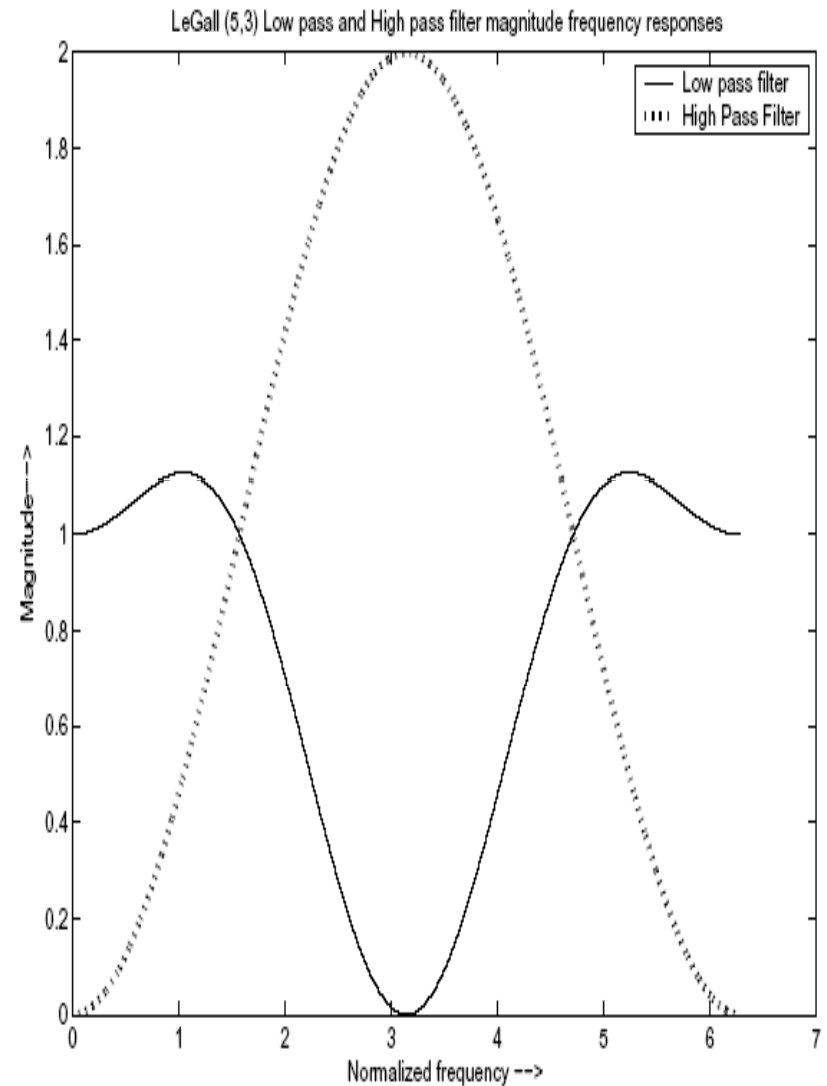
An overview of the JPEG 2000 still image compression standard  
Majid Rabbani, and Rajan Joshi



# Response Of Le-Gall (5,3) filter

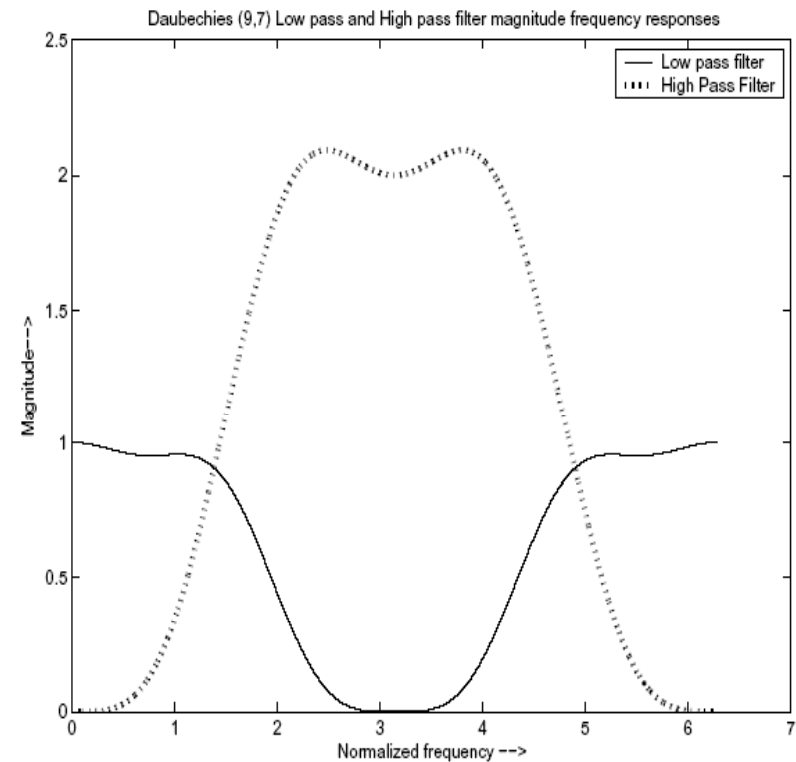
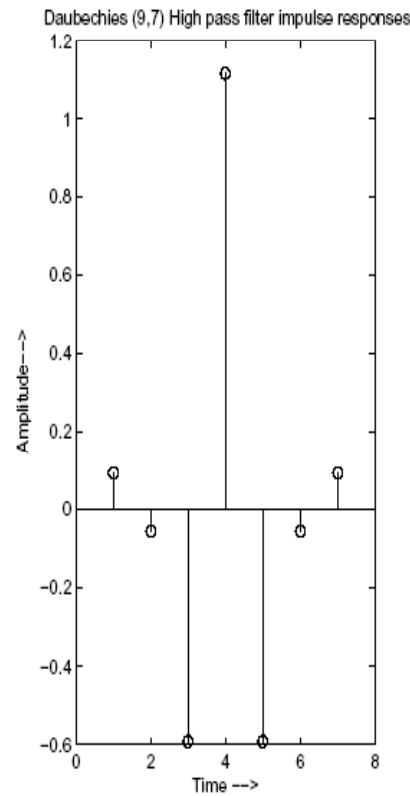
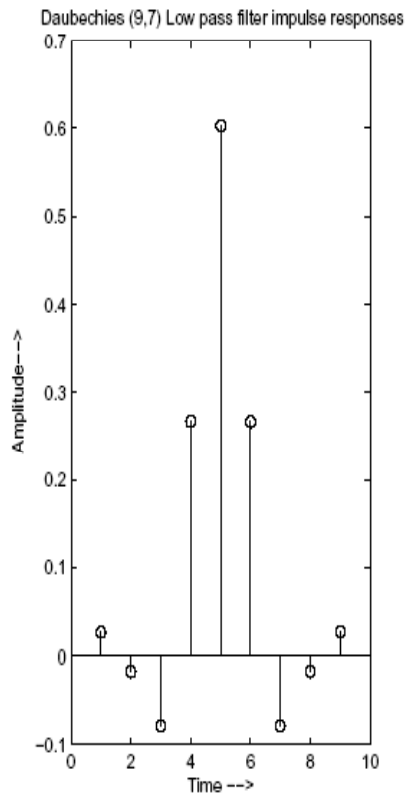


LeGall (5,3) filter impulse response (a)LPF Time domain, (b) HPF time domain





# Response Of Daubechies (9,7) Filter



Time Domain response of Low pass And High Pass Filter

Frequency Domain Response of Low Pass And High Pass Filter

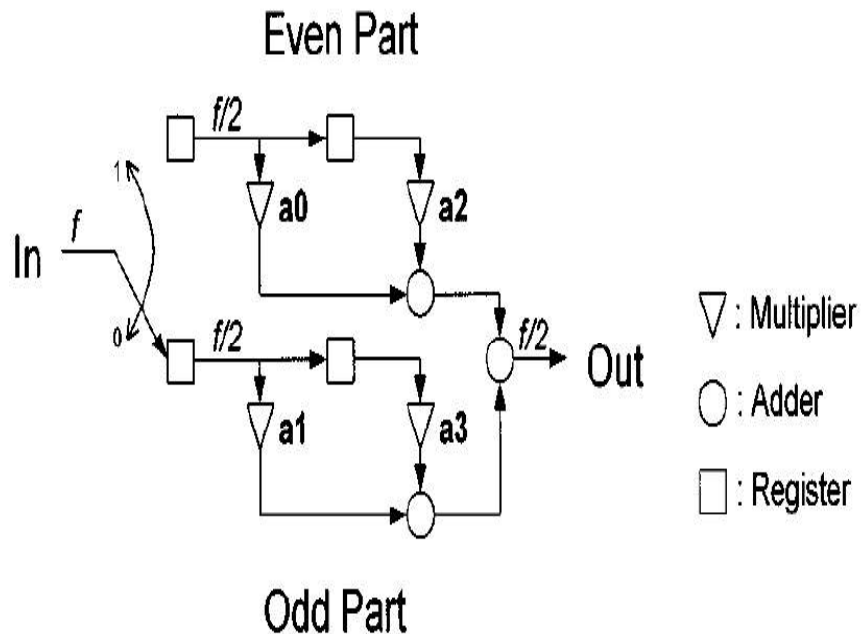


# Architectures Implemented for 1D DWT

- Polyphase Decomposition Based Convolution Scheme
- Coefficient Folding Convolution Based Convolution Scheme
- Lifting Scheme
- Coefficient Folding Convolution Based Lifting Scheme



# Polyphase Decomposition Scheme



- Input is switched between Even and Odd samples
- Area cost is same \*  
    No. of multipliers same
- Time cost is half

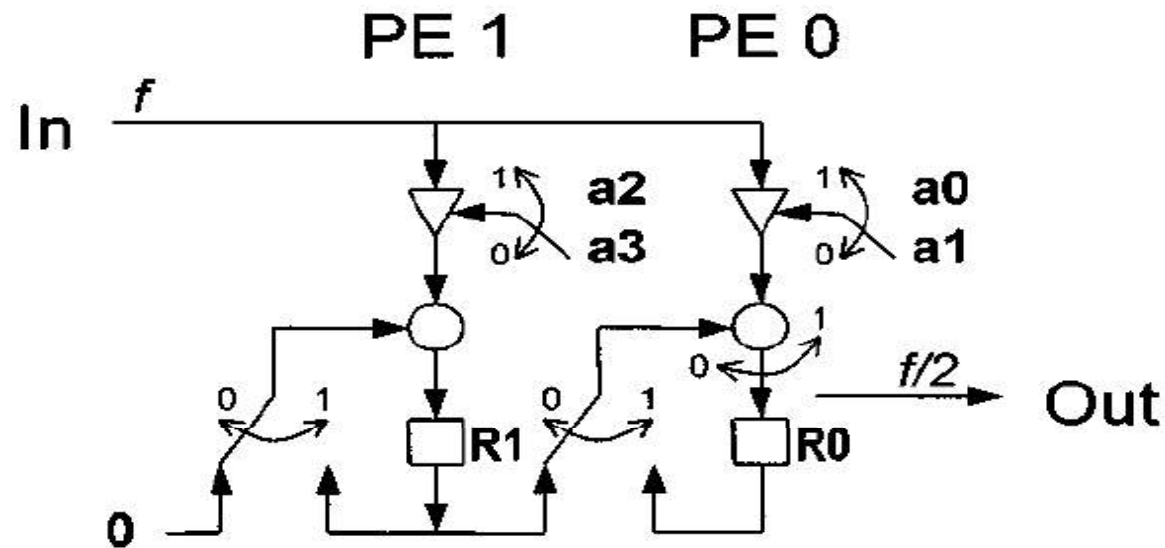
Decimation filter employing the polyphase decomposition technique.

\* Compared to convolution scheme





# Coefficient Folding Based Lifting Scheme

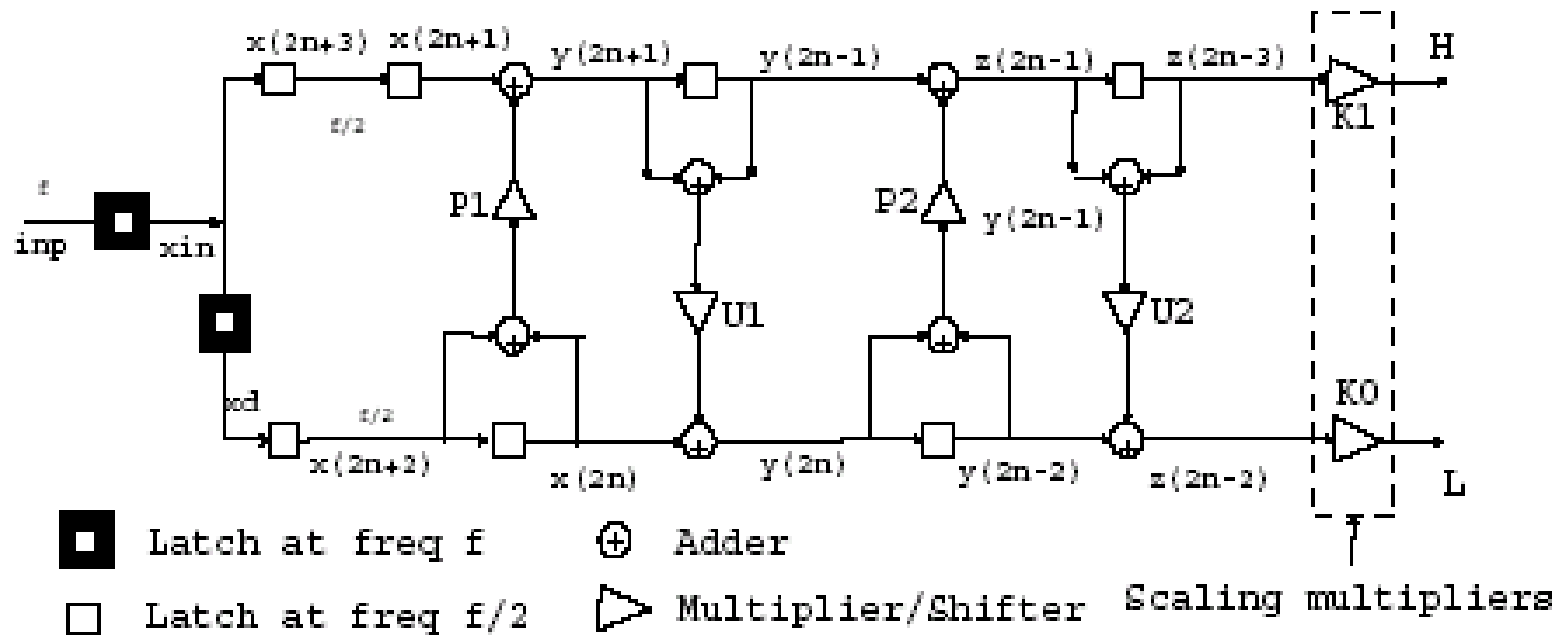


- Coefficient are switched between one multiplier.
- Input is not switched between even and odd samples
  - Area cost is half \*
  - Time cost remains same

\* Compared to convolution scheme



# Lifting Scheme



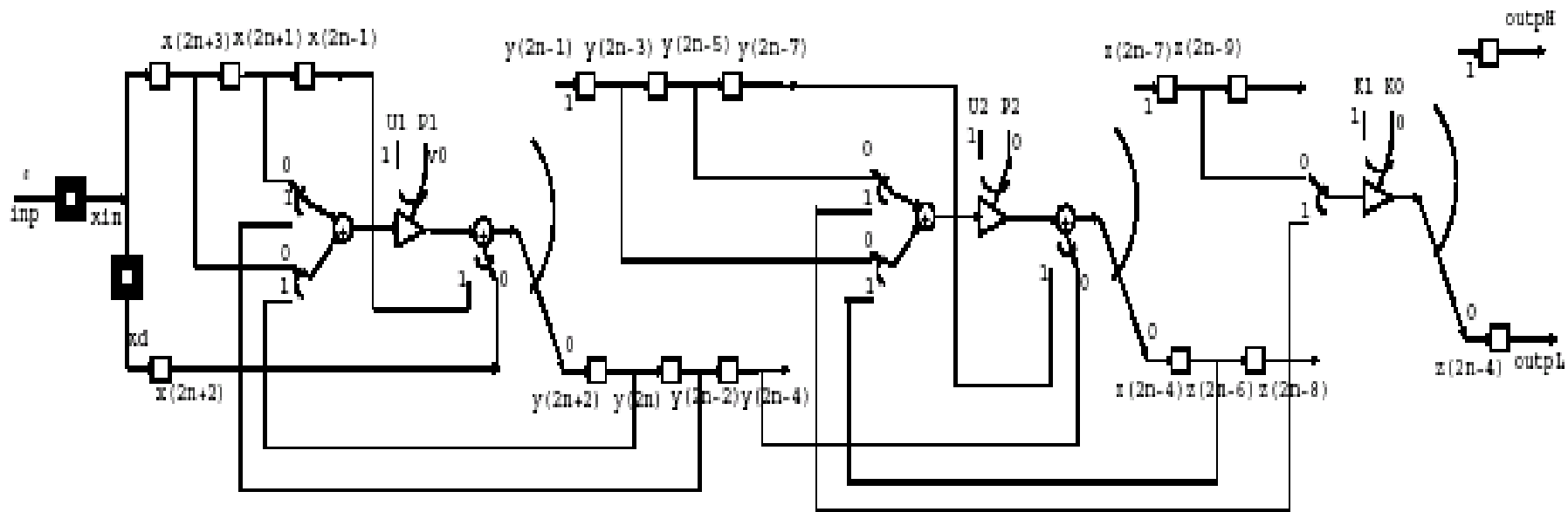
Area Cost is Less than half \*

Time cost is half as input is split between even and odd samples

\* Compared to convolution scheme



# Proposed Coefficient Based lifting Scheme



Latch at freq  $f/2$ 
 Multiplier/Shifter
  Latch at freq  $f$ 
 Adder

A combination of Coefficient Folding and lifting Scheme

Number of multipliers are even half as compared to normal lifting scheme



# Comparison of Architectures for Daubechies (9, 7) filters

Design	Number of Multiplier	Number of Adder	Critical path
Convolution Scheme	16	14	$T_m + 8T_a$
Polyphase Decomposition	16	14	$T_m + 5T_a$
Coefficient Folding Scheme	9	9	$T_m + T_a$
Lifting Scheme	6	8	$4T_m + 8T_a$
Coefficient Folding Based Lifting Scheme	3	4	$T_m + 2T_a$

\*  $T_m$  is multiplier delay and  $T_a$  is adder delay



# Comparison of Architectures

Arch1 = Simple lifting scheme, adopted from [4]

Arch2 = Proposed lifting-based coefficient folding technique

Arch2 = Pipelined lifting-based coefficient folding technique

**Design Summary**

Parameters	ARCH1	ARCH2	ARCH3	UNITS
No of Slices	1144 (9 %)	805(6%)	827( 6%)	Out of 12288(%)
No of Slice Flip Flop	260(1%)	255 (1%)	548(2%)	Out of 24576(%)
No of LUT4	1982(8%)	1290(5%)	1195(4%)	Out of 24576(%)
Equivalent gate count	28172	18100	19585	Gates

**Timing Summary**

Parameter	ARCH1	ARCH2	ARCH3	UNITS
Minimum Period	81.702	27.325	22.141	nsec
Maximum Frequency	12.204	36.597	45.165	MHz



# Modified Booth's Algorithm

$y_{i-1}y_iy_{i+1}$	$z_i$	$z_iX$	Comments
000	00	+0	String of 0's
001	01	+X	End of String of 1's
010	01	+X	A Single 1
011	10	+2X	End of String of 1's
100	(-1)0	-2X	Beginning of String of 1's
101	0(-1)	-X	A Single 0
110	0(-1)	-X	Beginning of String of 1's
111	00	+0	String of 1's

- Reduce the number of partial products by re-coding the multiplier operand
- Works for signed numbers
- Because we need constant multipliers , so instead of 8:1 mux we require 2:1 mux, so reduced area.



# Example

Example: Multiply -118d by -99d

$$\begin{aligned}
 B &= -118d = 1000\ 1010b \\
 -B &= 118d = 0111\ 0110b \\
 2B &= -236d = 1\ 0001\ 0100b \\
 -2B &= 236d = 0\ 1110\ 1100b
 \end{aligned}$$

$$\begin{aligned}
 A &= -99d = 1001\ 1101b \\
 -99d &= \bar{2}\bar{2}\bar{1}1
 \end{aligned}$$

Radix-4 Booth

Step1) Initialize  $-118d = \underline{0111}\ \underline{0110}b$   
 $-99d = \underline{\bar{2}\ \bar{2}\ \bar{1}\ 1}$

Step2) Find partial products

$111111$	$10001010b$	B
	$01110110\ b$	-B
$11$	$100010100\ b$	2B
	$\underline{011101100\ b}$	-2B
	$0010110110100010\ b$	

Step3) Sum up the shifted partial products

Sign Extension

Convert 2's-Comp back to decimal:  
 $0010\ 1101\ 1010\ 0010 = 11682d$



# Comparison of architectures

Arch1 = Proposed Lifting –based coefficient folding technique

Arch2 = Proposed lifting-based coefficient folding technique using Booth's Multiplier

Arch2 = Pipelined lifting-based coefficient folding technique using Booth's Multiplier

## Design Summary

Parameters	ARCH1	ARCH2	ARCH3	UNITS
No of Slices	805(6%)	644(5%)	910( 7%)	Out of 12288(%)
No of Slice Flip Flop	255 (1%)	260 (1%)	1,149(4.5%)	Out of 24576(%)
No of LUT4	1290(5%)	901(3.6%)	1,340(5.5%)	Out of 24576(%)
Equivalent gate count	18100	12,291	23,261	Gates

## Timing Summary

Parameter	ARCH1	ARCH2	ARCH3	UNITS
Minimum Period	27.325	26.358	11.985	nsec
Maximum Frequency	36.597	37.939	84.25	MHz





# Advantages Of Proposed Scheme

- Reduced area as the number of multipliers are reduced. Nearly 30% reduction in area
- Reduced critical path
- Speed is increased 3 times
- Reduced glitches in outputs
- Reduction in total power dissipation



# Line based-Lifting Scheme for 2D DWT Architecture

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Direct Implementation

$N \times N$  storage space is required  
For an  $N \times N$  image

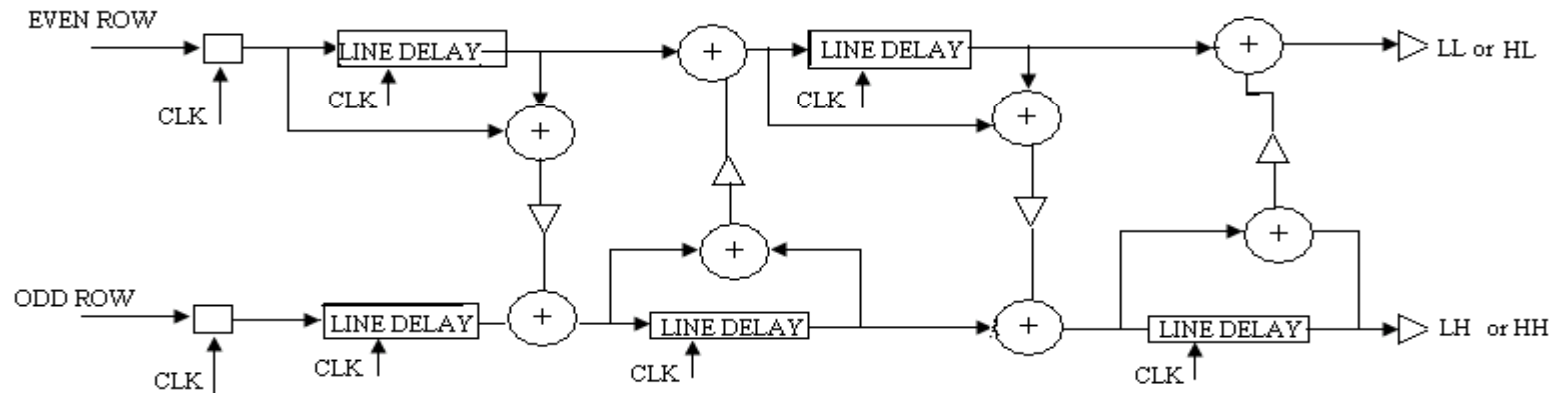


Line Based Implementation

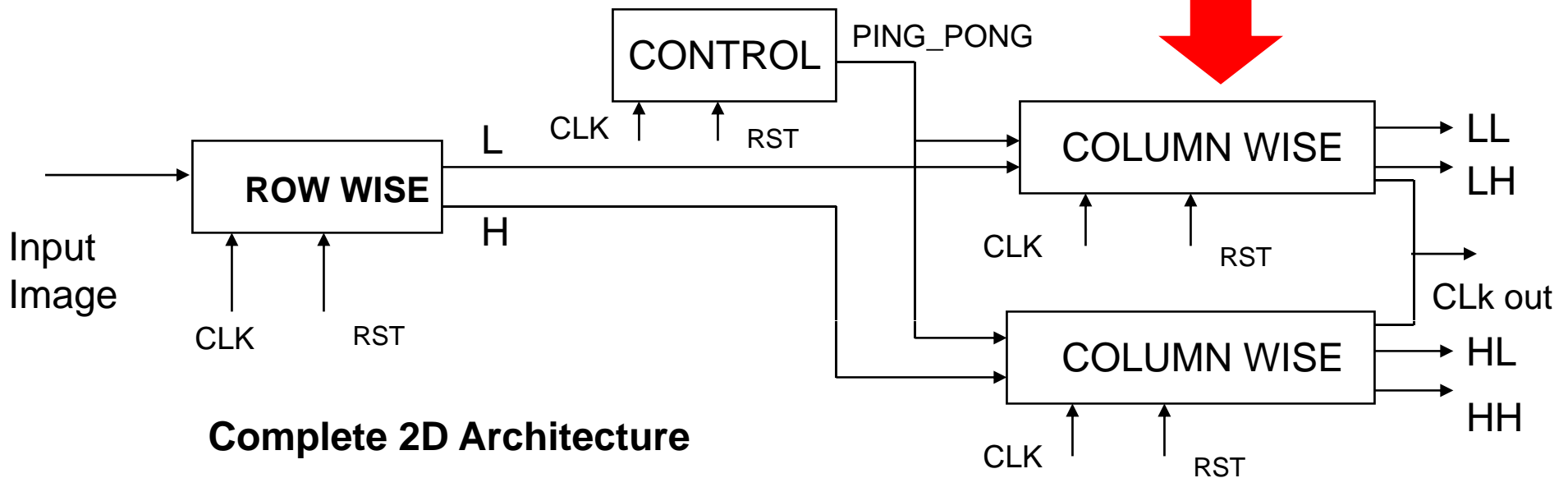
$10N$  storage space is required  
For  $N \times N$  image



# 2D Architecture Cont..



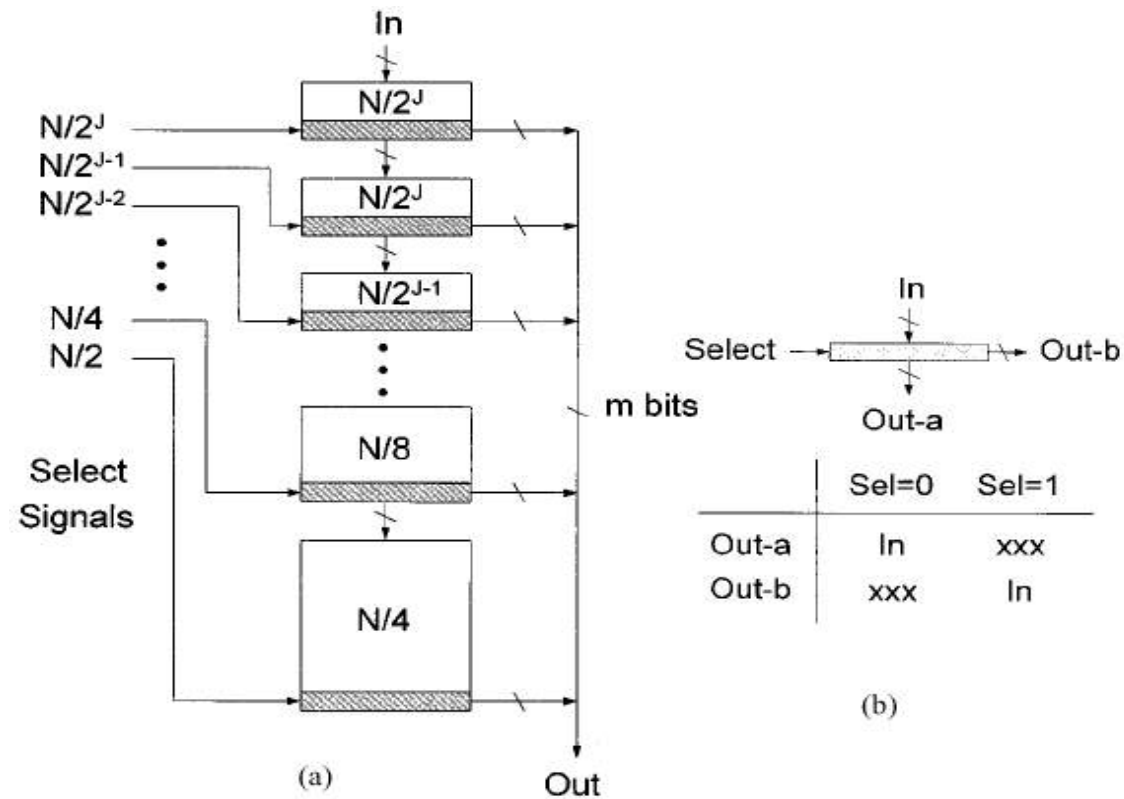
**Column wise Filtering Architecture**



**Complete 2D Architecture**



# Variable length line Delays



- (a) **Variable length** Line delay with select signals to change its size to  $N/2, N/4, N/8, \dots, N/2^J$  in the different decomposition levels.
- (b) The 1 to 2 demultiplexer used in the line delay.



# Synthesis Report

Following table shows final synthesis report for 2D DWT synthesized on Virtex2p FPGA

## Design Summary

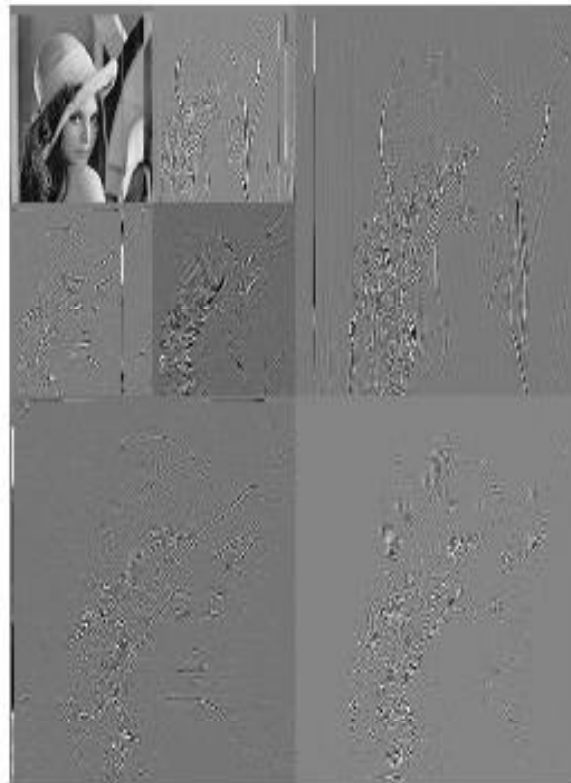
<b>Parameters</b>	<b>2D Architecture</b>	<b>Out of</b>
No of Slices	11,349	13,696
No of Slice Flip Flop	20,283	27,392
No of LUT4	1,955	27,392
Maximum Frequency	148.39 MHz	----
Minimum delay	6.739ns	-----
Equivalent gate count	2,677,355	4,000,000



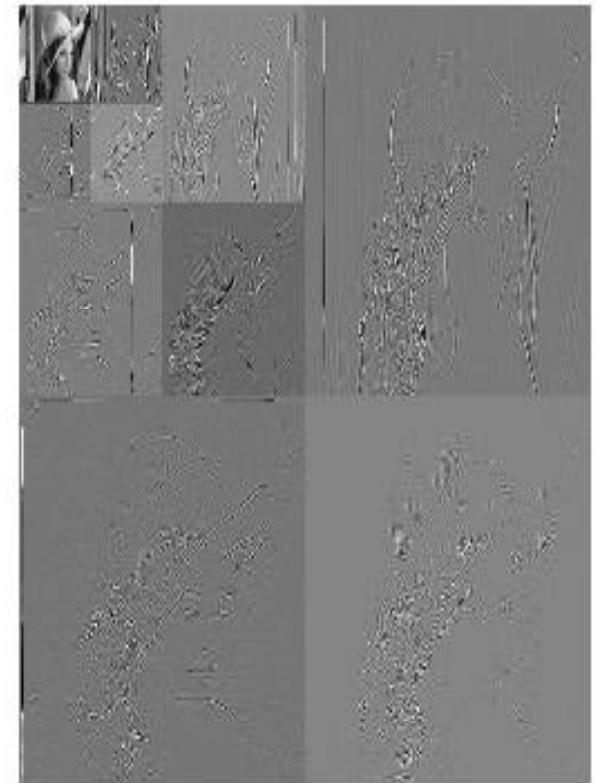
# Simulation Result



(A)



(B)



(C)

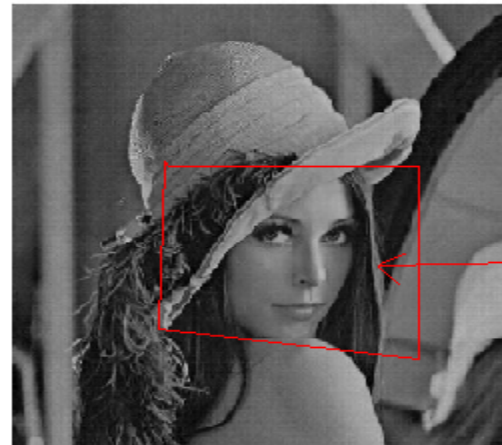
**(A) Lena image after 1 level of filtering (B) after 2 level of filtering  
(C) after 3 level of filtering**



# Region of Interest Coding

IIT Bombay

## 1. Mask generation for arbitrarily shaped ROI



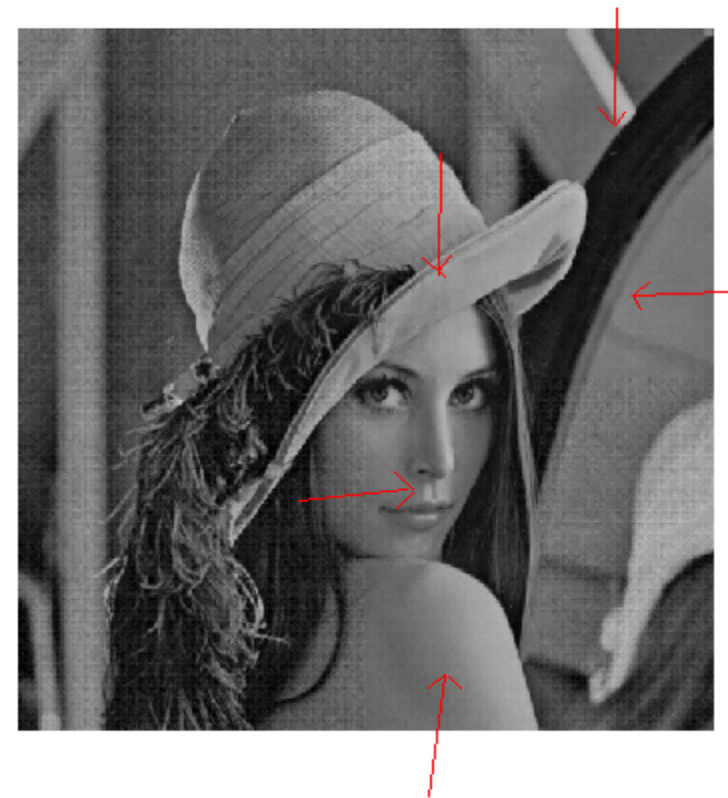
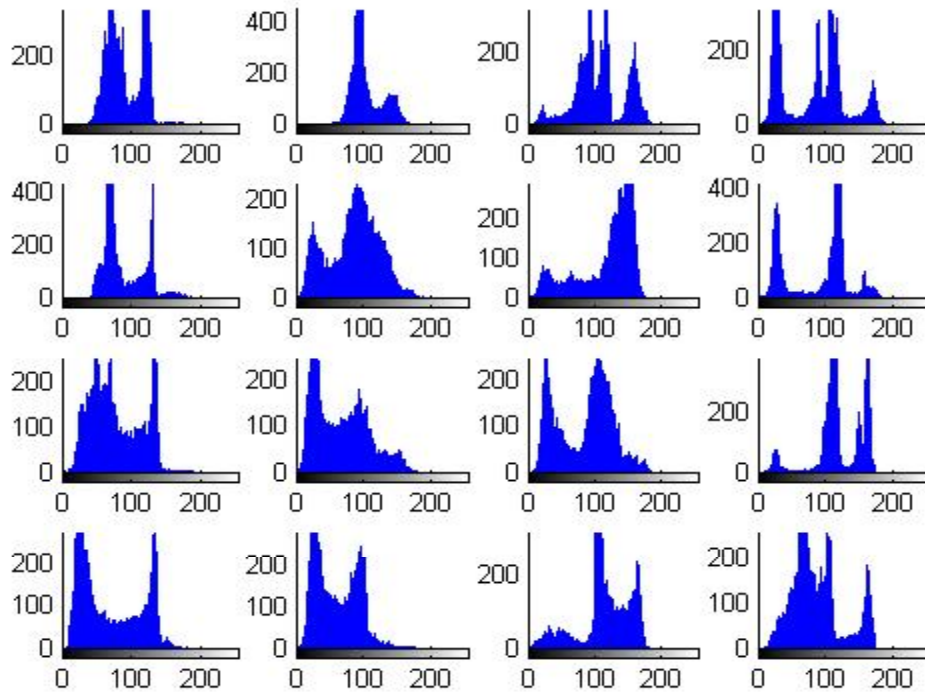
ROI region with fine  
quantization as compared to  
background

(A) Three level decomposition of mask, (B) The ROI of the image,  
(C) The image after quantization



# Region of interest (cont.)

## 2. Adaptive determination of ROI



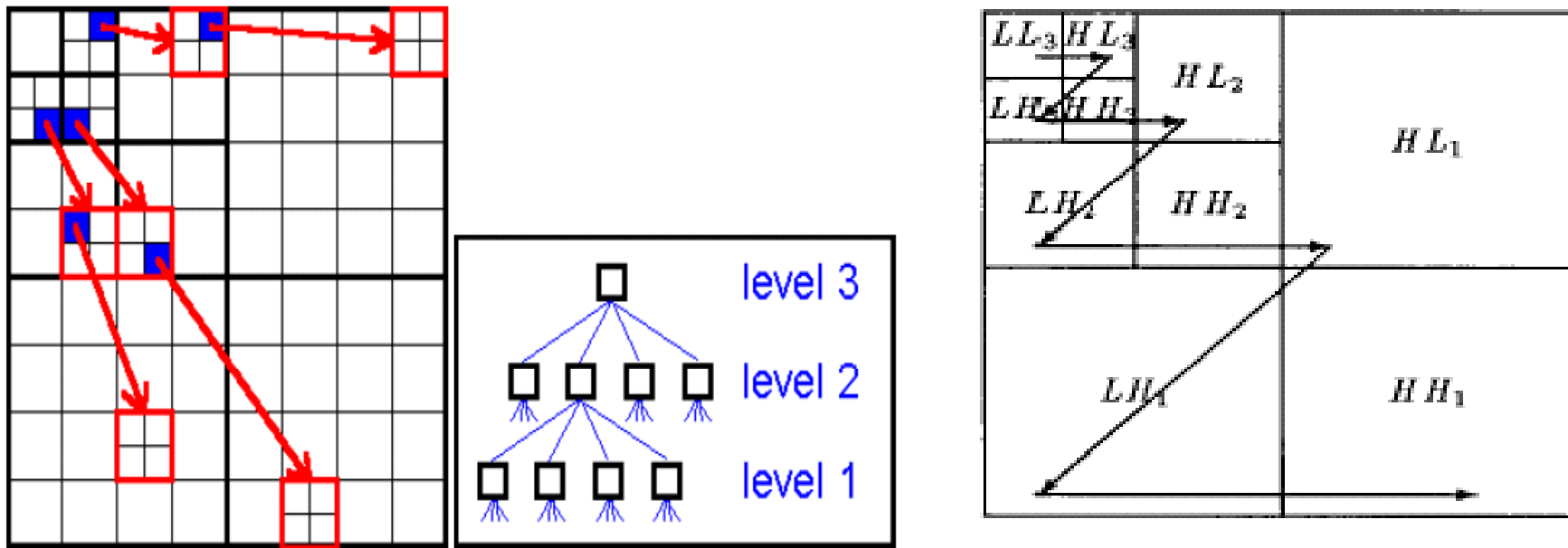
(A) The histogram of Lena image (B) The quantized Lena image





# Embedded Zero Wavelet Tree Algorithm (EZW)

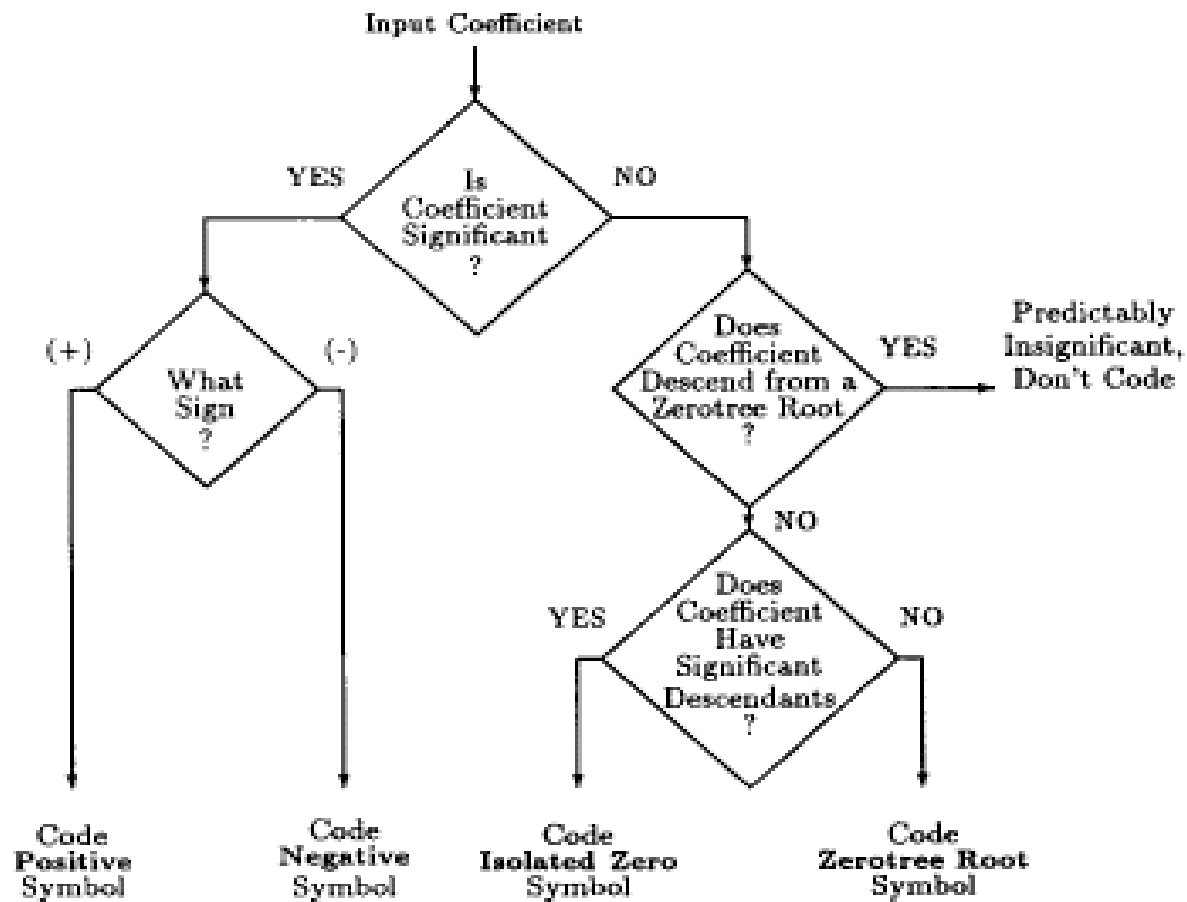
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The relations between wavelet coefficients in different sub bands as quad-trees.



# Flow Chart for EZW Algorithm

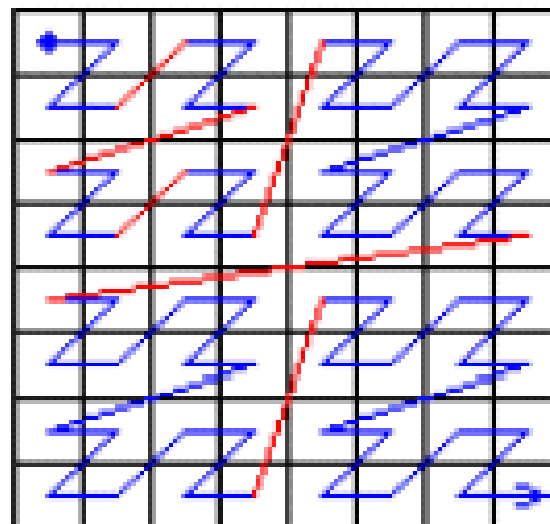


Flow chart for encoding a coefficient of the significance map



# Example

63	-34	49	10	7	13	-12	7
-31	23	14	-13	3	4	6	-1
15	14	3	-12	5	-7	3	9
-9	-7	-14	8	4	-2	3	2
-5	9	-1	47	4	6	-2	2
3	0	-3	2	3	-2	0	4
2	-3	6	-4	3	6	3	6
5	11	5	6	0	3	-4	4



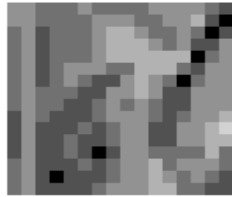
D1: pnztpTTTTzTTTTTtptt  
 S1: 1010  
 D2: ztnptTTTTt  
 S2: 100110  
 D3: zzzzppnppnttnnptptntTTTTTTTTtpttptTTTTTTTTtptTTTTTTTTt  
 S3: 10011101111011011000  
 D4: zzzzzztztznzzzpttptpptpnptnttttptpnpppttttptptttnp  
 S4: 11011111011001000001110110100010010101100  
 D5: zzzztzzzzztpzzztpttttnptppttptttnppnttttptnpttpttptt  
 S5: 1011110011010001011111010110110010000000110110110011000111  
 D6: zzzttztttzTTTTtnnttt



# Result



1



2



3



4



5



6



7



8



9



10



11

**Image generated after decoding each level of encoding**



# Results (Cont.)

Level Number	Mean Square Error (MSE)	PSNR= $10\log_{10}(255^2/\text{MSE})$ dB
1	6314.9	10.1279
2	702.5	19.6644
3	482.5	21.2713
4	329.4	22.9539
5	182	25.5301
6	71.1	29.6147
7	23.9	34.4090
8	7.1	39.8220
9	2.1	45.5381
10	0.9	48.6664
11	0.8	49.3142

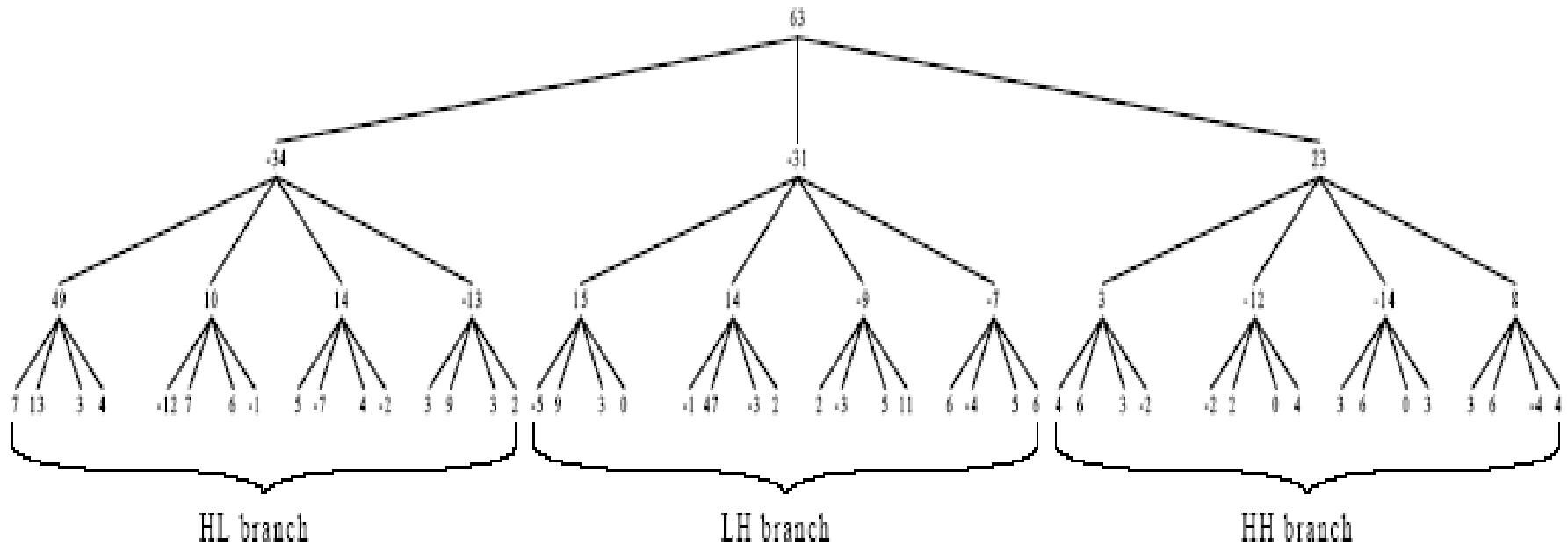


# VLSI Architecture for EZW Algorithm

- Depth First Search Algorithm ( DPS)
- Proposed VLSI Architecture for EZW Algorithm



# DPS Algorithm



Tree representation of wavelet coefficients

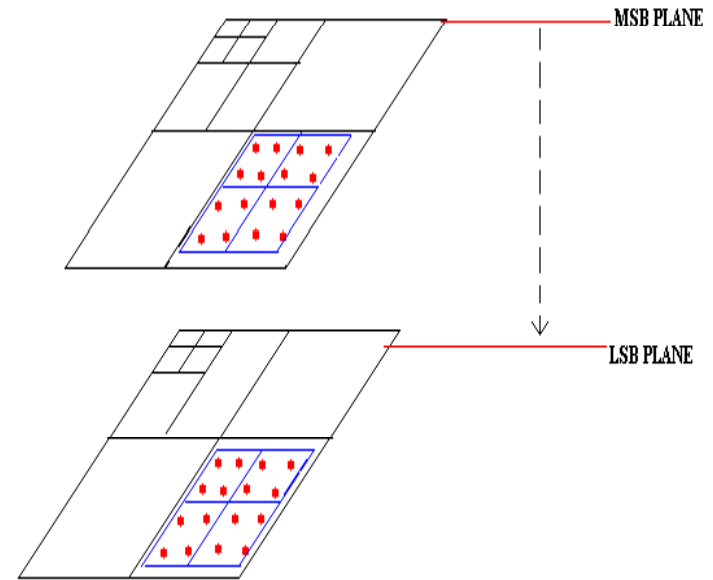


# Proposed Architecture for EZW Algorithm

63	-34	49	10	7	13	-12	7
-31	23	14	-13	3	4	6	-1
15	14	3	-12	5	-7	3	9
-9	-7	-14	8	4	-2	3	2
-5	9	-1	47	4	6	-2	2
3	0	-3	2	3	-2	0	4
2	-3	6	-4	3	6	3	6
5	11	5	6	0	3	-4	4

**A**

Bit wise OR for every child group



**B**

- A Grouping method used to reduce looping of tree
- B BIT Plane Representation of Proposed Architecture





# Design flow of Algorithm

- Generate child group after each level of filtering
- Grouping goes upto second level
- Start Morton Scan
- If dominance is found then replace the coefficient with zero value
- If parent is found dominant then check flag used to indicate it to child
- Check flags used to indicate coding of coefficients used for subordinate pass.



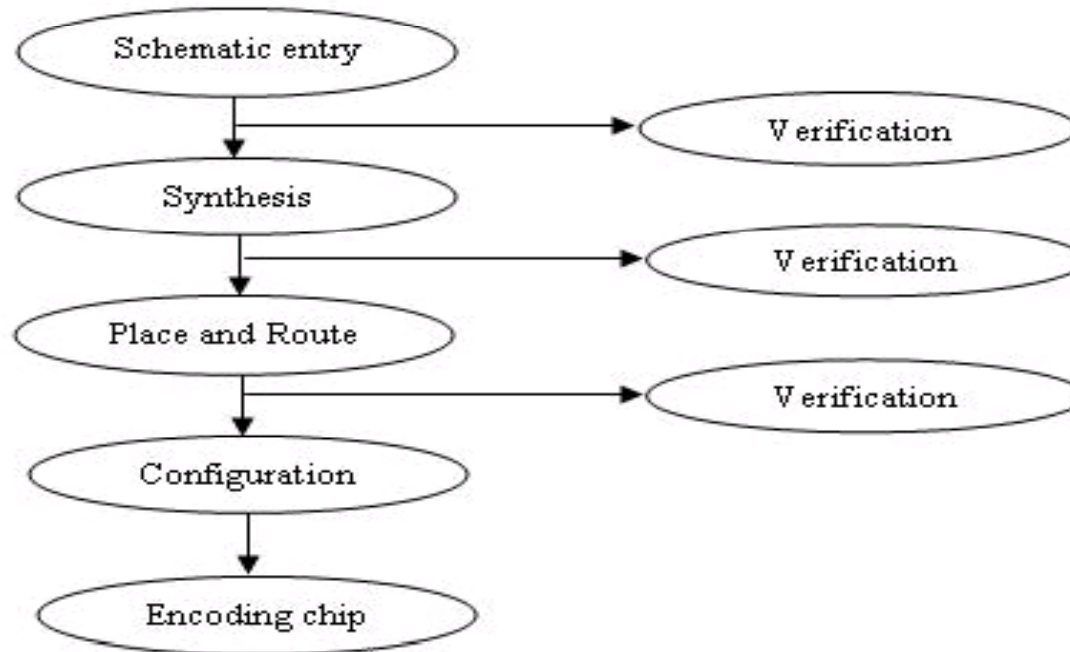
# Synthesis Result of Algorithm for 8x8 image

## Design Summary

Parameters	2D Architecture	Out of
No of Slices	528	13,696
No of Slice Flip Flop	341	27,392
No of LUT4	671	27,392
Maximum Frequency	124.023 MHz	-----
Minimum delay	8.063ns	-----
Equivalent gate count	76,611	4,000,000



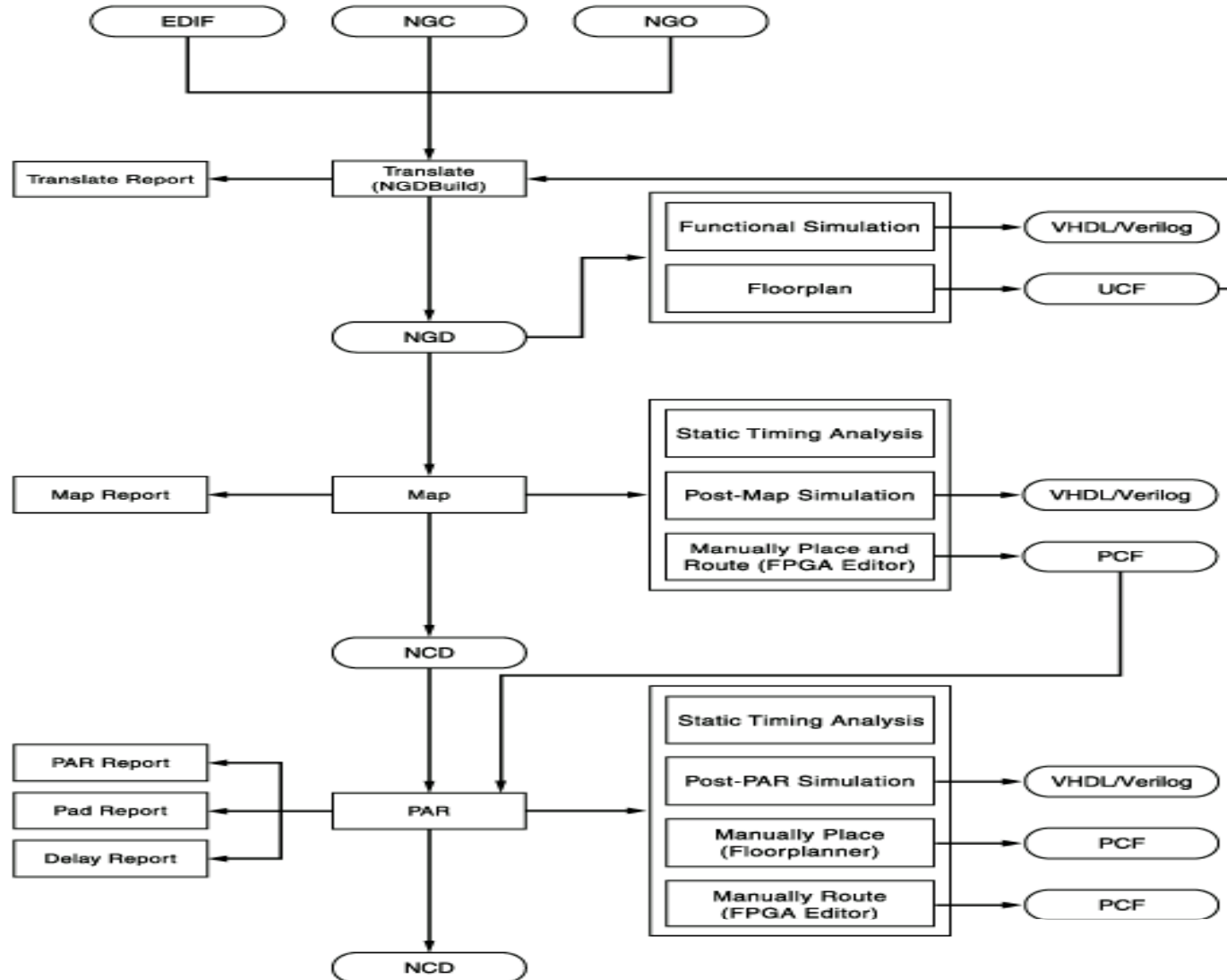
# Testing of Hardware



FPGA Design Flow



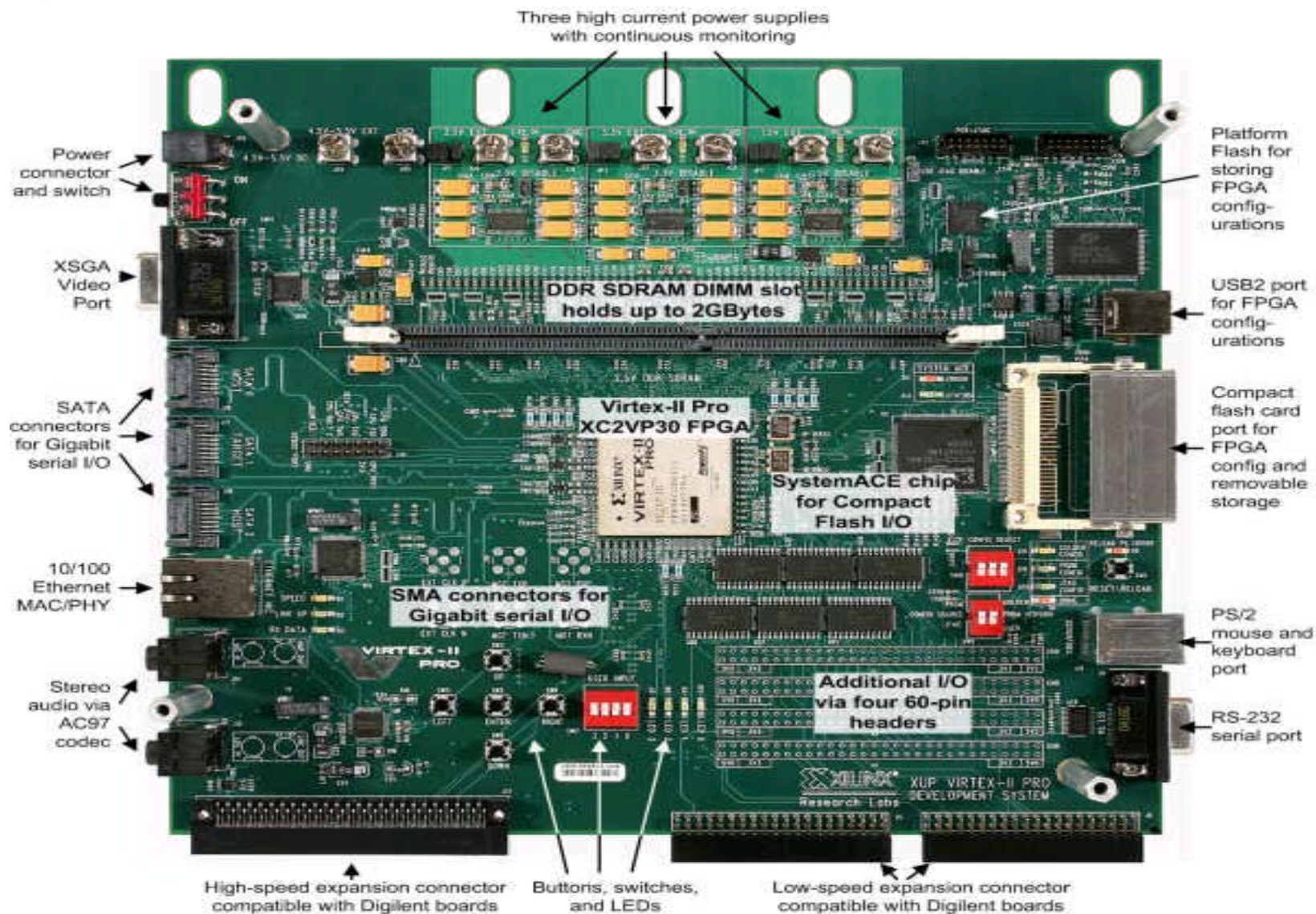
# FPGA Flow cont...





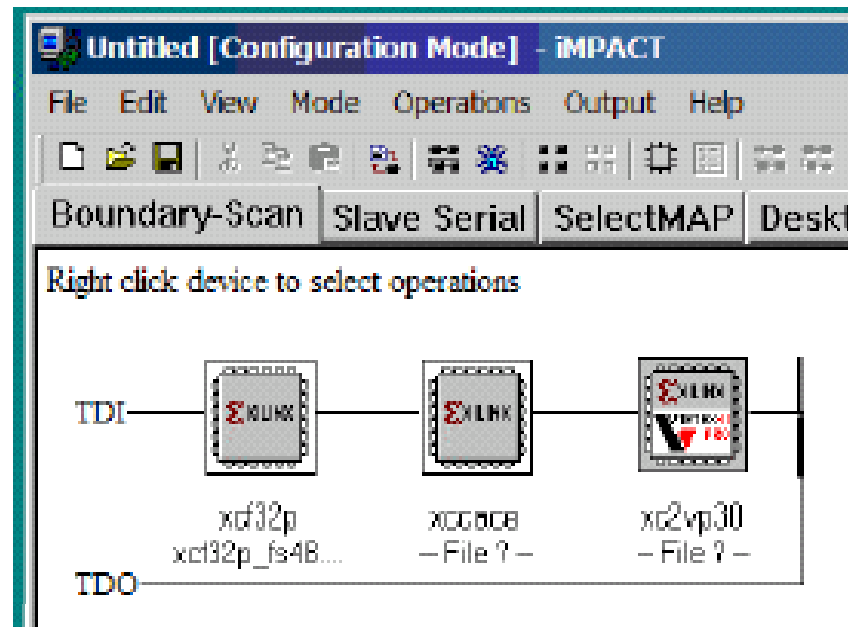
# XUPV2P Development System

IIT Bombay





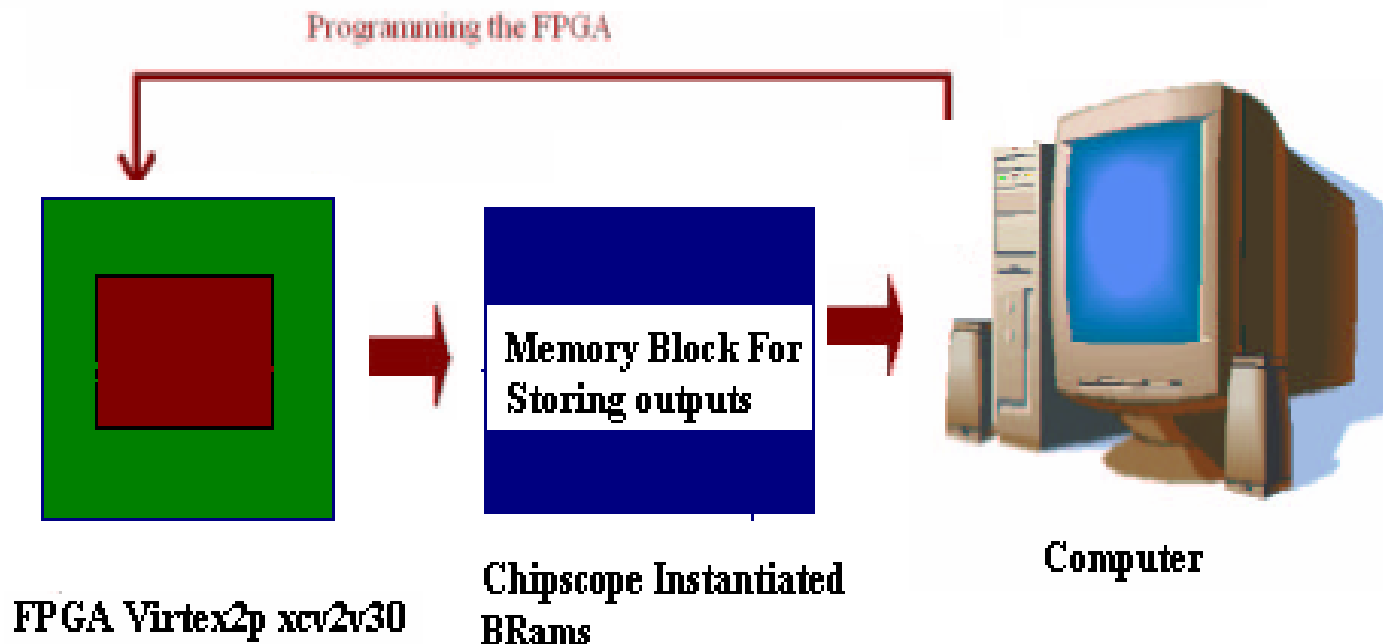
# Boundary Scan



**Properly Identified JTAG Configuration Chain**

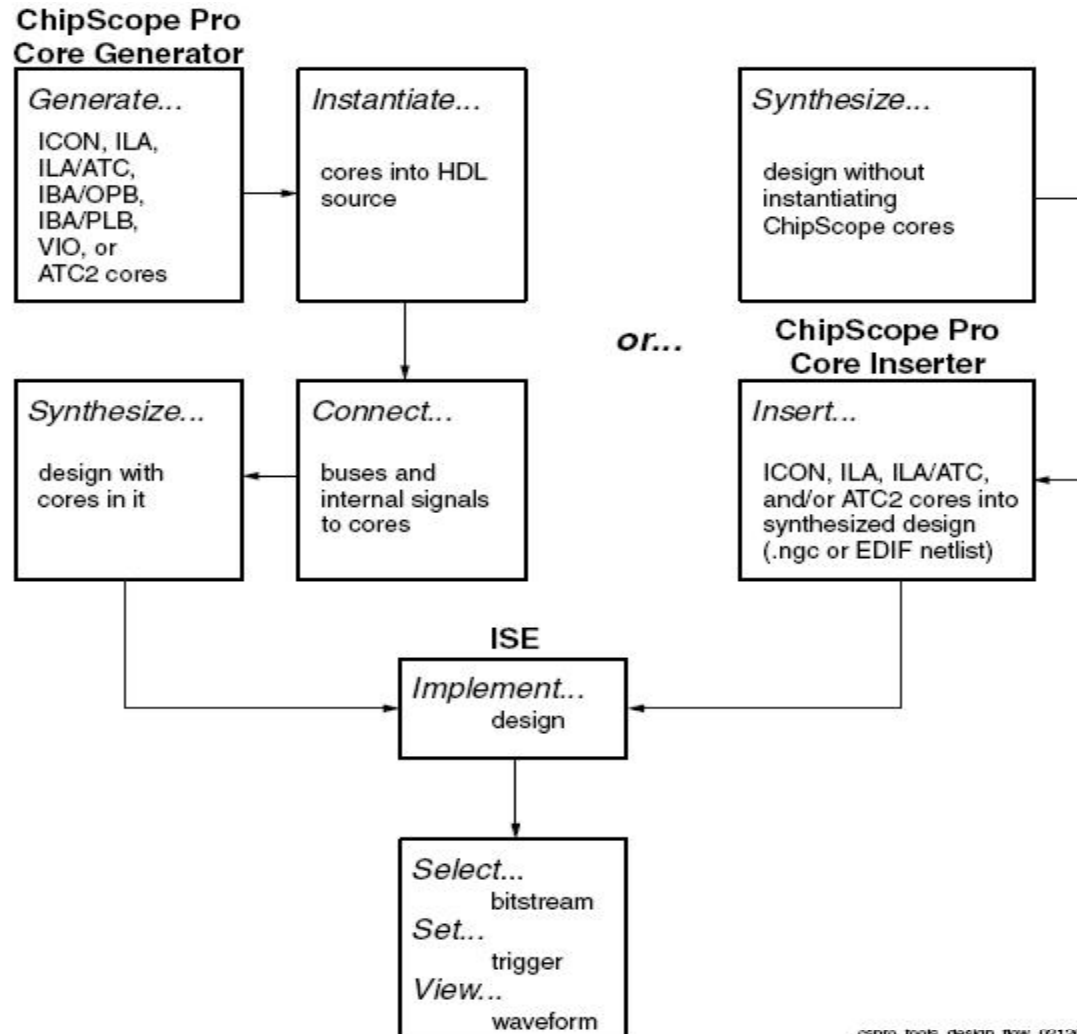


# Hardware Setup Used





# Chipscope Design Flow







IIT Bombay

Thank You

# Wavelet Based Scalable Video Coding

Ankur Gupta

Department of Electrical Engineering  
Indian Institute of Technology, Bombay

Dual Degree Presentation  
3rd July, 2006

# What is SVC?

SCALABLE VIDEO CODING



Video Streaming Server

Bitstream1



Receiver 1

QCIF  
25 fps  
512 Kbps

Bitstream2



Receiver 2

CIF  
30 fps  
256 Kbps

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SCALABLE VIDEO CODING



Video Streaming Server

Scalable  
Bitstream



Receiver 1

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25 fps  
512 Kbps



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CIF  
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256 Kbps

# An Outline

- 1 3-D SPIHT
  - SPIHT
  - Extension to 3-D SPIHT
  - Scalability of SPIHT Video Coder
- 2 3D Scan based Wavelet Transform
  - Principle
  - Temporal scan based video wavelet transform
  - Experimental Results
- 3 Motion-Compensated Temporal Filtering
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# An Outline (contd..)

- 5 Improved Bidirectional MCTF
  - Subpixel Accuracy
  - Bidirectional MCTF
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- 7 Overcomplete Motion Compensated Wavelet Coding
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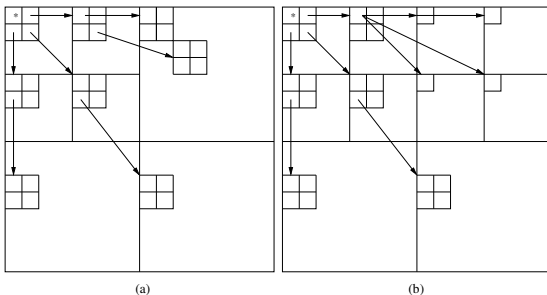
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# SPIHT

## SET PARTITIONING IN HIERARCHICAL TREES

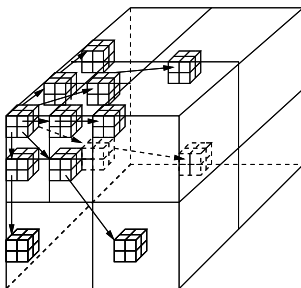
- Introduced by Amir Said and William Pearlman in 1996



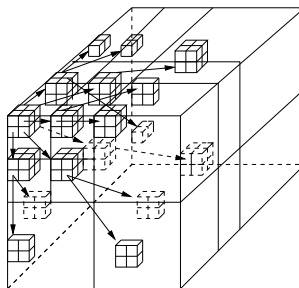
### Features

- Progressive Transmission
- Embedded Coding
- Partitioning coefficients for sets in spatial-orientation trees

# 3-D SPIHT



(a)

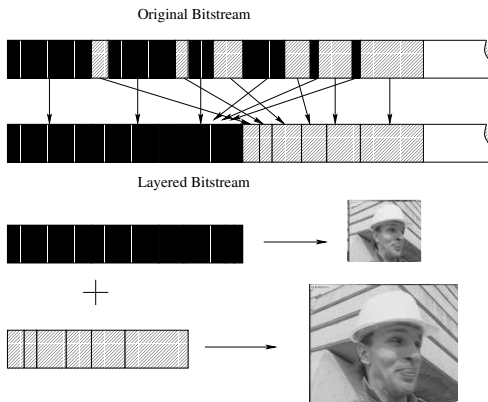


(b)

- Similarly, on the 3D subband structure, 3D spatiotemporal orientation tree and its parent-child relationships are defined.

# Scalable Encoding

## A LAYERED STRUCTURE





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# Need for a scan based system

- 3D subband coding suffers from significant memory requirements
- Hence, 3D blocks are used after temporal splitting. Results in temporal blocking artifacts(flickering)
- **Solution:** 3D Scan Based Wavelet Transform is introduced

## Principle

Frames of the sequence are acquired and processed **on the fly** to generate 3D wavelet coefficients. Data is stored in memory only until these coefficients have been encoded.

# Need for a scan based system

- 3D subband coding suffers from significant memory requirements
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- **Solution:** 3D Scan Based Wavelet Transform is introduced

## Principle

Frames of the sequence are acquired and processed **on the fly** to generate 3D wavelet coefficients. Data is stored in memory only until these coefficients have been encoded.

# Temporal scan based video WT

3D DWT = 2D DWT (spatial) + 1D  
DWT (temporal)

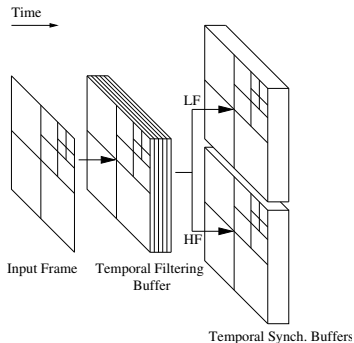


Figure: One level temporal system

## The Temporal System

- $L = 2S + 1$ ;  
 $L$  : length of LP & HP Filters
- Receiving  $S + 1^{st}$  transformed frame allows computation of first LP frame
- **HP frames & LP frames are obtained alternately**
- Waiting  $S + 2$  frames = 1 LP & 1 HP temporal frames
- Can be extended to N-level temporal wavelet decomposition

# Delay and Memory Requirements

- For a 2-level wavelet decomposition,  
**Delay =  $[(S + 2) + (S + 1)] + S$  frames**

## Delay for a $N$ -level temporal wavelet decomposition

$$D = 2^{N-1}(2S + 1) - S + 1$$

- Memory Requirement = Sum of frames in  $N$  filtering buffers + number of frames in the synchronization buffers
  - Sum of frames in  $N$  filtering buffers =  $L \times N = (2S + 1)N$
  - Number of frames in synchronization buffers =  $2 + \sum_{j=2}^N (d_j - 1)$

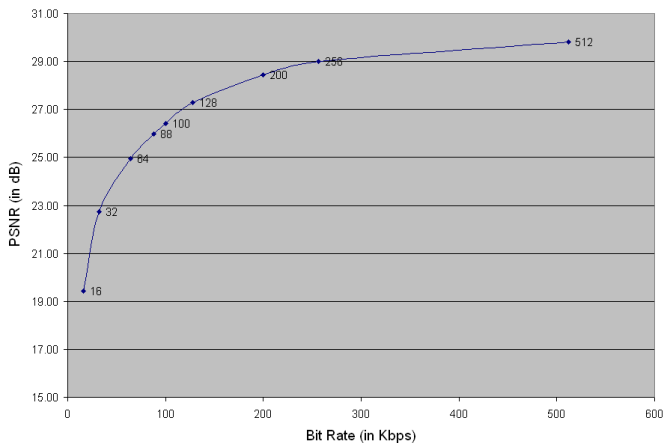
## Memory requirement for a $N$ -level temporal wavelet decomposition

$$M = \text{framesize} \times [2^{N-1}(2S + 1) + (N - 1)S + N + 1]$$

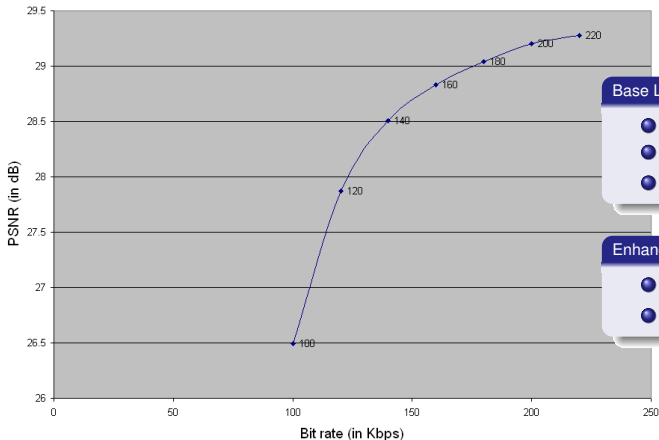
# Experimental Setup

- Spatial Transform : Haar Filter
- Temporal Transform : 5/3 Filter
- Sequence : Foreman
- Frame Size : QCIF (176 x 144)
- Frame Rate : 10 fps
- Full Rate : 1980 Kbps
- Number of Frames : 100 Luminance Frames

# PSNR v/s Bit Rate



# Demonstrating Scalability



## Base Layer

- Low Pass Frames
- 100 Kbps (**FIXED RATE**)
- 5 fps

## Enhancement Layer 1

- High Pass Frames
- 0 - 120 Kbps (**VARIABLE**)



# Pre-Processed Input Video

MOTION COMPENSATED FRAMES RESULT IN BLOCK OVERLAPS AND HOLES

Input Frame No.1



Input Frame No.2



Input Frame No.3



Output Frame No.1



Output Frame No.2



Output Frame No.3

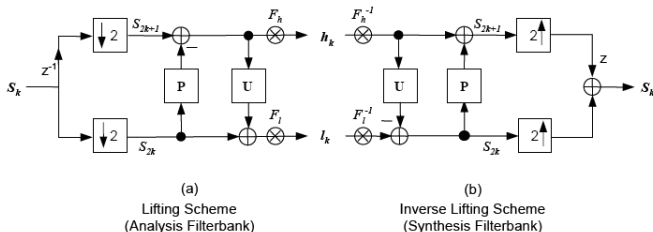


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# Generic Lifting Scheme

## POLYPHASE DECOMPOSITION, PREDICTION AND UPDATE



- The high-pass (prediction residual) pictures are obtained by:

$$h[k] = s[2k + 1] - P(s[2k]) \quad (1)$$

- The low-pass pictures are obtained by:

$$l[k] = s[2k] + U(h[k]) \quad (2)$$

# MCTF for Video Coding

## Notation

$s[l, k]$  is a video sample at spatial location  $l = (x, y)$  at time instant  $k$

The prediction and update operators for temporal decomposition using:

- Haar Wavelet

$$P_{Haar}(s[l, 2k]) = s[l + m_{P0}, 2k - 2r_{P0}] \quad (3)$$

$$U_{Haar}(h[l, k]) = \frac{1}{2}h[l + m_{U0}, k + r_{U0}] \quad (4)$$

- 5/3 Wavelet

$$P_{5/3}(s[l, 2k]) = \frac{1}{2}(s[l + m_{P0}, 2k - 2r_{P0}] + s[l + m_{P1}, 2k + 2 + 2r_{P1}]) \quad (5)$$

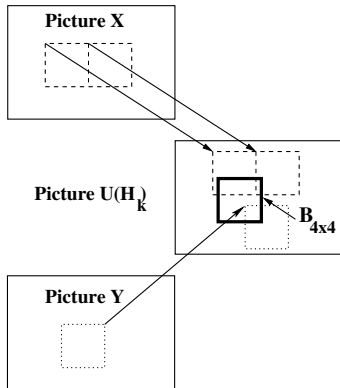
$$U_{5/3}(h[l, k]) = \frac{1}{4}(h[l + m_{U0}, k + r_{U0}] + h[l + m_{U1}, k - 1 - r_{U1}]) \quad (6)$$

The prediction steps in both the cases exactly correspond to the predictive coding

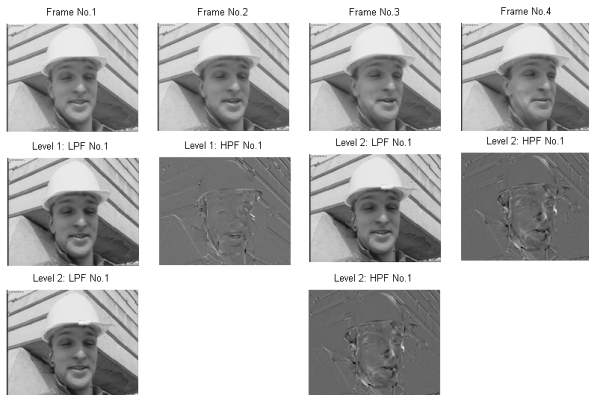
# Derivation of Update Operator

For each 4x4 luma block  $B_{4 \times 4}$  in the picture  $U(H_k)$ ,  $m_{U0}$ ,  $r_{U0}$ ,  $m_{U1}$  and  $r_{U1}$  are derived as follows

- Evaluate all  $m_{P0}$  and  $m_{P1}$  that point into  $B_{4 \times 4}$
- Select those  $m_{P0}$  and  $m_{P1}$  that use maximum number of samples for reference out of  $B_{4 \times 4}$
- Set  $m_{U0} = -m_{P0}$  and  $m_{U1} = -m_{P1}$
- Set  $r_{U0}$  and  $r_{U1}$  to point to those pictures into which MC is conducted using  $m_{P0}$  and  $m_{P1}$ , respectively



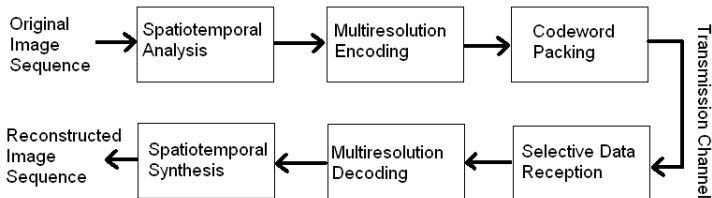
# Temporal Decomposition Structure



# Outline

- 1 3-D SPIHT
  - SPIHT
  - Extension to 3-D SPIHT
  - Scalability of SPIHT Video Coder
- 2 3D Scan based Wavelet Transform
  - Principle
  - Temporal scan based video wavelet transform
  - Experimental Results
- 3 Motion-Compensated Temporal Filtering
- 4 Resolution Scalable Coding
  - Error Feedback Hierarchical Coding
  - Experimental Results

# RSC Block Diagram



- Lower resolution and frame-rate videos are generated by a 3D subband filter bank
- Spatial Analysis followed by Temporal Analysis
- Temporal Subband Analysis: two-tap MCTF
- Spatial Subband Analysis : Haar, 5/3 filters, etc

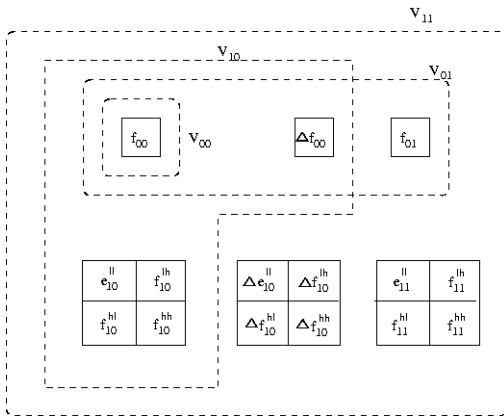


# Spatiotemporal Multiresolution Analysis/Synthesis

## Notation

- Implemented for specific case of 6 different spatiotemporal resolutions:
  - 3 levels of spatial resolution
  - 2 different frame rates
- $V_{ij}$ : Output video, where
  - $i \in 0, 1, 2$  represents 3 spatial resolutions,
  - $j \in 0, 1$  represents two temporal resolutions
- $V_{i0}$ : Low frame rate video at  $i^{\text{th}}$  spatial resolution

# Error Feedback Hierarchical Coding

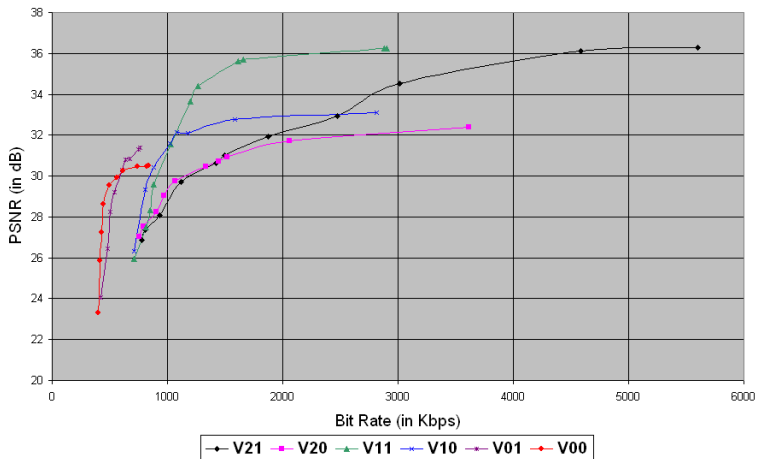


## KEY

- $e$  indicates residual after prediction
- $\Delta f$  indicates a refinement due to cascaded quantization of corresponding low band

# Experimental Setup

- Spatial Transform : Daubechies 4
- Temporal Transform : MCTF
- Sequence : Foreman
- Input Frame Size : CIF (352 x 288)
- Input Frame Rate : 30 fps
- Full Rate : 23760 Kbps
- Number of Frames : 80 Luminance Frames
- Output Frame Rates : 30 fps, 15 fps
- Output Frame Resolutions : CIF (352 x 288), QCIF (176 x 144) and Q-QCIF (88 x 72)



# Outline

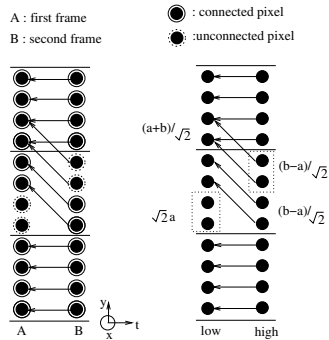
- 5 Improved Bidirectional MCTF
  - Subpixel Accuracy
  - Bidirectional MCTF
  - Experimental Results
- 6 Scalable Motion Coding
- 7 Overcomplete Motion Compensated Wavelet Coding
  - Principle
  - Wavelet-domain block matching algorithms
  - Experimental Results
- 8 Summary

# Problems with existing MCTF

- Filtering across poorly matched pixels decreases coding efficiency in MCTF
- Absence of subpixel accuracy while performing MCTF
- Bidirectional MCTF should perform better as compared to unidirectional MCTF

## Pixels are Connected Pixels if

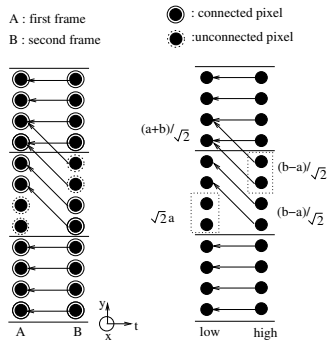
- There is a one-to-one connection between the pixels
- If several pixels in frame2 connect to the same pixel in frame1, only one of them is a connected pixel
- Unconnected pixels in frame1 are not used as reference for frame2



- MCTF is performed only on connected pixels. Unconnected pixels are not filtered
- For unconnected pixels in frame1, their scaled original values are inserted into temporal low subband
- For unconnected pixels in frame2, the scaled displaced frame differences are inserted into temporal high subband

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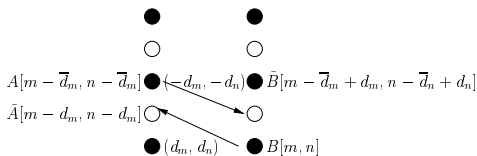


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# Subpixel Accurate MCTF using Lifting Implementation

● : integer pixel    ○ : subpixel



If MV's have subpixel accuracy, the lifting scheme calculates:

- Temporal High-Frame as

$$H[m, n] = \frac{1}{\sqrt{2}} I_{2t+1}[m, n] - \frac{1}{\sqrt{2}} \tilde{I}_{2t}[m - d_m, n - d_n] \quad (7)$$

- Temporal Low-Frame as

$$L[m - \bar{d}_m, n - \bar{d}_n] = \tilde{H}[m - \bar{d}_m + d_m, n - \bar{d}_n + d_n] + \sqrt{2} I_{2t}[m - \bar{d}_m, n - \bar{d}_n] \quad (8)$$

# Bidirectional MCTF

## THE ALGORITHM

- Two kinds of blocks - *Connected* and *Unconnected* Blocks
- For connected blocks, high-pass and low-pass coefficients are computed using Equation (7) and (8)
- For unconnected blocks, based on the SAD of spatial interpolation and the sum of absolute DFDs of forward and backward MCP, we get :

- $P$  block using frame one ( $2t$ )

$$H[m, n] = \frac{1}{\sqrt{2}} l_{2t+1}[m, n] - \frac{1}{\sqrt{2}} \tilde{l}_{2t}[m - d_m, n - d_n] \quad (9)$$

- $P$  block using frame three ( $2t + 2$ )

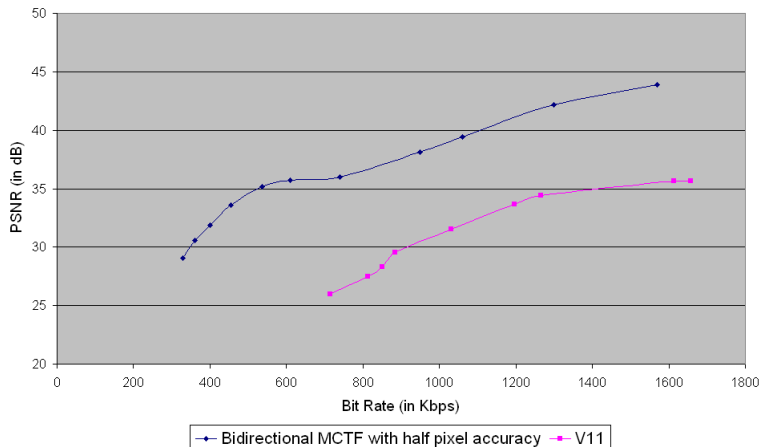
$$H[m, n] = \frac{1}{\sqrt{2}} l_{2t+1}[m, n] - \frac{1}{\sqrt{2}} \tilde{l}_{2t+2}[m - d_m, n - d_n] \quad (10)$$

- $I$  block

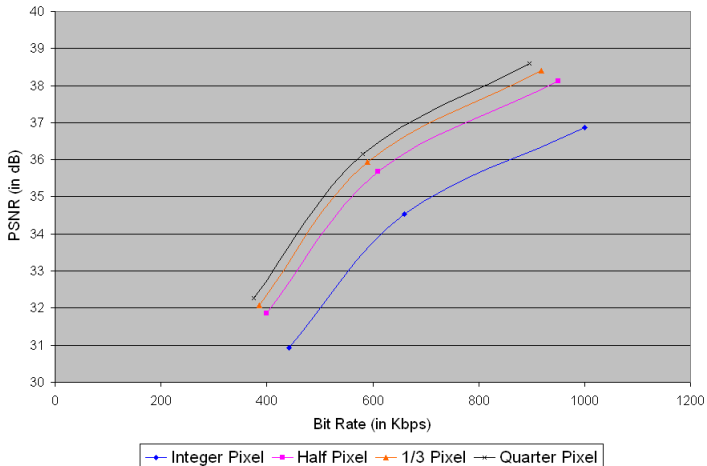
# Experimental Setup

- Sequence : Foreman
- Input Frame Size : QCIF (176 x 144)
- Input Frame Rate : 30 fps
- Number of Frames : 50 Luminance Frames
- GOP Size : 16 frames

# Bidirectional MCTF Comparison



## Subpixel Accuracy Comparison



# Outline

- 5 Improved Bidirectional MCTF
  - Subpixel Accuracy
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- 8 Summary

# Introduction

- Proposed by Taubman and Secker in 2004
- Traditionally, motion parameters were coded losslessly due to non-linear interaction between motion and sample data
- But, this relationship turns out to be linear for all "optimal" combinations of motion and sample bit rates
- Therefore, motion and sample data can be coded independently of each other

# Experimental Setup

## Aim:

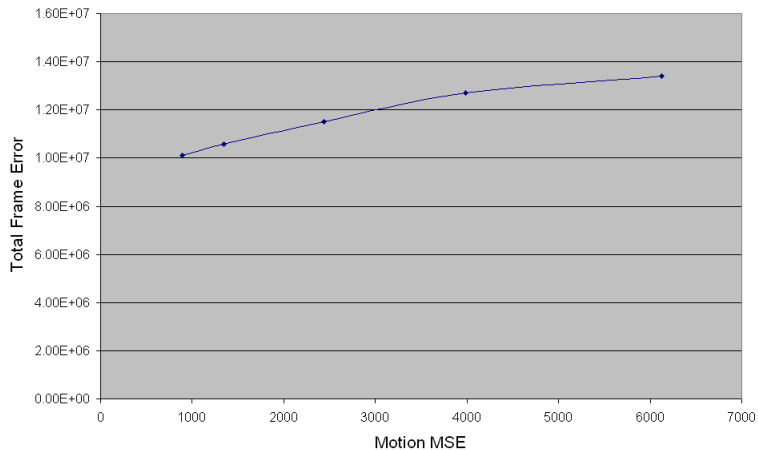
- 1 To verify the linear relationship between Motion MSE and Total Frame Error
- 2 To see how the PSNR varies as we increase the Motion bits

The *Scalable Motion Coding* model was applied to the highest spatial resolution video of the *RSC model*

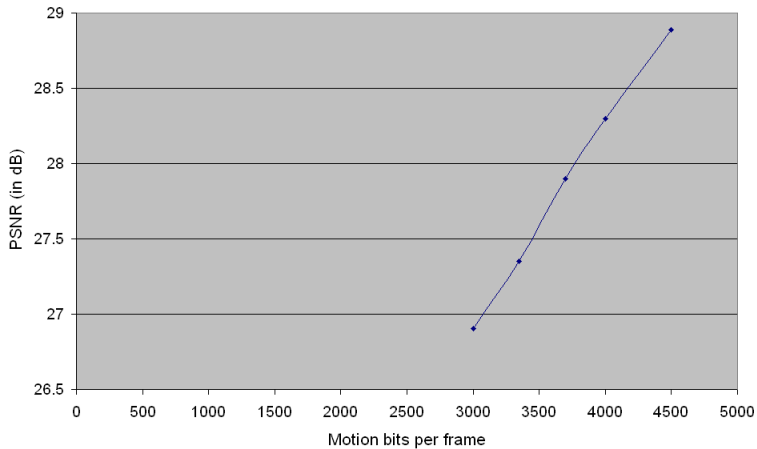
- Sequence : Foreman
- Input Frame Size : CIF (352 x 288)
- Input Frame Rate : 30 fps
- Number of Frames : 80 Luminance Frames



# Total Frame Error v/s Motion MSE



# PSNR v/s Motion bits per frame

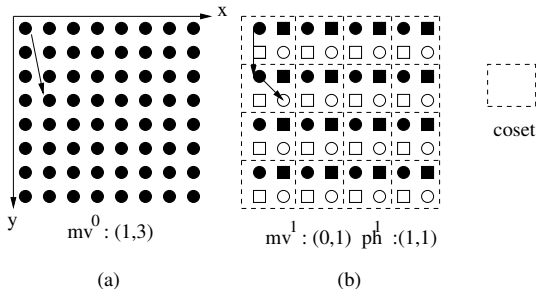


# Outline

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# Principle

- For a 1-D sequence  $x(n)$ , either even-indexed or odd-indexed coefficients are sufficient for perfect reconstruction
- What about  $y(n)$ , where  $y(n) = x(n + \tau)$ ?
- **Reason:** Frequency aliasing brought by decimation *OR* motion accuracy sacrifice

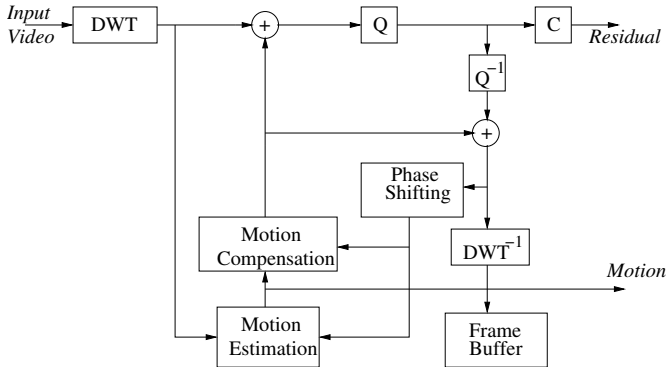


# OMCP Coder

## OVERCOMPLETE MOTION COMPENSATED PREDICTION

### Key Difference

Both ME and MC are performed between maximally-decimated wavelet decomposition of current frame and the overcomplete expansion of previous frame



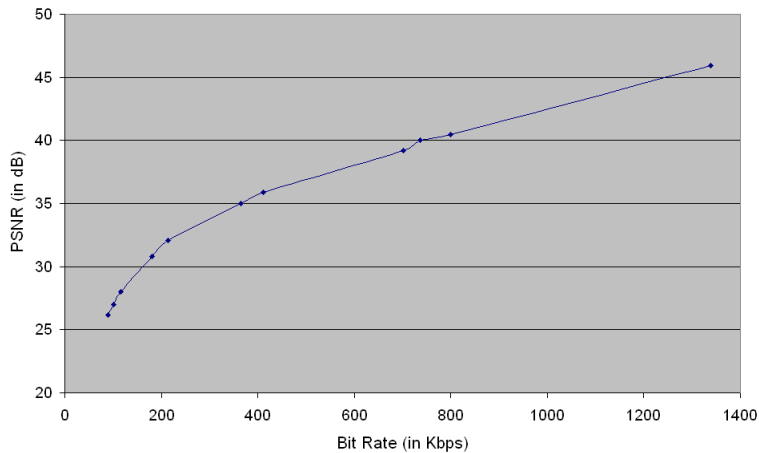
# Wavelet-domain BMA v/s Spatial-domain BMA

- BMA is based on nonlinear criterion such as SAD
- W-BMA is less affected by occlusion because pixels in covered and uncovered areas are decorrelated by spatial filtering first.
- S-BMA is highly sensitive to photometric distortion.  
W-BMA alleviates this problem due to its frequency selectivity (high-band coefficients used for block matching would be less affected than low-band ones)

# Experimental Setup

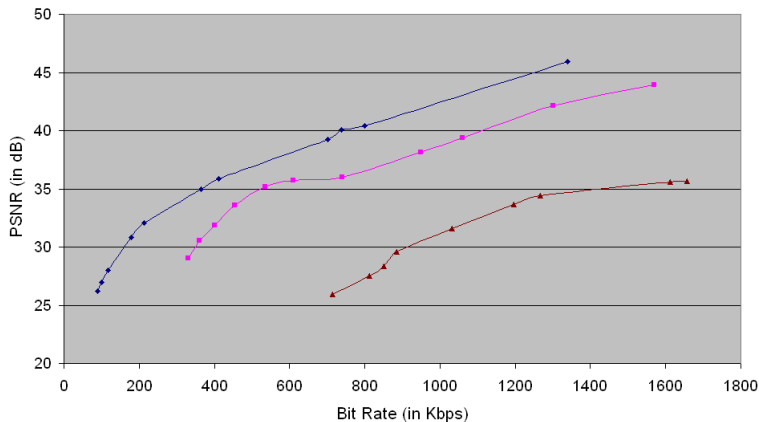
- Sequence : Foreman
- Input Frame Size : QCIF (176 x 144)
- Input Frame Rate : 30 fps
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- GOP Size : 16 frames

## PSNR Variations





# Comparison



Overcomplete MCP Bidirectional Half-Pixel MCTF V11

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# Summary

## We conclude that

- **3D Scan based WT** helps in solving the problem of temporal artifacts and also greater complexity of 3D SPIHT
- 3D scan based WT face problems when grouped with motion compensation, making MCTF a necessity
- Bidirectional MCTF with subpixel accuracy offers a gain of nearly 7dB over unidirectional MCTF
- Overcomplete MCP increases the PSNR further by approximately 3dB
- Scalable Motion Coding also appears promising, specially at lower bitrates.

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- **Scalable Motion Coding** also appears promising, specially at lower bitrates.

# Future Work

- The unified scalable coder should be compared for performance to the Scalable Video Codec (SVC) being developed by JVT
- Another area of work is the rate control block for the scalable codecs. One of the possible algorithms that could be used is the Multidimensional Bit-Rate Control